

# **The Development of Locally Bioavailable COX-2 Inhibitors**

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# The Development of Locally Bioavailable COX-2 Inhibitors

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## ABSTRACT

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2

3 **Objective:** The effectiveness of the selective COX-2 inhibitors in colorectal cancer  
4 chemoprevention has been demonstrated in previous studies. However, these drugs are  
5 not safe agents to patients due to their toxicity in the cardiovascular system. The long-  
6 term goal in this project is to develop new selective COX-2 inhibitors which can be used  
7 as effective and safe agents for colorectal cancer chemoprevention in human. The  
8 objective in the current studies is to develop a series of new compounds which are able to  
9 inhibit colonic COX-2 activity but not systemically bioavailable.

10 **Methods:** The new compounds were designed by using celecoxib as the template. Their  
11 inhibitory effects on COX-2 activity were studied in cell-based assays or by employing  
12 recombinant human COX-2 enzyme. The metabolic properties of the new compounds  
13 were characterized by *in vitro* tools and models before pharmacokinetics studies were  
14 conducted in rats. Among all the new compounds, the inhibitory effect of the lead  
15 compound **6a1** on colonic COX-2 activity was then confirmed in inflamed rat colon by *in*  
16 *situ* perfusion. During the perfusion, blood and bile samples from rats were also collected  
17 for studying the absorption, metabolism and excretion of **6a1** in rats. The relevant studies  
18 were also conducted with celecoxib to show the differences between celecoxib and the  
19 locally bioavailable COX-2 inhibitors.

20 **Results:** 8 new compounds with phenolic groups in their structures were successfully  
21 designed, synthesized and purified. In the cell-based assays and by employing human  
22 recombinant COX-2 enzymes, the new inhibitors were confirmed as COX-2 inhibitors,  
23 although they were less potent than celecoxib. In the *in vitro* characterization, some of the

24 new compounds were very efficiently conjugated by phase II enzymes with the  
25 conjugation rates largely depended on the phenolic group species. A pharmacokinetics  
26 study in rats demonstrated the low oral bioavailability of **6a1**, which was selected as the  
27 lead compound among the new compounds based their inhibitory effects on COX-2  
28 activity and *in vitro* conjugation rates. When the inflamed rat colon was perfused with 70  
29  $\mu\text{M}$  **6a1**, an inhibitory effect on colonic COX-2 activity was observed to be similar with  
30 that in the perfusion with 1  $\mu\text{M}$  celecoxib. The blood concentration of **6a1** was lower  
31 than its  $\text{IC}_{50}$  on COX-2 activities during the perfusion, due to the extensive first-pass  
32 metabolism and excretion of **6a1** in liver. In contrast, the blood concentration of  
33 celecoxib in the systemic circulation was > 10-fold higher than its  $\text{IC}_{50}$ .

34 **Conclusion:** We successfully developed several new COX-2 inhibitors which were  
35 effective to inhibit COX-2 activity in inflamed rat colon but rapidly metabolized and  
36 excreted in liver. For these new compounds, the extensive first-pass metabolism in liver  
37 resulted in a poor oral bioavailability and extremely low blood concentrations in the  
38 systemic circulation, reducing or avoiding the exposure of the cardiovascular systems.  
39 Compared with celecoxib, the locally bioavailable COX-2 inhibitors are more promising  
40 to be developed as safe agents in colorectal cancer prevention.

41

42

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44

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64

## TABLE OF CONTENTS

65  
66

67	<b>ABSTRACT.....</b>	<b>I</b>
68	<b>ACKNOWLEDGEMENT.....</b>	<b>III</b>
69	<b>TABLE OF CONTENTS .....</b>	<b>IV</b>
70	<b>LIST OF TABLES .....</b>	<b>X</b>
71	<b>LIST OF SCHEMES .....</b>	<b>XI</b>
72	<b>LIST OF FIGURES.....</b>	<b>XII</b>
73	<b>LIST OF ABBREVIATIONS .....</b>	<b>XIV</b>
74	<b>Chapter 1 Review of the Literature.....</b>	<b>1</b>
75	1.1 Introduction to drug metabolism.....	1
76	1.1.1 Phase I metabolism.....	1
77	1.1.1.1 CYPs.....	2
78	1.1.2 Phase II metabolism .....	4
79	1.1.2.1 UGTs .....	0
80	1.1.2.2 SULTs .....	1
81	1.1.3 Transporter-mediated excretion of drug metabolites .....	2
82	1.1.3.1 P-gp .....	2
83	1.1.3.2 BCRP.....	3
84	1.1.3.3 MRPs.....	3
85	1.1.4 The first-pass effect in oral drug administration.....	4
86	1.1.4.1 Enteric circulation .....	4
87	1.1.4.2 Enterohepatic circulation.....	7
88	1.1.4.3 Low oral bioavailability of the natural phenolic compounds.....	7

89	1.2	Introduction to the cyclooxygenase-2 inhibitors.....	8
90	1.2.1	Cyclooxygenases.....	8
91	1.2.1.1	Human COX-1.....	15
92	1.2.1.2	Human COX-2.....	15
93	1.2.2	Traditional NSAIDs.....	17
94	1.2.3	The development of selective COX-2 inhibitors.....	20
95	1.2.3.1	The structural basis for the selective COX-2 inhibition.....	20
96	1.2.3.2	The various efficacy of the selective COX-2 inhibitors.....	23
97	1.2.3.3	The cardiovascular toxicity of the selective COX-2 inhibitors.....	23
98	1.2.4	Celecoxib.....	26
99	1.2.4.1	The chemical synthesis of celecoxib.....	26
100	1.2.4.2	The physicochemical properties of celecoxib.....	28
101	1.2.4.3	The potency and selectivity of celecoxib in the inhibition of COX-2 activity ..	28
102	1.2.4.4	Structure-activity relationship studies of celecoxib.....	30
103	1.2.4.5	Absorption, metabolism and excretion of celecoxib.....	31
104	1.2.5	The other selective COX-2 inhibitors.....	34
105	1.2.5.1	Rofecoxib.....	34
106	1.2.5.2	Valdecoxib and parecoxib.....	34
107	1.2.5.3	Etoricoxib.....	35
108	1.2.5.4	Lumiracoxib.....	35
109		<b>Chapter 2 Hypothesis and Specific Aims.....</b>	<b>36</b>
110	2.1	Hypothesis.....	36
111	2.2	Specific Aims.....	36

