

1 Relationship of biodiversity with heavy metal  
2 tolerance and sorption capacity: A meta-analysis  
3 approach

4 **Running title: *Biodiversity and heavy metal Meta-analyses***

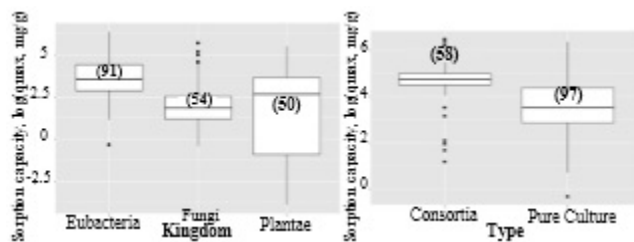
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11  
12  
13 **TOC/Abstract Art**



15        **Abstract**

16

17        Microbial remediation of metals can alleviate the concerns of metal pollution in the  
18 environment. The microbial remediation, however, can be a complex process since microbial metal  
19 resistance and biodiversity can play a direct role in the bioremediation process. This study aims to  
20 understand the relationships among microbial metal resistance, biodiversity, and metal sorption  
21 capacity. Meta-analyses based on 735 literature data points of Minimum Inhibitory Concentrations  
22 (MIC) of plantae, bacteria, and fungi exposed to As, Cd, Cr Cu, Ni, Pb, and Zn – showed that  
23 metal resistance depends on the microbial Kingdom and the type of heavy metal, and that consortia  
24 are significantly more resistant to heavy metals than pure cultures. A similar meta-analysis  
25 comparing 517 MIC values from different bacterial genera (*Bacillus*, *Cupriavidus*, *Klebsiella*,  
26 *Ochrobactrum*, *Paenibacillus*, *Pseudomonas*, and *Ralstonia*) confirmed that metal tolerance  
27 depends on the type of genus. Another meta-analysis with 195 studies showed that the maximum  
28 sorption capacity is influenced by microbial Kingdoms, the type of Biosorbent (whether consortia  
29 or pure cultures), and the type of metal. This study also suggests that bioremediation using  
30 microbial consortia is a valid option to reduce environmental metal contaminations.

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32        Keywords: biosorption, heavy metals, microbial remediation, diversity, consortia

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36       **1. Introduction**

37       In the past decade, multiple studies indicated that microorganisms can serve as potential  
38 alternatives for the sustainable remediation of heavy metals in the environment.<sup>1, 2</sup> These  
39 investigations showed that microorganisms belonging to different Kingdoms, i.e. Fungi, Plantae,  
40 Eubacteria, are very promising for metal remediation.<sup>3</sup> It is still unclear, however, whether the  
41 microorganisms from these Kingdoms have similar metal tolerance and sorption capacities.

42       Most of the metal sorption studies in the literature use pure cultures, and only recently,  
43 researchers have been focusing on microbial communities.<sup>4, 5</sup> The value of investigating pure  
44 cultures for heavy metal remediation is the discovery of the mechanisms of metal resistance and  
45 sorption capacity. Yet, pure culture studies are not practical for large-scale processes or realistic  
46 for *in situ* bioremediation, due to the difficulty in maintaining pure cultures and guaranteeing their  
47 optimum metal sorption capacity under different environmental conditions. Alternatively,  
48 microbial communities could be a more realistic approach for *in situ* remediation.

49       The investigation of complex microbial communities for the sorption of heavy metals from the  
50 environment is promising, yet an unclear solution. Diverse microbial communities exist in the  
51 environment and may hold different sorption capacities or unknown mechanisms of metal  
52 resistance. But to date, very few studies have focused on understanding how microbial community  
53 diversity affects metal sorption.<sup>1, 6</sup> In this context, we highlight the value of studying complex  
54 microbial communities to understand how biodiversity affects metal sorption processes in large-  
55 scale applications.

56       In addition to research in biodiversity, studies in microbial metal tolerance up to date do not  
57 explain its effect on heavy metal sorption processes. The metal Minimum Inhibitory Concentration  
58 (MIC) of microbial cells is typically used as a first approach to determine the microbial metal

59 resistance. MIC is commonly defined as the lowest metal concentration inhibiting microbial  
60 growth.<sup>7</sup> Numerous studies have examined the heavy metal tolerance through the MIC of  
61 microorganisms isolated from different habitats, and grown under different conditions. But, to  
62 date, that large amount of data is still scattered, with no connection between metal resistance and  
63 microbial Kingdoms that could serve for a more effective bioremediation process.

64 In this study, we collected 930 values from other literature studies that comprise common cells  
65 used for metal sorption and metal tolerance, with aims to: i) correlate microbial metal tolerance,  
66 in terms of MIC, the type of metal, the microbial Kingdom, and the bacterial genus ; ii) determine  
67 if the growth medium has an influence in the MIC; iii) link microbial metal sorption capacity with  
68 the types of metal and the microbial Kingdom; iv) associate the type of metal and the microbial  
69 Kingdom with the maximum sorption capacity ( $q_{\max}$ ); and v) determine if biodiversity has a  
70 significant effect on  $q_{\max}$  by considering values of consortia and pure cultures. This approach will  
71 allow us to gain a better understanding of the role of microbial diversity, metal resistance, and  
72 metal sorption in bioremediation processes.

## 73 **2. Materials and Methods**

### 74 *Parameters investigated*

75 The meta-analyses presented in this study were performed with data collected from the literature.

76 **Table 1** summarizes the categorical and dependent parameters applied. The subsequent sections  
77 describe the analyses performed with these parameters.

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**Table 1- Number of literature results utilized for each analysis**

Categorical Parameter	Dependent Parameters	
	Metal Tolerance, MIC	q <sub>max</sub>
Microbial Kingdom (Eubacteria, Fungi, Plantae)	735	195
Types of Metal (As, Cd, Cr, Cu, Ni, Pb, Zn)	735	155
Bacterial Genus ( <i>Bacillus</i> , <i>Cupriavidus</i> , <i>Klebsiella</i> , <i>Ochrobactrum</i> , <i>Paenibacillus</i> , <i>Pseudomonas</i> , <i>Ralstonia</i> )	517	N/A
Growth Medium (Minimum and Rich)	735	N/A
Biosorbent Type (Pure Cultures and Consortia)	735	155

82 N/A: data not available

83 ***Analyses of Microbial Metal Tolerance relationships to Kingdoms, Bacterial Genus, Types of***  
84 ***Metals, and Biosorbent type***

85 In this analysis, we collected 735 MIC results from the literature, as presented in Table 1. These  
86 MIC values belong to microorganisms from different Kingdoms under aerobic growth conditions.  
87 The data collected were analyzed using the ANOVA statistical analysis with Rstudio (see  
88 supporting information). In all analyses in this manuscript, the prokaryotic Kingdom of bacteria  
89 was treated as separate from Archaea, as stated by Woese and Fox.<sup>8</sup>

90 The first analysis involved sorting the 735 MIC values into seven heavy metal groups (As, Cd,  
91 Cr, Cu, Ni, Pb, and Zn). The ‘type of metal’ was used as a categorical parameter and the MIC  
92 values included prokaryotes, eukaryotes, and consortia with both eukaryotic and prokaryotic  
93 microorganisms. A natural logarithm transformation of MIC values was done to obtain a normal  
94 distribution of the data. The ANOVA statistical analysis was done to determine if there was a  
95 statistically significant difference between the MIC values of all metal groups. In addition, the post

96 hoc Tukey's test was done to find out which metals had the highest and lowest values (see  
97 supporting information).