112	2.2.1	Aim 1 .....	36
113	2.2.2	Aim 2 .....	36
114	2.2.3	Aim 3 .....	37
115	<b>Chapter 3 The Design and Synthesis of Phenolic Compounds as COX-2 Inhibitors</b>		
116	<b>38</b>		
117	3.1	Abstract .....	38
118	3.2	Introduction .....	39
119	3.3	Materials and Methods .....	41
120	3.3.1	Materials .....	41
121	3.3.2	Chemistry .....	42
122	3.3.2.1	General .....	42
123	3.3.2.2	Preparation of <b>2a-c</b> .....	44
124	3.3.2.3	Preparation of <b>3a-c</b> .....	44
125	3.3.2.4	Preparation of <b>4a-c</b> .....	45
126	3.3.2.5	Preparation of <b>5a-c</b> .....	46
127	3.3.2.6	Preparation of <b>6a1-4, 6b2-3, and 6c2-3</b> .....	47
128	3.3.3	Experiments in Raw264.7 cells .....	50
129	3.3.4	Experiments in HCA-7 cells .....	50
130	3.3.5	Recombinant human COX-2 assay .....	51
131	3.3.6	PGs quantitation by UPLC-MS/MS .....	52
132	3.3.7	Data analysis .....	54
133	3.4	Results .....	55
134	3.4.1	Chemistry .....	55

135	3.4.2	The inhibitory effects of the new compounds on COX-2 in Raw264.7 cells .....	55
136	3.4.3	The inhibitory effects of the new compounds on COX-2 in HCA-7 cells .....	59
137	3.4.4	The metabolism of the new compounds in Raw264.7 cells .....	61
138	3.4.5	Recombinant human COX-2 assay .....	64
139	3.5	Discussion .....	66
140	<b>Chapter 4 <i>In Vitro</i> Characterization of the Metabolic Properties of the New</b>		
141	<b>Compounds and Pharmacokinetics (PK) Studies .....</b>		
142	4.1	Abstract .....	70
143	4.2	Introduction .....	72
144	4.3	Materials and Methods .....	73
145	4.3.1	Materials .....	73
146	4.3.2	Animals .....	74
147	4.3.3	<i>In vitro</i> glucuronidation by microsomes .....	74
148	4.3.4	<i>In vitro</i> sulfation by S9 fractions .....	75
149	4.3.5	Caco-2 monolayer assay .....	75
150	4.3.6	Quantitation of the new compounds and their phase II metabolites by UPLC-UV	
151		76	
152	4.3.7	Pharmacokinetics study in SD rats .....	76
153	4.3.8	Blood sample preparation .....	77
154	4.3.9	Quantitation of celecoxib, <b>6a1</b> , <b>6a1</b> -glucuronide, <b>6a1</b> -sulfate, <b>6a3</b> , <b>6a3</b> -	
155		glucuruonide, <b>6a3</b> -sulfate by UPLC-MS/MS .....	77
156	4.4	Results .....	79
157	4.4.1	<i>In vitro</i> metabolism by microsomes or S9 fraction .....	79

158	4.4.2	<b>6a1</b> in Caco-2 monolayer assay .....	83
159	4.4.3	Pharmacokinetics study in SD rats.....	86
160	4.5	Discussion .....	89
161	<b>Chapter 5 The Efficacy of the New Compounds in Inhibiting COX-2 Activity in</b>		
162	<b>the Inflamed Rat Colon; the Absorption, Metabolism and Excretion of 6a1 during</b>		
163	<b>the <i>In Situ</i> Perfusion .....</b>		
			<b>93</b>
164	5.1	Abstract .....	93
165	5.2	Introduction .....	95
166	5.3	Materials and Methods.....	96
167	5.3.1	Materials.....	96
168	5.3.2	Animals .....	96
169	5.3.3	TNBS-induced IBD model in SD rats.....	96
170	5.3.4	Preparation of the perfusate.....	97
171	5.3.5	<i>In situ</i> single-pass perfusion in inflamed rat colon .....	97
172	5.3.6	Solid-phase extraction (SPE) of the perfusate and bile samples.....	101
173	5.3.7	Quantitation of <b>6a1</b> and <b>6a1</b> -glucuronide in the perfusate and bile samples by	
174		UPLC-UV .....	101
175	5.3.8	Quantitation of PGE <sub>2</sub> in the concentrated perfusate by UPLC-MS/MS .....	102
176	5.3.9	Quantitation of celecoxib and <b>6a1</b> in the blood samples by UPLC-MS/MS .....	102
177	5.4	Results .....	103
178	5.4.1	The PGs in the perfusate .....	103
179	5.4.2	The effects of celecoxib on colonic COX-2 activity.....	105
180	5.4.3	The effects of the new compounds on colonic COX-2 activity .....	107

181	5.4.4 The absorption, metabolism and excretion of <b>6a1</b> in rats during the <i>in situ</i>	
182	perfusion .....	109
183	5.4.5 Celecoxib and 6a1 concentrations in the systemic circulation during <i>in situ</i>	
184	perfusion .....	113
185	5.5 Discussion .....	115
186	<b>Chapter 6 Summary</b> .....	<b>119</b>
187	<b>APPENDIX A: NMR SPECTRA OF THE FINAL PRODUCTS IN THE</b>	
188	<b>CHEMICAL SYNTHESIS</b> .....	<b>122</b>
189	<b>REFERENCES</b> .....	<b>138</b>
190		
191		
192		
193		

## LIST OF TABLES

194  
195

196 Table 1. IC<sub>50</sub> value on COX-1/2 activities and selectivity of celecoxib determined in  
197 different studies..... 29