98 In the second analysis, the 'MIC' value was used as a dependent parameter and the 'Kingdom'  
99 (Eubacteria, Fungi, and Plantae) as a categorical parameter. Within those values, the analysis  
100 included pure cultures as well as consortia with either prokaryotes or eukaryotes, and consortia  
101 with both eukaryotic and prokaryotic microorganisms. The data included MIC values of the most  
102 common metals used in biosorption studies: As, Cd, Cr, Cu, Ni, Pb, and Zn. Most of the MIC  
103 studies used were short-term studies (maximum of 2 to 4 days of incubation). Some of the studies  
104 included tolerance assays done in one week and two were done in two weeks to a month. A natural  
105 logarithm transformation of MIC values was done to obtain a normal distribution of the data,  
106 presented in the supporting information. ANOVA statistical analysis was done to determine if  
107 there was a statistical difference among the three Kingdoms. In addition, the post hoc Tukey's test  
108 was done to find out which group had the highest and lowest values (see supporting information).

109 The third analysis involved evaluating 517 MIC values of pure cultures of different bacterial  
110 genera. This analysis aimed to determine whether specific genera could have different metal  
111 resistance. The most common genera of bacterium found to resist high concentrations of heavy  
112 metals are *Acidithiobacillus sp.*, *Desulfovibrio sp.*, *E. coli sp.*, *Cupriavidus sp.*, *Ochrobactrum sp.*,  
113 *Streptomyces sp.*, *Micrococcus sp.*, *Acinetobacter sp.*, and *Pseudomonas sp.*. However, because  
114 not enough data was available for all these genera, we utilized the most commonly studied  
115 microbes: *Bacillus sp.*, *Cupriavidus sp.*, *Klebsiella sp.*, *Ochrobactrum sp.*, *Paenibacillus sp.*,  
116 *Pseudomonas sp.*, and *Ralstonia sp.* as categorical parameters. A natural logarithm transformation  
117 of MIC values was done to obtain a normal distribution of the data. The ANOVA statistical  
118 analysis and post hoc Tukey's test were done to determine which group had higher MIC values,

119 as shown in the supporting information. For all analyses, the least square mean graphical  
120 representation was plotted with Rstudio.

121 A fourth analysis involved 735 MIC values, but the MIC values were divided into two groups:  
122 minimum and rich media so that the ‘media’ represented a categorical parameter. The ANOVA  
123 statistical analysis and post hoc Tukey’s test were done to determine which group had higher MIC  
124 values.

125 A fifth analysis involved the same 735 MIC values, but the MIC values were divided into two  
126 groups: pure cultures and consortia so that the ‘biosorbent type’ represented a categorical  
127 parameter. The ANOVA statistical analysis and post hoc Tukey’s test were done to determine  
128 which group had higher MIC values.

129 *Analysis of Microbial Maximum Sorption Capacity relationship to microbial kingdom and*  
130 *biosorbent type*

131 First, the relationship of the maximum sorption capacity,  $q_{\max}$ , to the microbial kingdom was  
132 investigated with  $q_{\max}$  values for various heavy metals from 195 published studies. The ‘Kingdom’  
133 (Eubacteria, Fungi, and Plantae) was used as a categorical parameter, whereas  $q_{\max}$  was used as a  
134 dependent parameter.

135 In a second analysis with 155 studies, the “metal” was used as a categorical parameter, whereas  
136 the  $q_{\max}$  was used as a dependent parameter. The data included MIC values of common metals  
137 used in biosorption studies: Cd, Cr, Cu, Ni, Pb, and Zn only for the bacteria Kingdom.

138 A third analysis included using “biosorbent type” (pure cultures or consortia) as a categorical  
139 parameter with the same 155 studies (97 for pure cultures and 58 for consortia from the bacteria

140 Kingdom). These values were sorted into two main groups: Pure Cultures (PC) and Consortia (C).  
141 (Table 1).

142 All consortia studies utilized in this analysis were a complex mixture of microorganisms  
143 obtained from environmental samples (e.g. soil, water or wastewater) grown in the laboratory with  
144 minimum media under aerobic conditions. None of the consortia studies were done in the study  
145 site. Most of the  $q_{\max}$  values were obtained from Langmuir isotherm data where excess metals  
146 are present. The  $q_{\max}$  values were calculated by the authors of each study. A few studies had only  
147 sorption capacity values, which were used from the highest reported observed values, or the  
148 maximum metal concentrations observed to be adsorbed, see Supporting Information. A natural  
149 logarithm transformation of  $q_{\max}$  values was done to obtain a normal distribution of all of the  
150 data. The ANOVA statistical analysis and the post hoc Tukey's test with Rstudio were done for  
151 the three analyses to determine which group had higher sorption capacity values, and the least  
152 square mean graphical representation was exported from Rstudio.

### 153 **3. Results and Discussion**

#### 154 ***3.1 Relationships among microbial kingdoms, bacterial genera, heavy metal tolerance, and*** 155 ***bioremediation capability***

156 Microorganisms can differ in metal resistance and in their ability to remove heavy metals. In  
157 the scientific literature, diverse microorganisms from the Eubacteria, Fungi, and Plantae Kingdoms  
158 have shown evidence of heavy metal resistance. Within such Kingdoms, though, some metal-  
159 resistant microorganisms have never been investigated for heavy metal remediation capability.<sup>9,10</sup>  
160 Thus, we first compared the MIC of different microbial Kingdoms, without considering their  
161 remediation capability, to understand whether a particular Kingdom is more tolerant to heavy  
162 metals than others. Additionally, we also investigated the tolerance of different Eubacterial genera

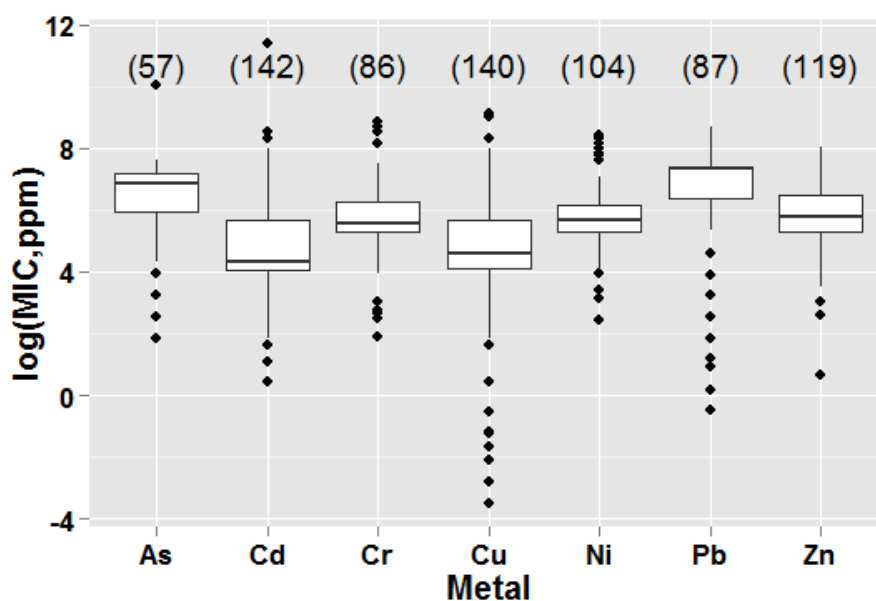


163 to determine whether different genera can have different metal tolerances. We selected Eubacteria  
164 as a representative group for genus investigation since it is the most studied Kingdom in the  
165 literature. The next step of our evaluation was to compare the remediation capability of these  
166 Kingdoms in terms of metal sorption capacity. These results allowed us to determine (i) whether  
167 heavy metal tolerance is intrinsic to any particular microbial Kingdom; (ii) more specifically,  
168 whether the metal tolerance depends on the type of genus, and (iii) whether microorganisms from  
169 a particular Kingdom that are tolerant to metals can play a significant role in metal sorption  
170 capacity and hold bioremediation capabilities.

#### 171 *Relationship between microbial metal tolerance and microbial Kingdom*

172 The relationship between microbial metal tolerance and Kingdom is key to determine the types  
173 of microorganisms that can survive in environments contaminated with heavy metals and  
174 potentially play a role in bioremediation processes. It is important, however, to first understand  
175 whether microbial metal tolerance is related to the type of metal since different metals have  
176 different redox capabilities, solubilities in water, and toxicity mechanisms under aerobic  
177 conditions. The analysis presented in **Figure 1** shows that there is a statistically significant  
178 difference between the different metals and the overall microbial tolerance under the same redox  
179 conditions (aerobic), with a p-value <0.001. In the results, the metals group together with various  
180 levels of toxicity. For instance, Cu and Cd seem to be the most toxic among the metals investigated  
181 in this study, since they had the lowest MIC values, as shown in Figure 1. The MIC values of these  
182 two metals are not significantly different from each other (Tukey's test  $p > 0.01$ ), but are  
183 significantly different from As, Ni, Pb and Zn (Tukey's test  $p < 0.001$ ). Cr, Ni and Zn, also seem to  
184 group together as having moderate toxicity, relative to the other metals. The MIC values of these  
185 two metals are not significantly different from each other (Tukey's test  $p > 0.01$ ), but are

186 significantly different from As and Pb (Tukey's test  $p < 0.001$ ). In the case of As and Pb, they group  
 187 together showing the least toxicity, since the MIC values of these two metals are not significantly  
 188 different from each other (Tukey's test  $p > 0.01$ ), but are significantly different from the rest of the  
 189 metals (Tukey's test  $p < 0.001$ ). The tolerance to metals was also statistically different for the  
 190 diverse microbial Kingdoms, as seen in the ANOVA test results in Figure 2 with  $p < 0.001$ .

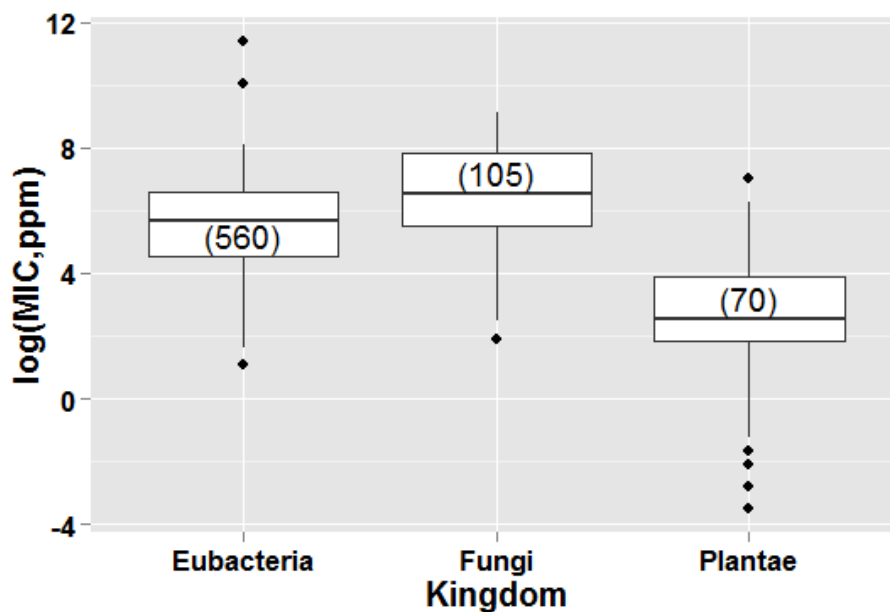


191  
 192 **Figure 1- Microbial metal tolerance, expressed as the Minimum Inhibitory Concentration, as a function of metal type**  
 193 **analyzed with ANOVA. The data presents results from 735 literature studies with bacteria, fungi, and plantae. Current**  
 194 **effect:  $F(6,724)=39.486, p < 0.001$**

195  
 196 The results of the relationship between metal tolerance and Kingdom show that Fungi have the  
 197 highest tolerance to heavy metals, in terms of MIC, followed by Eubacteria, and Plantae (Figure  
 198 2). The Fungi group presented statistically significant higher MIC values than the other Kingdoms,  
 199 with a  $p < 0.001$  confidence level (**Table S4**). Even though the cells from these studies were grown  
 200 under different conditions, i.e. composition of nutrients in the culture media, incubation  
 201 temperatures, and rotational speed, the confidence level of the analysis suggests that, in average,

202 Fungi have higher metal resistance than other Kingdoms. These results are not surprising since  
 203 Fungi carry diverse mechanisms of metal detoxification found both in prokaryotes (metal efflux  
 204 pumps) and in eukaryotes (intracellular sequestration), which makes them more resistant to diverse  
 205 heavy metals.<sup>11,12</sup> For instance, *Candida albicans* and *Candida tropicalis* are human pathogens  
 206 known to resist high concentrations of diverse metal ions, such as  $\text{Cu}^{2+}$ ,  $\text{Zn}^{2+}$ ,  $\text{Cr}^{2+}$ ,  $\text{Hg}^{2+}$ ,  $\text{Pb}^{2+}$ ,  
 207  $\text{Cd}^{2+}$ ,  $\text{As}^{3+}$ , and  $\text{Se}^{6+}$  via different mechanisms.<sup>13,14</sup> Among the different mechanisms of tolerance,  
 208 intracellular sequestration mechanisms are typically seen in Fungi.<sup>12</sup>

209



210

211 **Figure 2- Microbial metal tolerance, expressed as the logarithm of the MIC, as a function of microbial Kingdom analyzed**  
 212 **with ANOVA. The data presents results from 735 literature studies. Current effect:  $F(2,724)=250.7619$ ,  $p < 0.001$ .**

213 The intracellular sequestration in Fungi can involve accumulation by binding metals to low  
 214 molecular weight, cysteine-rich proteins present in the cytoplasm, called metallothioneins (MT).<sup>15</sup>  
 215 Even though MT can be found in prokaryotes, they are more typically seen in eukaryotes. MT are  
 216 well-known contributors to the high metal resistance in Fungi. The gene sequence that encodes the  
 217 metal-binding MT in the yeast *Saccharomyces cerevisiae* was first revealed in 1984.<sup>16</sup> Since then,

218 important findings with MT in genetic engineering have revealed that the more stable eukaryotic  
219 MT can be inserted in prokaryotic cells to enhance metal tolerance and accumulation.<sup>17,18,19,20</sup>

220 Fungal intracellular sequestration of metals can also occur through phytochelatins (PCs),  
221 which are peptides, oligomers of glutathione that are enzymatically synthesized in the cytosol and  
222 can form PC-metal complexes.<sup>21</sup> The first vacuolar PC transporter was first revealed in the yeast  
223 *Schizosaccharomyces pombe*.<sup>22,23,24</sup> With the well-recognized role of PCs in metal sequestration  
224 in Fungi, new studies have genetically engineered PCs in existing bacterial species to increase  
225 their resistance against metal ions. For example, a recent study cloned and expressed PCs from *S.*  
226 *pombe* into *Pseudomonas putida* KT2440 to increase the mRNA expression of *SpPCS*, which  
227 resulted in enhanced bacterial Cd accumulation<sup>25</sup>.