198 Table 2. Compound-dependent parameters of PGE<sub>2</sub>, PGD<sub>2</sub>, PGE<sub>2</sub>-d<sub>4</sub>, and PGD<sub>2</sub>-d<sub>4</sub> in MS  
199 analysis..... 53

200 Table 3. IC<sub>50</sub> values of the new compounds on PGD<sub>2</sub> production in Raw264.7 cells. .... 58

201 Table 4. Compound-dependent parameters in the analysis of the rat blood samples by MS.  
202 ..... 78

203

204

205

## LIST OF SCHEMES

206

207

208 Scheme 1. Chemical synthesis of celecoxib ..... 27

209 Scheme 2. The synthesis of locally bioavailable COX-2 inhibitors. .... 43

210

211

## LIST OF FIGURES

212  
213

214	Figure 1. Typical reactions in phase I drug metabolism.....	3
215	Figure 2. Major Phase II Metabolism: Glucuronidation and Sulfation. ....	0
216	Figure 3. Enteric and enterohepatic circulation of the phenolic compounds and their	
217	phase II metabolites <i>in vivo</i> .....	6
218	Figure 4. The catalytic mechanisms of COXs. ....	11
219	Figure 5. The prostaglandins derived from COX pathways. ....	13
220	Figure 6. The amino acid sequences of human COX-1 and COX-2. ....	14
221	Figure 7. Traditional NSAIDs.....	18
222	Figure 8. Selective COX-2 inhibitors. ....	19
223	Figure 9. The selectivity of inhibitors between human COX-1 and COX-2. ....	22
224	Figure 10. The hypothesis that the selective inhibition of COX-2 disturbs vascular PG	
225	homeostasis and lead to cardiovascular events. ....	25
226	Figure 11. The metabolism pathways of celecoxib in human. ....	33
227	Figure 12. The concentration-inhibition curves of celecoxib and <b>6a3</b> in Raw264.7 cells.	57
228	Figure 13. Inhibition of celecoxib and <b>6a1-4</b> on PGE <sub>2</sub> production in HCA-7 cells. ....	60
229	Figure 14. Metabolism of the new compounds in Raw264.7 cells.....	63
230	Figure 15. The inhibitory effects of celecoxib and <b>6a1-6a3</b> on recombinant human COX-	
231	2 activity.....	65
232	Figure 16. The phase II metabolism rates of the new compounds characterized by <i>in vitro</i>	
233	tools.....	82
234	Figure 17. The metabolism and excretion of <b>6a1</b> in Caco-2 cell monolayer assay. ....	85

235	Figure 18. The PK studies of celecoxib, <b>6a1</b> and <b>6a3</b> in SD rats. ....	88
236	Figure 19. The <i>in situ</i> perfusion in TNBS-treated rat colon. ....	100
237	Figure 20. The PGs detected in the perfusate. ....	104
238	Figure 21. The inhibitory effects of (A) celecoxib and (B) the new compounds on colonic	
239	COX-2 activity. ....	106
240	Figure 22. The half-lives of PGE <sub>2</sub> attenuation in different groups of rats. ....	108
241	Figure 23. Excretion of <b>6a1</b> -glucuronide in bile. ....	111
242	Figure 24. The absorption and excretion of <b>6a1</b> in colon-perfused SD rats. ....	112
243	Figure 25. The blood concentration of celecoxib and <b>6a1</b> in the systemic circulation	
244	during the <i>in situ</i> perfusion. ....	114
245		
246		
247		
248		
249		
250		

## LIST OF ABBREVIATIONS

251  
252

253	CYP	cytochrome P450
254	FMO	flavin-containing monooxygenase
255	UGT	uridine 5'-diphospho-glucuronosyltransferase
256	SULT	sulfotransferase
257	GST	glutathione <i>S</i> -transferase
258	M.W.	molecular weight
259	UDPGA	uridine diphosphate glucuronic acid
260	PAPS	3'-phosphoadenosine-5'-phosphosulfate
261	ER	endoplasmic reticulum
262	DHEA	dehydroepiandrosterone
263	PhIP	2-amino-1-methyl-6-phenylimidazo[4,5-b]pyridine
264	ABC transporters	ATP-binding cassette transporter
265	TMD	transmembrane domain
266	NBD	nucleotide-binding domain
267	ATP	adenosine triphosphate
268	P-gp	P-glycoprotein
269	MDR1	multidrug resistance protein 1
270	BCRP	breast cancer resistance protein

271	MRP	multidrug-resistant protein
272	BBB	brain-blood barrier
273	PG	prostaglandin
274	AA	arachidonic acid
275	PLA <sub>2</sub>	phospholipase A <sub>2</sub>
276	PLC	phospholipase C
277	PLD	phospholipase D
278	COX	cyclooxygenase
279	EGF	epidermal growth factor
280	TXA <sub>2</sub>	thromboxane A <sub>2</sub>
281	TXB <sub>2</sub>	thromboxane B <sub>2</sub>
282	PTGS	prostaglandin-endoperoxide synthase
283	CRC	colorectal cancer
284	NSAID	non-steroidal anti-inflammatory drug
285	OA	osteoarthritis
286	PreSAP trial	Prevention of Colorectal Sporadic Adenomatous Polyps trial
287	APPROVe trial	Adenomatous Polyp Prevention on Vioxx trial
288	AD	Alzheimer's disease
289	APC trial	Adenoma Prevention with Celecoxib trial
290	FDA	Food and Drug Administration

291	RA	rheumatoid arthritis
292	FAP	familial adenomatous polyposis
293	WBA	whole blood assay
294	WHMA	William Harvey modified whole blood assay
295	SAR	structure-activity relationship
296	BCS	biopharmaceutic classification system
297	3,7-DHF	3,7-dihydroxyflavone
298	THF	tetrahydrofuran
299	EDTA	ethylenediaminetetraacetic
300	GSH	L-glutathione reduced
301	LPS	lipopolysaccharides
302	DMSO	methyl sulfoxide
303	EtOAc	ethyl acetate
304	ATCC	American Type Culture Collection
305	PBS	phosphate-buffered saline
306	DMEM	Dulbecco's modified eagle medium
307	FBS	fetal bovine serum
308	UPLC	ultra-performance liquid chromatography
309	MS	mass spectrometer
310	TLC	thin-layer chromatography