228 Eubacteria are the second most tolerant Kingdom to heavy metals and the most studied.  
229 Diverse mechanisms of resistance have been suggested for bacterial cells, such metal efflux  
230 pumps, metal reduction, cell wall binding, EPS sequestration, metal volatilization, and intracellular  
231 sequestration. Several bacteria are able to change the oxidation state of metals, such as iron,  
232 chromium, uranium, and arsenic, through various metabolic processes.<sup>26</sup> A variety of microbes  
233 can also produce hydrogen sulfide to aid in the immobilization of metals, and the majority falls  
234 within the phylum Proteobacteria.<sup>27</sup> The efflux of chromate, Cr<sup>6+</sup>, out of the cytoplasm has been  
235 extensively studied, particularly for the strain *C. metallidurans*.<sup>28,29</sup> Metal sequestration via  
236 transport or efflux pumps is commonly seen among bacteria, as described previously.<sup>29,30</sup>  
237 Volatilization of mercury has been known to remove significant amounts of mercury from  
238 contaminated surface waters, wastewaters, and soils.<sup>31</sup> The metal volatilization mechanism has  
239 been well studied, particularly for plasmid-borne genes that provide mercury resistance in several  
240 bacterial species.<sup>32,33,34</sup> Lastly, the cell wall binding is another mechanism of resistance among

241 bacteria that gives this Kingdom a high level of metal tolerance. In Gram-positive, the  
242 peptidoglycan may contain teichoic acids, polymers of glycerol or ribitol joined by phosphate  
243 groups, which have proven to participate in the metal binding. The binding in Gram-positive  
244 bacteria occurs by the phosphoryl and/or by the carboxyl groups of the peptide chains. The  
245 lipopolysaccharide and phospholipids provide the primary sites for metal interaction in Gram-  
246 negative bacteria.<sup>36</sup> Some of the mechanisms of resistance that make this Kingdom tolerant is  
247 further discussed in the next section.

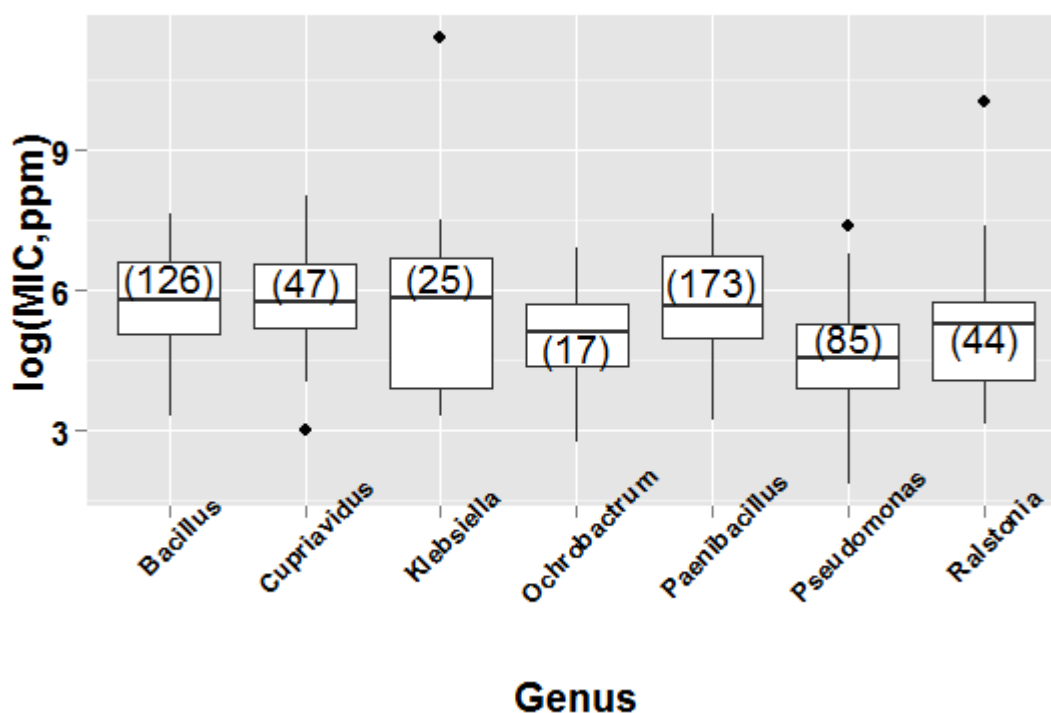
248 The least tolerant Kingdom belong to the Plantae Kingdom. The microorganisms from this  
249 Kingdom, mainly algae, are the least resistant to heavy metals. Although the metal MICs of  
250 different algal species are relatively low (**Figure 2**) when compared to bacterial, and fungal  
251 species, living and non-living algal cells are still used for biosorption of different metal ions.<sup>37, 38</sup>  
252 Although the metal MICs of different algal species are relatively low when compared to bacterial  
253 and fungal species, non-living algal cells are typically used for biosorption of different metal  
254 ions.<sup>37,38</sup> Outer membrane adsorption or cell wall binding have been shown as an important  
255 mechanism in algal metal biosorption because of their large surface area-to-volume ratio available  
256 for contact with the metal-rich environment.<sup>39</sup> Many organisms in the plantae Kingdom contain  
257 cellulose as their main cell wall component, which have shown to sorb various metals in *Ulva*  
258 *lactuca*,<sup>40</sup> a Chlorophyta. Among the groups of plantae, Phaeophyta, Chlorophyta, and Rhodophyta  
259 are the most investigated for bioremediation. These different types of plantae have important  
260 differences in their cell wall structure and some internal organelles. For instance, they all have  
261 different and complex polysaccharide contents in the cell wall.<sup>41</sup> Thus, the cell wall chemistry of  
262 the different plantae species plays a key role in the number of electrostatic interactions and  
263 complexation with metal ions available for bioremediation. But living plantae species are not

264 resistant to high metal concentrations. For example, previous research indicated that zinc  
265 concentrations above 20 mg/L inhibited the algal growth of *C. pyrenoidosa*.<sup>42</sup>

266 The results of this analysis suggest that the overall microbial tolerance to metals is different  
267 for microorganisms from different Kingdoms, with Fungi being the most tolerant to heavy metals.

### 268 Relationship between microbial metal tolerance and microbial genus

269 Besides evaluating the effect of the Kingdom on metal tolerance, we evaluated the effect of  
270 the bacterial genera on the MIC. Since the Eubacteria Kingdom has the most data available, the  
271 MIC values of this Kingdom were further parsed out in order to evaluate the genus with the highest  
272 tolerance to heavy metals (Figure 3).



273

274 **Figure 3- Microbial metal tolerance, expressed as the logarithm of the MIC, as a function of bacterial genus (analyzed**  
275 **with ANOVA. The data presents results from 517 literature studies. Current effect:  $F(6,504)=23.941, p < 0.001$**   
276

277 The results in Figure 3 show that there is a statistical difference between the metal tolerances  
278 of different genera. Through the Tukey's post hoc test (see supporting information), it was found

279 that *Bacillus*, *Cupriavidus*, *Klebsiella*, and *Paenibacillus* are the most resistant, whereas  
280 *Ochrobactrum*, *Pseudomonas*, and *Ralstonia* are the least resistant.