311	FC	flash chromatography
312	DAD	diode array detector
313	MRM	multiple reaction monitor
314	IC <sub>50</sub>	half maximal inhibitory concentration
315	NO	nitric oxide
316	PK	pharmacokinetics
317	SD rats	Sprague Dawley rats
318	DTT	dithiothreitol
319	HBSS	Hanks' balanced salt solution
320	TEER	trans-epithelial electrical resistance
321	IACUC	Institutional Animal Use and Care Committee
322	TNBS	2,4,6-trinitrobenzenesulfonic acid
323	β-CD	β-cyclodextrin
324	IBD	inflammatory bowel disease
325	SPE	solid phase extraction
326		
327		
328		

## 329 Chapter 1 Review of the Literature

### 330 1.1 Introduction to drug metabolism

331 Drug metabolism is a general term for the biomedical transformation process of drugs or  
332 xenobiotics by the various enzymatic systems in living organisms. The drug metabolism usually  
333 results in the alterations of the molecular structure, physicochemical properties, and  
334 pharmacological effects of the drug substances, and also leads to their terminal elimination from  
335 the organisms. Depending on the reactions occurring to the substrates, the drug metabolism can  
336 usually be categorized as phase I metabolism or phase II metabolism [1]. The phase I metabolism  
337 is the functionalization phase which generates functional groups such as carboxyl (-COOH),  
338 hydroxyl (-OH), amino (-NH<sub>2</sub>), or sulfhydryl (-SH) in the molecules (Figure 1). The phase II  
339 metabolism is the conjugative phase which conjugates a methyl group, glutathione, glucuronic  
340 acid, sulfonic acid or other structures to the substrates. The phase I and II metabolism may occur  
341 subsequently in the biotransformation of one drug, but they are not necessarily linked to each  
342 other.

#### 343 1.1.1 Phase I metabolism

344 The reactions in phase I metabolism of the drugs or xenobiotics include oxidation, reduction,  
345 hydrolysis, hydration, cyclization, decyclization, isomerization and other modifications [2]. The  
346 phase I metabolism of the drug substances usually involves the enzymes from the cytochrome  
347 P450 (CYP) family, as well as esterases, alcohol or aldehyde dehydrogenases, hydrolases,  
348 monoamine oxidases, flavin-containing monooxygenases (FMOs), peroxidases and the other  
349 enzymes. The phase I drug metabolism plays an important role in the metabolism of most drugs  
350 and is usually considered as the basic pathways for the biotransformation of drugs *in vivo*. With  
351 similar structures to the parent drugs, the phase I metabolites may possess a lower or higher  
352 potency and may lead to new therapeutic effects or side effects [3]. The metabolites in phase I

353 metabolism which are sufficiently polar can be readily excreted from the body. However, many  
354 products in phase I metabolism are still not rapidly eliminated and require either further phase I  
355 metabolism or subsequent phase II metabolism to be hydrophilic.

#### 356 1.1.1.1 CYPs

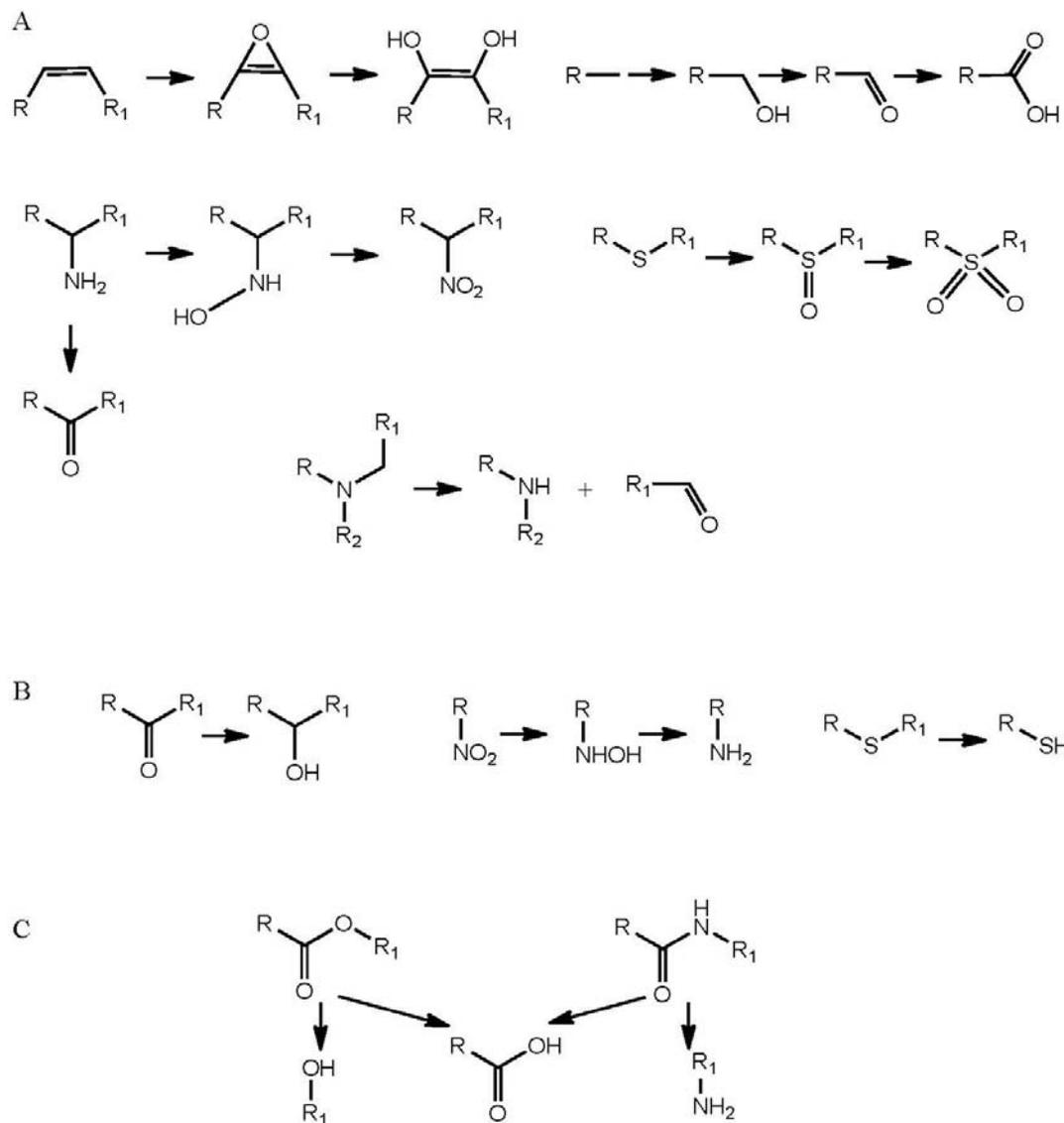
357 The CYPs are heme proteins. So far more than 11,000 distinct CYPs have been named in animals,  
358 plants, fungi, protists, and bacteria [4]. The CYPs are the most important enzymes for the phase I  
359 drug metabolism in mammals, and they are responsible for  $\geq 75\%$  of the total metabolism of  
360 market drugs in humans [5, 6]. Also, many drugs or prodrugs are bioactivated by the CYPs to  
361 form the active metabolites [7, 8]. The CYPs also play important roles in the metabolism of many  
362 endogenous substances including steroid hormones, cholesterol, vitamin D, bilirubin, etc [9-11].

363 The members in the CYP superfamily are involved in various reactions and they differ from each  
364 other in structure and catalytic properties [12]. However, they all contain a heme cofactor. In  
365 humans, the CYPs are primarily membrane-embedded proteins in the mitochondria or the  
366 endoplasmic reticulum inside cells. The CYPs can be detected in most tissues of human and  
367 participate in various physiochemical processes. The CYPs expressed in the intestinal tract or  
368 liver can be involved in the metabolism of xenobiotics. So far more than 50 CYPs has been  
369 identified in humans and they belong to 18 families [13]. The most relevant CYPs involved in  
370 drug metabolism in humans are the CYP3A4, 2D6, 2C8, 2C9, 2C19, 1A1, 1A2, 2E1, 2A6, 2B6  
371 and 1B1 [14]. Among these CYPs, the CYP3A4, 1A2, 2D6 and the ones in the 2C subfamily are  
372 the most important CYP isoforms which account for more than 75% of total interactions between  
373 the drugs and CYPs [15]. Slobodan Rendic provided a comprehensive summary on the reactions  
374 in the drug metabolism catalyzed by human CYPs [16].

375

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377



378

379 **Figure 1. Typical reactions in phase I drug metabolism.**

380 (A) Oxidation. (B) Reduction. (C) Hydrolysis.

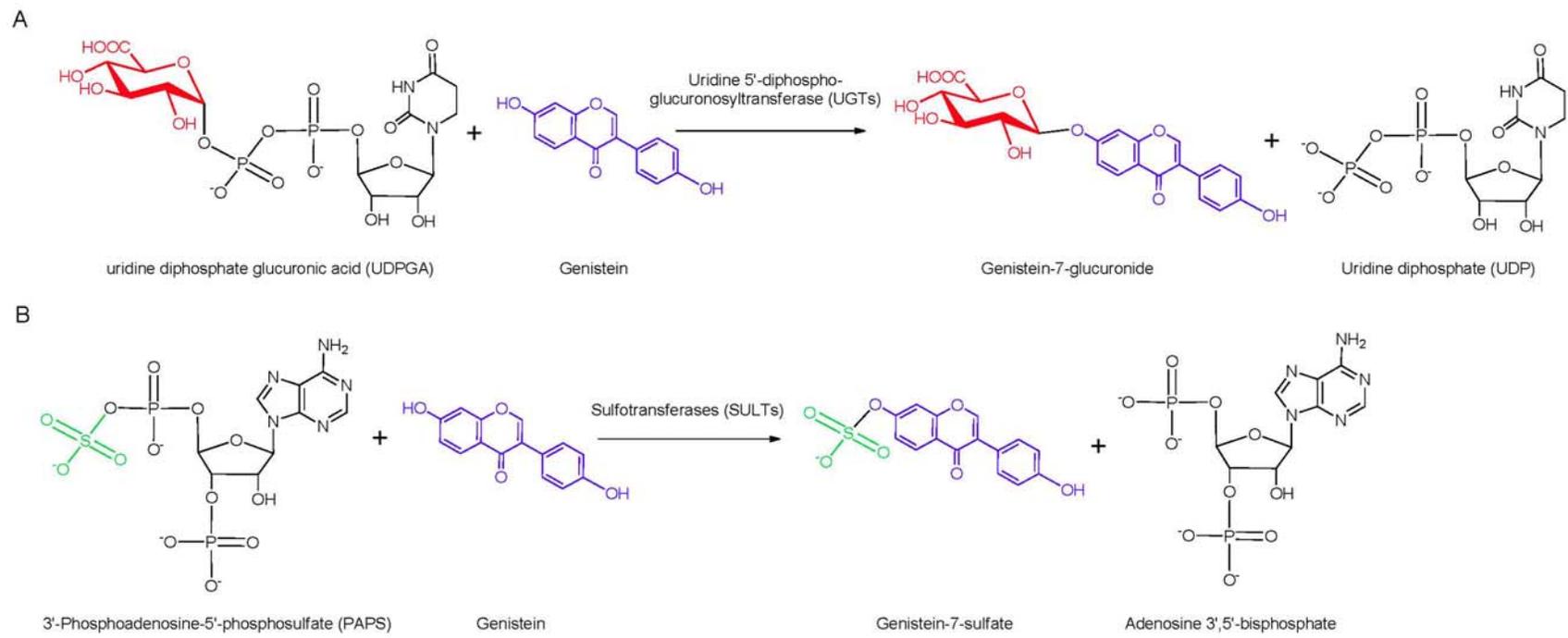
381

### 382 **1.1.2 Phase II metabolism**

383 The phase II metabolism of drugs is usually a conjugative phase which incorporates an  
384 endogenous substance into the structure of substrate through certain functional groups. The  
385 reaction types include mainly glucuronidation, sulfation, acetylation, methylation, glutathione  
386 conjugation and glycine conjugation. The production of phase II conjugates usually requires the  
387 catalysis by a member from the transferase families with broad substrate specificity in the  
388 presence of the corresponding cofactor. The enzymes involved in the phase II metabolism are  
389 uridine 5'-diphospho-glucuronosyltransferases (UGTs), cytosolic sulfotransferases (SULTs),  
390 glutathione *S*-transferases (GSTs), methyltransferases and so on. Among these enzymes, the  
391 UGTs and SULTs are the two most important enzyme families in the phase II metabolism of  
392 drugs.