281 *Cupriavidus* and *Ochrobactrum* are suitable for metal remediation due to their reduction  
282 mechanisms. Bacteria have played major roles in the reduction of chromate ions,  $\text{Cr}^{6+}$ , to insoluble  
283 trivalent chromium,  $\text{Cr}^{3+}$ . Three reduction mechanisms have been suggested for the reduction of  
284  $\text{Cr}^{6+}$ : 1) in aerobic conditions, chromate reduction happens through soluble chromate reductases  
285 that use NADH or NADPH as cofactors, (ii) in anaerobic conditions,  $\text{Cr}^{6+}$  acts as an electron  
286 acceptor in the electron transport chain, and (iii) through chemical reactions with microbially  
287 generated compounds such as amino acids, nucleotides, sugars, vitamins, organic acids or  
288 glutathione that can effectively reduce chromium.<sup>29</sup>

289 *Klebsiella* was shown to use the metal precipitation mechanism for metal tolerance.<sup>43</sup> The  
290 metal oxidation/reduction mechanism often overlaps with the metal precipitation mechanism since  
291 some metals are reduced to an insoluble state where they precipitate. Yet, not all microorganisms  
292 capable of reducing metals induce their precipitation.<sup>44</sup> Biological precipitation of metals has been  
293 widely considered for metal bioremediation in water,<sup>45,46,9</sup> and the precipitation may be mediated  
294 by the production of hydrogen sulfide or by cellular respiration. In a previous study, *Klebsiella*  
295 *aerogenes* S45 appeared to use the formation of insoluble cadmium sulfide as the primary  
296 detoxification mechanism.<sup>43</sup>

297 *Bacillus* and *Paenibacillus* typically use the EPS sequestration mechanisms for metal  
298 resistance.<sup>47,48</sup> Metal ions can bind to the EPS by ionic interactions with the negatively charged  
299 functional groups, or, for example, by forming salt bridges with carboxylate groups from two  
300 different polysaccharide chains in the EPS matrix.<sup>47</sup> The study by Perez et al., (2008) revealed the  
301 chemical composition of the EPS from the Gram-positive *Paenibacillus jamilae* with 28% of the

302 weight being uronic acids,<sup>47</sup> while a similar uronic acid content (38%) was seen in the EPS from  
303 the Gram-positive *Bacillus firmus*.<sup>48</sup> The EPS from both of these strains played a key role in the  
304 heavy metal sequestration within the matrix.

305 *Pseudomonas* also uses the reduction mechanism to increase its metal tolerance. The first  
306 aerobic bacterium found to be resistant to chromate was *P. fluorescens* LB300, and its resistance  
307 was attributed to *chr* genes in the plasmid pLHB1.<sup>49</sup> The enzyme ChrR from the CHR protein  
308 superfamily was found to be responsible for the effective reduction of Cr<sup>6+</sup> in *P. putida*,<sup>50,51</sup> and  
309 the enzyme's crystal structure was recently published by Eswaramoorthy et al.<sup>52</sup> Other bacteria  
310 able to reduce chromate by the presence of *chr* in different plasmids include *O. anthropi*,<sup>53</sup> *E. coli*,  
311 <sup>54</sup> and *C. metallidurans*.<sup>28</sup> These bacteria are among the species with the highest chromium  
312 resistance.

313 For some aerobic species, such as *Ralstonia* and *Pseudomonas* the metal resistance is owed to  
314 a combination of various mechanisms. *Ralstonia* has shown tolerance to lead by a combination of  
315 mechanisms of uptake, sequestration, and efflux. In *Ralstonia metallidurans* CH4, for example,  
316 the efflux ATPase pump PbrA, which contains heavy metal-associated metal binding domains, can  
317 expel Pb<sup>2+</sup> out of the cell.<sup>55</sup> The *Pseudomonas* genus has also shown various metal resistance  
318 mechanisms. The chromate resistance of this genus is owed to a combination of chromate  
319 reduction and chromate efflux.<sup>49</sup> The efflux of Cd<sup>2+</sup> and Cu<sup>2+</sup> is also mediated by ATPase pumps.  
320 Proteomic analysis of *P. putida* exposed to copper and cadmium revealed that the P-type ATPase  
321 CadA2 was associated with Cd<sup>2+</sup> efflux, whereas the Cu<sup>2+</sup> resistance was linked to the activation  
322 of the gene encoding the P-type ATPase transporter (*pacS*) and genes for metal-binding proteins  
323 in the outer membrane, the periplasmic and the cytosol (*porD*, *copZ* or *pacZ*, *copA1*, *copA1*, and



324 *copB1*).<sup>56</sup> Other reviews are available to detail the microbial chromium resistance of various  
325 species.<sup>29,57,58</sup>

326 Although the mechanisms of resistance are known to protect the microbial cells against heavy  
327 metal toxicity and to carry out cellular functions, other questions remain: are the microorganisms  
328 with the highest metal resistance able to sorb heavy metals more efficiently from the environment?  
329 And can they sorb certain metals better than others? Would the water chemistry affect their  
330 tolerance? In the latter one, even though there are no studies *in situ* investigating the relationship  
331 between water chemistry and metal tolerance of microorganisms, some studies have used different  
332 growth media to simulate different water chemistries and determined metal tolerance.

### 333 *Relationship between microbial metal tolerance and growth medium*

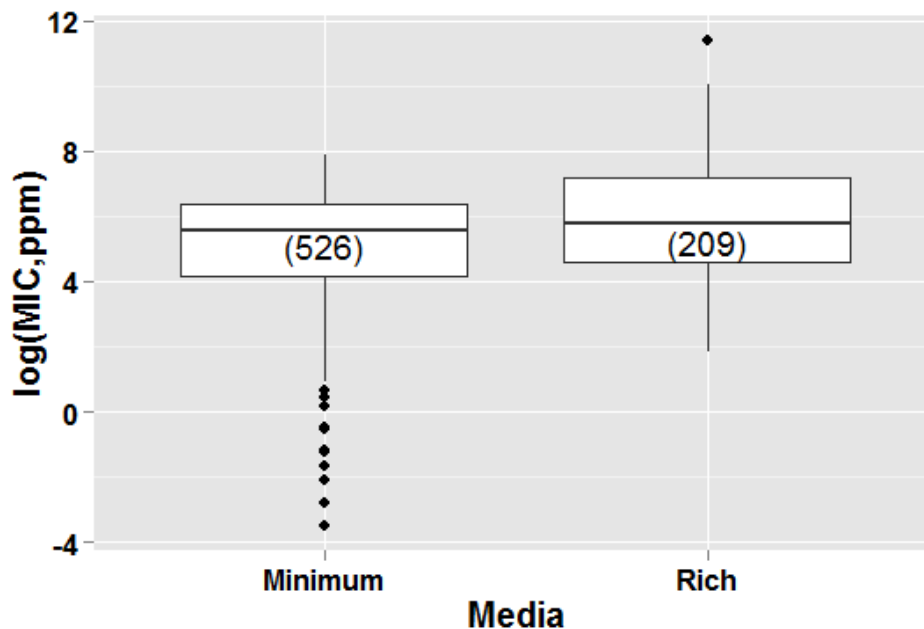
334 Many studies with these microorganisms suggested that the composition of the growth media,  
335 *i.e.* rich versus minimum media, would influence the microbial metal tolerance due to the higher  
336 chance of forming metal complexes with biomolecules present in the rich medium. The studies  
337 using minimum media typically aimed to better correlate or simulate the aquatic chemistry of the  
338 environment since the minimum media is typically composed by salts only and one carbon source;  
339 whereas rich media can contain salts, different sugars and other biomolecules from cell extracts  
340 (e.g. polysaccharides, proteins, lipids). The effect of the growth medium on the MIC was not  
341 evaluated by the authors of the respective studies presented here. In order to determine whether  
342 the growth media can influence the metal availability and consequently the level of metal  
343 tolerance in the different Kingdoms, the media (minimum or rich) was also included in the  
344 ANOVA statistical analysis (Figure 4).

345 In this study, it was found that the growth medium does not influence significantly the metal  
346 tolerance level ( $p=0.6716$ ). The results of this analysis may be due to the fact that microorganisms

347 can secrete complex biomolecules, called exopolymeric substances (EPS), during growth in any  
348 type of media, including minimum media. These biomolecules can effectively complex with  
349 metals and compete with cells for metals.<sup>114</sup> These secreted EPS could have made the minimum  
350 media more complex and similar to the biomolecules also found in rich media, which would  
351 explain the similar responses observed between rich and minimum media in this analysis.