393 Compared with the phase I metabolism, the molecular weights (M.W.s) of the drug substances  
394 are usually more remarkably increased in the phase II metabolism. In general, the phase II  
395 metabolites tend to be less pharmacologically effective than the parent drugs, due to the possible  
396 interruption in their binding to the therapeutic targets [17]. However, it should be noted that such  
397 a judgment is not always right. For example, the 6-glucuronide of morphine is an agent with pain-  
398 relieving effects which is more potent than morphine, whereas morphine 3-glucuronide has been  
399 demonstrated to be ineffective [18]. The phase II metabolites are usually much more hydrophilic  
400 than the parent drugs and phase I metabolites. Thus it is usually difficult for them to penetrate the  
401 membrane passively [19].

402



403

404 **Figure 2. Major Phase II Metabolism: Glucuronidation and Sulfation.**

405 (A) Catalyzed by uridine 5'-diphosphoglucurosyltransferase (UGTs), a glucuronic acid group is transferred from the cofactor uridine 5'-

406 diphospho-glucuronic acid (UDPGA) to genistein. (B) Genistein is also substrate for sulfotransferases (SULTs) and can be conjugated with a

407 sulfate group. The cofactor for SULTs is 3'-Phosphoadenosine-5'-phosphosulfate (PAPS).

408

#### 409 1.1.2.1 UGTs

410 In the glucuronidation reactions, the glucuronosyl group were usually transferred from the  
411 cofactor uridine 5'-diphospho-glucuronic acid (UDPGA) to the substrates with –OH, -NH<sub>2</sub>, -SH  
412 or –COOH in their structures (Figure 2, A). The resulting glucuronides are usually much more  
413 hydrophilic than their parent drugs and can be actively excreted by transporters in the intestine,  
414 liver or kidney.

415 As one of the most important phase II metabolism pathways, the glucuronidation of many  
416 xenobiotics and also endogenous substances is catalyzed by the UGTs in mammals. The UGTs  
417 are membrane-bound enzymes located in the endoplasmic reticulum (ER) inside the cell. So far,  
418 the mammalian UGT superfamily gene includes 117 members, which can be divided into four  
419 families: UGT1, UGT2, UGT3 and UGT8. The human UGT genes belong to two families (UGT1  
420 and UGT2) and three subfamilies (UGT1A, UGT2A and UGT2B) [20].

421 In human, UGTs are broadly distributed in many tissues and organs [21]. The expressions of  
422 UGTs in the gastrointestinal tract and liver are usually considered to be the most relevant in drug  
423 metabolism, while the UGTs in the kidney also contribute significantly to the metabolism of  
424 certain drugs [22]. The liver has the most abundant expression of UGTs in the human body, and  
425 the UGTs in the gastrointestinal tract can also conjugate drugs or natural products efficiently  
426 before they enter the systemic circulation. The UGT isoforms expressed in the liver are UGT1A1,  
427 1A3, 1A4, 1A6, 1A9, 2B4, 2B7, 2B10, 2B11, 2B15, 2B17 and 2B28. The UGTs isoforms  
428 expressed in the gastrointestinal tract are mainly UGT1A1, 1A3, 1A4, 1A6, 2B4, 2B15 and also  
429 the non-hepatic isoforms UGT1A7, 1A8, and 1A10. The UGT isoforms in the same subfamily  
430 usually shares more than 60% homology in their amino acid residue sequences, and thus they  
431 usually have overlaps with each other in the substrate specificities but differences in binding  
432 affinities and reaction rates [23]. The *in vitro* glucuronidation by human liver or intestinal  
433 microsomes usually reveals a comprehensive efficiency of multiple UGT isoforms in the

434 conjugation of drugs or natural products. When the recombinant human UGT isoforms are  
435 employed, the glucuronidation rate of certain substrate by one specific UGT isoform can be  
436 determined and compared with that of the other isoforms.

#### 437 1.1.2.2 **SULTs**

438 The sulfation is another important phase II metabolism pathway other than glucuronidation in the  
439 human body. In the sulfation reaction, the substrate will be conjugated with a sulfo group  
440 transferred from the cofactor 3'-phosphoadenosine-5'-phosphosulfate (PAPS) with the help of  
441 various sulfotransferases (Figure 2, B). The SULTs are responsible for the sulfation occurring in  
442 the metabolism of drugs and other xenobiotics. Also, the SULTs can catalyze the sulfation of  
443 many endogenous hormones and neurotransmitters [24].

444 To date, more than 47 mammalian SULT isoforms have been cloned and can be categorized into  
445 five distinct gene families of SULTs: SULT1, SULT2, SULT3, SULT4, and SULT5 [25]. In  
446 humans, 13 distinct SULT isoforms have been identified as SULT1A1, 1A2, 1A3, 1A4, 1B1, 1C2,  
447 1C4, 1E1, 2A1, 2B1\_v1, 2B1\_v2, 4A1\_v1 and 4A1\_v2. Among the human SULTs, SULT1A1,  
448 1A3, 1B1, 1E1 and 2A1 are the most important isoforms and also the most extensively studied. In  
449 the liver, SULT1A1 is the predominant isoform and it is also widely distributed in other tissues  
450 and organs such as the intestine, lung, brain and kidney [26]. The endogenous substrates for  
451 SULT1A1 activity are hormones including iodothyronines and estrogens [27, 28]. The xenobiotic  
452 substrates of SULT1A1 are usually simple phenolic compounds or amides like acetaminophen  
453 and minoxidil. In addition to SULT1A1, SULT2A1, 1B1 and 1E1 are also substantially expressed  
454 in the human liver [26]. SULT1A3 and SULT1B1 are the most abundant isoforms in the human  
455 intestine, followed by SULT1A1, 1E1 and then 2A1 [26]. SULT1A3 is expressed in the  
456 developing human fetal liver, but not expressed in adult human liver. Besides simple phenolic  
457 compounds, the substrates of SULT1A3 include endogenous catecholamines such as the  
458 neurotransmitters dopamine and norepinephrine [29]. Like SULT1A1, SULT1B1 catalyzes the

459 sulfation of iodothyronines [29]. Estrogens are also substrates of SULT1E1 as well as SULT1A1.  
460 SULT2A1 is responsible for the sulfation of steroids including dehydroepiandrosterone (DHEA)  
461 [30]. The SULTs can also participate in the detoxifying process of carcinogens such as 2-  
462 acetamidofluorene and 2-amino-1-methyl-6-phenylimidazo[4,5-b]pyridine (PhIP) [31].

### 463 **1.1.3 Transporter-mediated excretion of drug metabolites**

464 The transporters are integral transmembrane proteins which mediate the uptake or efflux of  
465 certain substances across the cellular membrane. After metabolism inside the enterocytes or  
466 hepatocytes, some phase I metabolites and most phase II metabolites of the drugs and xenobiotic  
467 are too hydrophilic to penetrate the cellular membrane by passive diffusion. The excretion of  
468 these metabolites is mainly dependent on active transportation by the efflux transporters. The  
469 most pharmacologically relevant efflux transporters in humans are members in the ATP-binding  
470 cassette (ABC) transporters family [32]. The members of the ABC transporters superfamily are  
471 widely distribute in nature and can be found in different species from prokaryotes to humans. An  
472 ABC transporter usually consist two protein domains: the transmembrane domain (TMD) and the  
473 nucleotide-binding domain (NBD)[32]. The membrane-spanning TMD binds to the substrates and  
474 transport them across the membrane by conformational changes. The NBD is responsible for the  
475 binding and hydrolysis of adenosine triphosphate (ATP), which provides energy for the  
476 transportation of substrates across the membrane [33]. In humans, a total of 49 ABC transporters  
477 are known and can be classified into 7 families [32]. The most important efflux transporters  
478 involved in the excretion of the drugs and metabolites are P-glycoprotein (P-gp, or multidrug  
479 resistance protein 1, MDR1), breast cancer resistance protein (BCRP), and multidrug-resistant  
480 proteins (MRPs).

#### 481 **1.1.3.1 P-gp**

482 P-gp is a glycoprotein which belongs to the ABCB family. The role of P-gp in regulating the  
483 distribution and bioavailability of drugs is the most extensively studied among all the efflux  
484 transporters in human [34]. P-gp is abundantly expressed in the small intestine, colon, liver,  
485 kidney and also the brain-blood barrier (BBB) [35]. The substrates of P-gp are excreted into the  
486 intestinal lumen, bile and urine, or prevented from entering the brain extracellular fluid. P-gp  
487 shows broad substrate specificity and plays an important role in the transportation of a lot of  
488 drugs including glucocorticoids, chemotherapeutic agents, protease inhibitors,  
489 immunosuppressive agents, cardiac glycosides and so on [36]. Also, the endogenous substances  
490 such as lipids, steroids, peptides and bilirubin can also be transported by P-gp. Some cancer cells  
491 expressing high levels of P-gp are resistant to multiple therapeutic agents due to the function of P-  
492 gp as an efflux transporter [37, 38]. Meanwhile, it should be noticed that the function of P-gp can  
493 be inhibited by many drugs including cyclosporine and verapamil, leading to potential drug-drug  
494 interactions in humans [39].

#### 495 1.1.3.2 **BCRP**

496 BCRP belongs to the ABCG family. In humans, it is expressed in the apical sides of the intestinal  
497 epithelium, the canalicular side of hepatocytes, and the proximal tubule brush border membrane  
498 kidney [40]. BCRP enhances the excretion of the drugs and other xenobiotics into the intestinal  
499 lumen, bile and urine [41]. It is also expressed in BBB and help to prevent xenobiotics from  
500 entering the brain extracellular fluid [42]. Besides those, BCRP is significantly expressed in the  
501 placenta to help protect the fetus from xenobiotics in the maternal circulation [43]. In the lactating  
502 mammary gland, the function of BCRP is to excrete vitamins into milk [44]. The substrates of  
503 BCRP include the drugs (mitoxantrone, SN-38, flavopiridol, cimetidine and so on), the drug  
504 metabolites (the glucuronides and sulfates), the xenobiotics (i.e., PhIP), and the endogenous  
505 substrates (estrone 3-sulfate, folic acid and so on) [45].

#### 506 1.1.3.3 **MRPs**

507 The MRPs are the members in the ABCC family. This family contains 9 transporters which are  
508 often referred as MRPs, including MRP1-8 and ABCC12 [46]. Like P-gp and BCRP, the MRPs  
509 are also widely distributed in human tissues, especially the intestine, liver, kidney and BBB [46].  
510 In the human intestinal epithelium, MRP2 and MRP4 are expressed on the apical side, while  
511 MRP1, MRP3, and MRP5 are expressed on the basolateral side [47]. In the hepatocytes, MRP1,  
512 MRP3, MRP4 and MRP6 are expressed on the sinusoidal side and MRP2 is expressed on the  
513 canalicular side [48]. The main function of MRPs is to transport organic anions, especially the  
514 glucuronides, sulfates or glutathione conjugates of the xenobiotics and endogenous substances  
515 across the cellular membrane [49]. They can also transport bile salts, bilirubin glucuronide,  
516 cysteinyl leukotrienes and prostaglandins [50]. Among all the MRPs, MRP1 and MRP2 are the  
517 most fully characterized for the functions, while the continuing studies are revealing more and  
518 more information about the other MRPs.