352 Note that both minimum and rich media are synthetic solutions made in the laboratory and do  
353 not represent the exact chemistry conditions of the environment. Although the analysis of the  
354 composition of specific media is outside of the scope of this study, it is important to highlight that  
355 the water chemistry will have an effect on the heavy metal bioavailability and hence will affect  
356 toxicity towards microbial cells.

357  
358



359  
360 **Figure 4- Microbial metal tolerance, expressed as the logarithm of the MIC, as a function of growth medium (analyzed**  
361 **with ANOVA. The data presents results from 735 literature studies (see supporting information for the references).**  
362 **Current effect:  $F(1,724)=0.1799$ ,  $p=0.6716$**

363 Generally, the ionic form of a metal is more toxic, because it can form toxic compounds with  
364 other ions. Electron transfer reactions that are connected with oxygen can lead to the production  
365 of toxic oxyradicals, a toxicity mechanism now known to be of considerable importance in both  
366 animals and plants.<sup>60</sup> Some oxyradicals, such as superoxide anion ( $O_2^-$ ) and the hydroxyl radical  
367 ( $OH^\cdot$ ), can cause serious cellular damage.<sup>60</sup> The water chemistry is essential in the bioavailability  
368 of heavy metals. Some of the parameters that affect the water chemistry are pH, sulfate and nitrate  
369 ions, total hardness, redox potentials, electrical conductivity/salinity, solids, and organic matter.

370 The pH can affect metals' bioavailability and mobility. When the pH in water becomes more  
371 acidic, metal solubility increases and the metal particles become more mobile. For instance, water  
372 coming from draining mining areas is often very acidic and contains high concentrations of  
373 dissolved metals with little aquatic life <sup>61</sup>. In water with higher pHs, the heavy metals tend to  
374 precipitate as hydroxides, or as sulfides and carbonates depending on the sulfate concentration and  
375 hardness <sup>62</sup>.

376 In the presence of sulfates or high total hardness in the water system, metal ions tend to  
377 precipitate typically as sulfides, sometimes sulfates, or carbonates. Toxicity studies with different  
378 heavy metals in water with different hardness demonstrated that the metals were less available to  
379 biological systems.<sup>62,63</sup> Therefore, heavy metals were shown to be less toxic in soft waters.  
380 Additionally, changes from reducing to oxidizing conditions in aquatic systems, which involve the  
381 transformation of sulfides and a shift to more acidic conditions have been shown to increase the  
382 mobility of heavy metals, such as Hg, Zn, Pb, Cu, and Cd. On the other hand, the mobility was  
383 shown to be reduced for Mn and Fe under oxidizing conditions.<sup>62</sup>

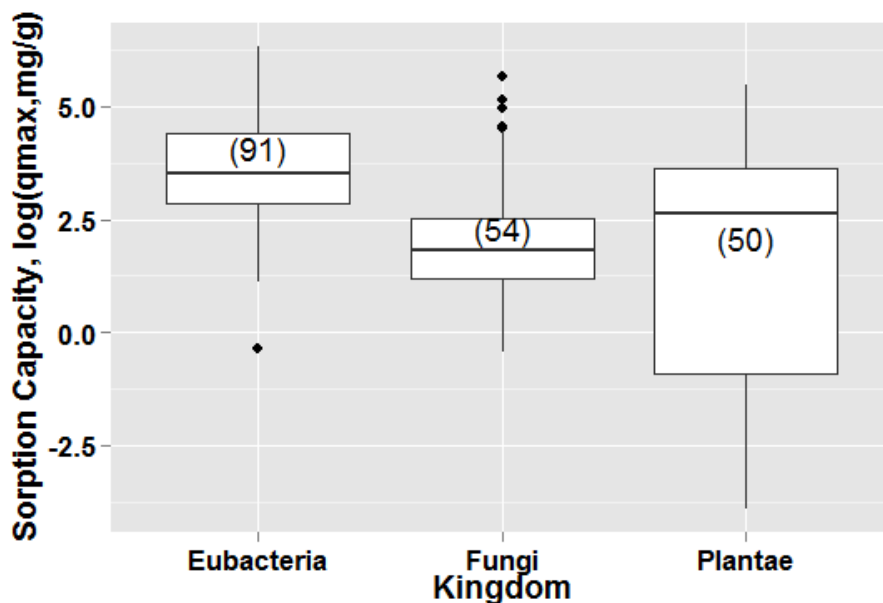
384 In the case of salinity or ions in water, the effect of ligands on heavy metals' mobility is usually  
385 determined from their respective stability constants.<sup>59</sup> In this respect, the influence of salinity will

386 depend on the difference between the different stability constants.<sup>59</sup> Another parameter that affects  
387 heavy metal bioavailability is the presence of solids or particles, such as silt and clay, in the water.  
388 These particles tend to complex with metals, and in some cases precipitate in the sediment, which  
389 would make the heavy metal less available to the biota.<sup>64</sup>

390 Finally, the organic matter present in the water is another important factor that controls the  
391 accumulation, mobility, and bioavailability of heavy metals. Naturally dissolved organic matter,  
392 such as humic material or amino acids present in both fresh and salt water, has shown to affect  
393 very little the mobility of Cd, Ni, U, and Zn.<sup>65</sup> But, As, Cr, Cu, Pb, and V species, on the other  
394 hand, was markedly affected by natural organic chelators. The study indicated that the observed  
395 effects were associated with the formation of metal–NOM complexes.<sup>65</sup> It has, however, been  
396 suggested that upon biodegradation of the humic acid these metals can become again available.  
397 Based on these previous studies and our analysis of the growth media, clearly the evaluation of  
398 water chemistry needs to be further investigated in relation to microorganisms, as present growth  
399 media and conditions seem to not really represent well the diverse water chemistries found in the  
400 environmental.

#### 401 *Relationship between metal sorption capacity and microbial kingdom*

402 Different literature reviews have pointed out that microorganisms have different metal sorption  
403 mechanisms.<sup>66</sup> In this study, we examined whether the sorption capacity is sensitive to the  
404 microbial Kingdom. **Figure 5** and **Table 3** indicate that there is a statistically significant difference  
405 between metal sorption capacity and Kingdom, with a  $p < 0.001$  confidence level.



406

407 Figure 5- Microbial Metal Sorption Capacity as a function of the three different kingdoms analyzed with ANOVA. The  
 408 data presents results from literature studies. Current effect:  $F(2, 192)=25.546, p<0.001$ .

409

410

Table 2- ANOVA statistical analysis of qmax for different microbial kingdoms

Effect	DF	F	p
ANOVA Analysis 1			
Microbial Kingdom	2	25.546	<0.001
Residuals	192		

411

412 The results showed that microorganisms from the Eubacteria Kingdom present statistically  
 413 significant higher sorption capacity values than the rest of the groups (**Figure 5**). This result clearly  
 414 shows that tolerance is not directly related to the bioremediation ability of a group of  
 415 microorganisms. Yet, the confidence level of the statistical analysis suggests that on average,  
 416 Eubacteria have historically revealed higher metal sorption capacities than the other Kingdoms. It  
 417 is possible that the mechanisms of metal sorption or the biodiversity of this Kingdom may have  
 418 caused its higher metal sorption. They are the most widely studied Kingdom for bioremediation  
 419 processes. Eubacteria are frequently investigated as metal biosorbents and biotransformants

420 because of their genomic versatility, short generation times, and metal tolerance. These traits  
421 facilitate rapid microbial adaptation to a wide range of environmental conditions.

422 Plantae and fungi did not have a statistically significant difference in sorption capacity (Tukey  
423 test,  $p=0.443$ , see supporting information). The principal mechanisms of metal sorption by Plantae  
424 are through biosorption and intracellular sequestration.<sup>67</sup> Biosorption is mainly attributed to the  
425 cell wall properties, where both electrostatic attraction and complexation can play a role. Within  
426 the Plantae Kingdom, algae have been extensively studied for their metal sorption. Chlorophyta  
427 (or green algae), for instance, have xylan and mannan polysaccharides in the embedding matrix,  
428 which contain hydroxyl and carboxyl functional groups. Although the MIC for certain algal  
429 species of this group are quite low, they can still sorb metal ions effectively.<sup>42</sup> In a previous study,  
430 the green algae *Chlorella pyrenoidosa* was seen to sorb up to 95.6% of 20 mg/L  $Zn^{2+}$  ions, and the  
431 metal binding occurred in the algal cell wall.<sup>42</sup> Therefore, the cell wall chemistry of green algae  
432 enables the effective adsorption of metals from water, even though the metal MIC is relatively  
433 low.

434 Fungi also have lower sorption capacity values than bacteria. This may be attributed to the  
435 higher surface area for metal sorption per milligram of cell mass when compared to fungi.<sup>113</sup>  
436 Literature shows that both living and dead cells of fungi can uptake toxic and precious metals,  
437 which make them suitable for heavy metal bioremediation of water and wastewater. The most  
438 widely studied fungus for heavy metal sequestration is yeast.<sup>68, 69</sup> For instance, Volesky et al.  
439 (1995) studied the yeast *S. cerevisiae* uptake capacity for various metal ions grown aerobically and  
440 anaerobically. The metabolically-active aerobic yeast could uptake metals in this order of  
441 preference:  $Zn > Cu \sim Cd > U$ ; anaerobic yeast in this order:  $U > Zn > Cd > Cu$ .<sup>68</sup> The uptake of

442 metals by yeast has been attributed to intracellular sequestration and by the presence of  
443 extracellular materials produced by yeasts.<sup>14</sup>

### 444 ***3.2. Role of Biodiversity on Metal Tolerance and Sorption Capacity***

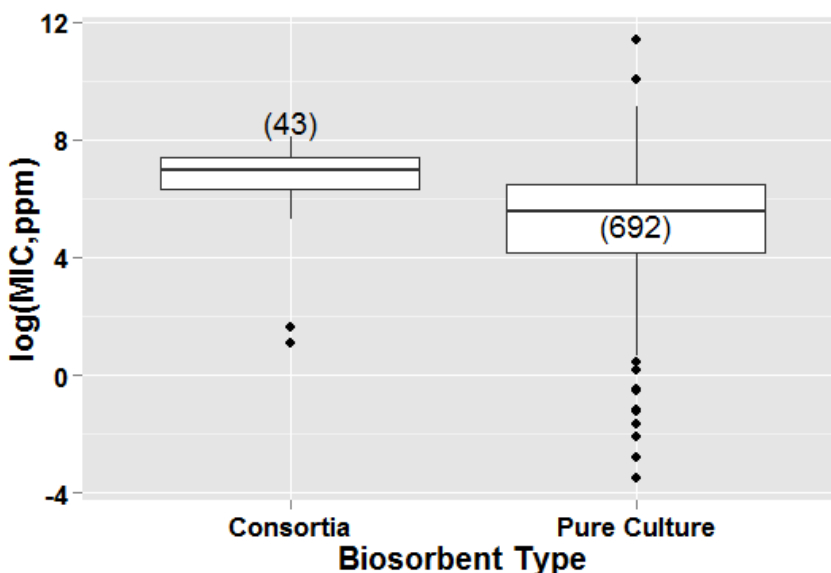
445 All the studies analyzed in the previous sections included only pure cultures. Although pure  
446 cultures can have multiple metal resistance mechanisms, consortia can perform complicated  
447 functions that individual populations cannot.<sup>70</sup> Consortia can also be more robust to environmental  
448 fluctuations, such as metal concentrations.<sup>70</sup> Therefore, complex microbial communities are  
449 typically more attractive for large-scale processes and for *in situ* bioremediation. In this study, we  
450 aim to establish relationships among biodiversity, metal tolerance, and sorption capacity. For that  
451 purpose, we will compare results from studies with consortia, which contain multiple microbial  
452 species with various mechanisms of metal resistance, with results from studies with pure cultures.  
453 This approach will allow us to determine whether complex microbial communities are better at  
454 tolerating and removing heavy metals than pure cultures.

#### 455 *Relationship between diversity and metal tolerance*

456 The best way to determine whether microbial communities are more tolerant to metals than  
457 pure cultures is to perform an analysis comparing microbial metal resistance between consortia  
458 and pure cultures. Consortia in the present study represent communities of microorganisms found  
459 in heavy metal contaminated environments that were grown in the laboratory in synthetic  
460 minimum growth media prior to experimentation (e.g. MIC and sorption).

461 The relationship between microbial metal resistance and biodiversity is critical to understand  
462 whether microbial diversity influences the mechanisms of metal tolerance. **Figure 6** indicates that  
463 there is a statistically significant difference between the two groups, with a  $p < 0.001$  confidence  
464 level. The confidence level suggests that, on average, consortia have higher heavy metal resistance.

465 The higher metal resistance of consortia may be explained by the effective communication  
 466 between the microbial cells among different species.<sup>91</sup> Through communication between cells,  
 467 microbial consortia can trigger changes in gene expression in response to high levels of metals.<sup>71</sup>  
 468 The response to metal concentrations may happen by metabolic variations in several community  
 469 members, shifting the concentration and fate of dissolved metabolites, to increase the tolerance for  
 470 metals.<sup>72</sup>  
 471



472  
 473 **Figure 6- Microbial metal resistance, expressed as the minimum inhibitory concentration, as a function of the biosorbent**  
 474 **type. ANOVA results from 735 literature studies. Current effect:  $F(1,724)= 20.108, p<0.001$ .**

475 Various metal tolerance mechanisms utilize Quorum Sensing (QS) to increase microbial metal  
 476 resistance. Some metals, such as copper, have shown to induce production of Reactive Oxygen  
 477 Species (ROS) through auto-oxidation or Fenton-like reactions.<sup>73</sup> The production of ROS leads to  
 478 activation of oxidative stress pathways by QS, which increases the tolerance of microorganisms  
 479 for metals.<sup>71</sup> A resistance mechanism, shown in the Gram-negative *P. aeruginosa*, is facilitated  
 480 through QS of an acyl-HSL signal named 3OC<sub>12</sub>-HSL. This signal is produced by the enzyme Las-



481 I and is recognized by the Las-R regulator, which directly binds to the *cueR* to trigger its expression  
482 for copper export.<sup>71</sup>

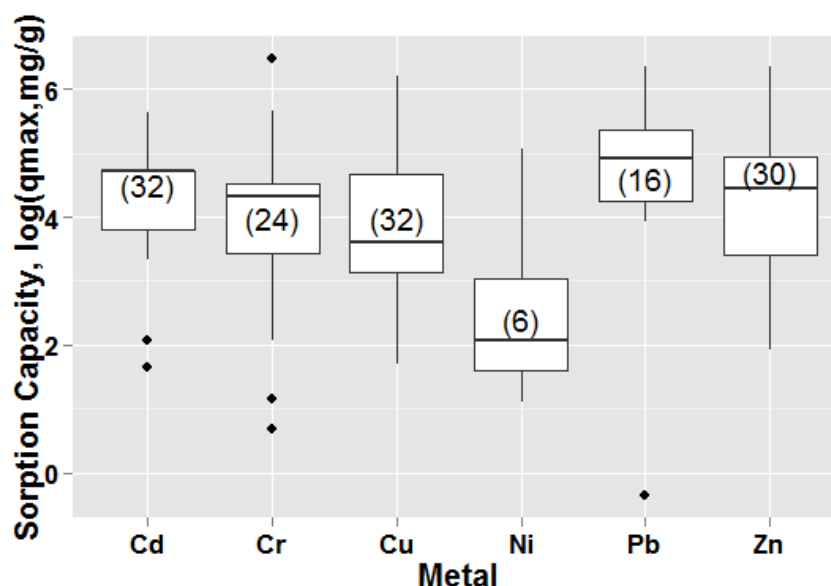
483 Another tolerance mechanism occurring in Gram-positive bacteria, through oligopeptide  
484 signaling, wherein the oligopeptide is sensed by a transmembrane receptor component of a two-  
485 component signal transduction module (TCS). The TCS then activates an intracellular response  
486 mechanism.<sup>74, 75</sup> The QS plays an important role in providing bacteria with the ability to respond  
487 phenotypically to specific metal concentrations.<sup>76, 77</sup>

488 The effect of microbial diversity on the mechanisms of resistance is still a debated topic. This  
489 analysis, however, shows that microbial metal resistance is influenced by biodiversity and that  
490 more studies, especially *in situ*, are needed to better understand the resistance mechanisms in  
491 microbial communities in real environmental settings.

#### 492 *The relationship between metal sorption capacity and microbial diversity*

493 Different literature reviews have pointed out that microorganisms have different metal sorption  
494 mechanisms.<sup>66</sup> The sorption has shown to depend on the metal redox potential<sup>78</sup> and/or the  
495 metal solubility in water.<sup>79</sup> In this study, we examined whether the sorption capacity is sensitive  
496 to the type of heavy metals for both pure cultures and consortia. **Figure 7** indicates that there is  
497 statistically significant difference between all the metal groups and the sorption capacity, with a  
498  $p < 0.001$  confidence level. The confidence level of the analysis suggests that, on average, there

499 is a difference in the sorption capacity between the different types of heavy metals. This effect is  
500 similar to the results obtained between metal tolerance and type of metal.



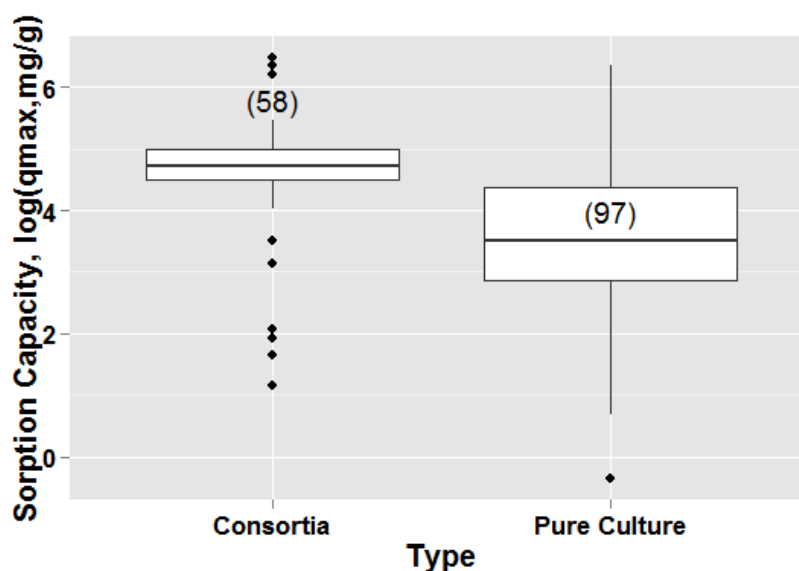
501

502 **Figure 7- Bacterial Metal Sorption Capacity as a function of different heavy metals analyzed with ANOVA. The data**  
503 **presents results from 155 literature studies. Current effect: F(5, 148)=6.510, p<0.001**

504 The correlation between metal sorption capacity and type of metal is not surprising since the  
505 microbial detoxification or sorption of metals in contaminated environments is controlled by  
506 oxidative and reductive (redox) transformations of the element or biosorption.<sup>2, 79 58</sup> The result of  
507 this analysis suggests that the sorption capacity is different for different metal ions, which may be  
508 explained by the difference in oxidation-reduction potentials( $E^0$ ), solubility, ionic radius, valence,  
509 and affinity to functional groups for each metal.<sup>110-112</sup> The choice of microbial species for metal  
510 remediation is still a debated topic among researchers, because of the variety of mechanisms  
511 responsible for metal sorption, the amount of species available, and the different metal sorption  
512 capacities of the different species.<sup>82, 83</sup> Most of these studies, so far, have focused on heavy metal  
513 treatment by pure cultures.<sup>35, 84-86</sup> The major issue of using pure cultures for heavy metal  
514 remediation is that pure cultures cannot be maintained in large-scale treatment processes.

515 Therefore, understanding metal sorption processes of complex microbial communities is more  
516 relevant for real environmental engineering applications.

517 The maximum metal sorption capacity of pure cultures (PC) and consortia (C) was evaluated  
518 in this assessment to understand which group could present higher efficiency as a biosorbent.  
519 **Figure 8** shows that the C group presented statistically significant higher values than the PC group,  
520 with a  $p < 0.001$  confidence level (**Table 5**). Even though all the batch sorption studies from these  
521 previous studies were performed under different experimental conditions, i.e., composition of  
522 nutrients in the culture media, incubation temperature, and rotational speed, the confidence level  
523 of the statistical analysis suggests that in average, the microbial consortia have historically shown  
524 higher metal sorption capacities than pure cultures. These results also demonstrate the importance  
525 of investigating complex microbial communities for metal sorption capacity applications.



526  
527 **Figure 8- Maximum Heavy Metal Sorption Capacity of Microbial Pure Cultures (PC) and Consortia (C)**  
528 **obtained from 155 research studies.<sup>9, 10, 36, 87-109</sup>. Current effect:  $F(1, 148)=34.558, p<0.001$**

529  
530  
531

#### 532 **4. Conclusion**

533

534 Metal sorption by microorganisms have proven effective and shown great potential for  
535 bioremediation applications. Microbes have historically shown tolerance against heavy metals and  
536 ability to remove metals from the environment.

537 In this study, the authors confirmed that the overall microbial metal tolerance and the  
538 maximum sorption capacity differ with the type of metals. Fungi have historically shown the  
539 highest tolerance to heavy metals, in terms of MIC, followed by Eubacteria, and Plantae. In  
540 addition, the Eubacteria Kingdom has shown higher sorption values than Plantae and Fungi. Most  
541 importantly, microbial diversity may play an important role in bioremediation as consortia were  
542 found significantly more resistant and with a higher maximum sorption capacity than pure cultures.  
543 Most of the studies so far, however, have focused on pure cultures. Therefore, the lack of integrated  
544 knowledge on the physiology, diversity, and functionality of microbial communities able to  
545 remove metals in the environment, hinder their effective application in bioremediation of heavy  
546 metals. Based on the current studies, the effective application of microorganisms for metal  
547 remediation will depend on the integration of diverse tools from the fields of microbial ecology,  
548 biotechnology, and environmental engineering for the development of optimal heavy metal  
549 bioremediation techniques.

#### 550 **Supporting information:**

551 References used for meta-analysis, normal distribution figures, Tukey's test results, statistical  
552 analysis with RStudio, and model results

#### 553 **Acknowledgements**

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