#### 519 **1.1.4 The first-pass effect in oral drug administration**

520 After oral administrations, the drugs absorbed in the gastrointestinal tract may be extensively  
521 metabolized in the intestinal epithelium and/or liver before they reach the systemic circulation  
522 and reach the target tissue in the human body. This is the “first-pass” effect in oral administration  
523 which may limit the oral bioavailability of many drugs and natural products [51]. Because the  
524 first pass effect usually leads to reduced drug concentrations in the systemic circulation and target  
525 tissues, drug candidates with substantial first-pass metabolism are conventionally not favored in  
526 drug development [17]. The representative drugs with a significant first-pass effect include  
527 morphine, midazolam, raloxifene, propranolol, cimetidine, lidocaine and many more [52, 53].

##### 528 **1.1.4.1 Enteric circulation**

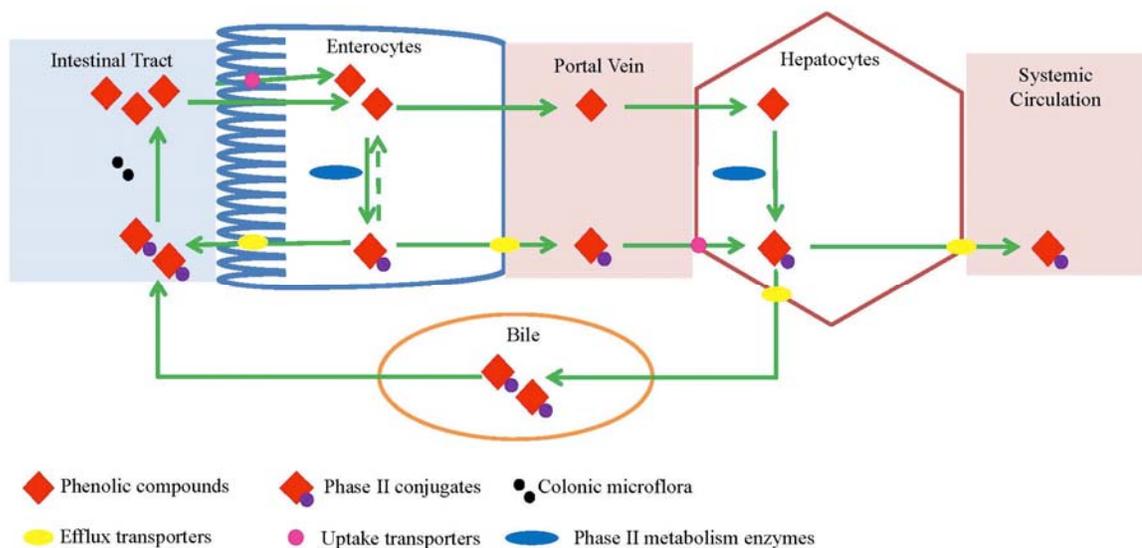
529 In the gastrointestinal tract, the absorption of hydrophobic drugs largely depends on passive  
530 diffusion of the drug molecules from the lumen into the epithelium [54]. In the presence of

531 metabolic enzymes abundantly expressed in the enterocytes, the drug substances can be rapidly  
532 biotransformed to the metabolites after the absorption [55]. With the help of transporters  
533 embedded on the apical or basolateral sides of the gastrointestinal epithelium, the metabolites  
534 which are much more hydrophilic than their parents can be excreted [53]. The metabolites  
535 excreted to the intestinal lumen, especially the phase II metabolites such as glucuronides and  
536 sulfates, can be hydrolyzed by bacterial enzymes from the microflora in the gastrointestinal tract  
537 (Figure 3). The reproduced drug parents are available for absorption again. Although the enteric  
538 circulation decreases the absorption efficacy of drugs, the retention time of drugs in the intestinal  
539 lumen is prolonged, which may promote the efficacy of therapeutic agents in the treatment of  
540 diseases in the gastrointestinal tract [56].

541

542

543



544

545 **Figure 3. Enteric and enterohepatic circulation of the phenolic**  
546 **compounds and their phase II metabolites *in vivo*.**

547 The intestine and liver are the major sites for phase II metabolism of the phenolic compounds in  
548 humans. The phenolic compounds can be conjugated by phase II metabolism enzymes such as  
549 UGTs and SULTs. In the enterocytes, the conjugates can be excreted to the intestinal lumen by  
550 efflux transporters. In the hepatocytes, the conjugates can also be excreted back to the intestine  
551 through the bile duct. The conjugates are hydrolyzed by the colonic microflora to generate  
552 unconjugated phenolic compounds which are available for reabsorption. The two processes are  
553 termed as enteric and enterohepatic circulation, respectively.

554

#### 555 1.1.4.2 **Enterohepatic circulation**

556 The enterohepatic circulation refers to the circulation of certain xenobiotics and endogenous  
557 substances (e.g., bile salts and bilirubin) between the intestinal tract and liver (Figure 3) [57].  
558 After the absorption in the intestinal tract, the drugs delivered to the liver by portal vein can be  
559 efficiently extracted by the hepatocytes. With or without metabolism, the drugs and/or their  
560 metabolites excreted to bile from the canalicular side of hepatocytes will reach the intestinal tract  
561 eventually [58]. The absorption, extraction, excretion and reabsorption procedures create a cycle  
562 which limits the oral bioavailability of drugs. For the drugs and natural products which can be  
563 extensively conjugated as glucuronides and sulfates in the intestine or liver, the hydrolysis of the  
564 phase II metabolites by bacterial hydrolases in the intestinal tract is a prerequisite for the  
565 reabsorption [59].

#### 566 1.1.4.3 **Low oral bioavailability of the natural phenolic compounds**

567 The natural phenolic compounds include phenolic acids, flavonoids, stilbenoids, coumarins,  
568 lignans, curcuminoids, etc [60]. The anti-aging, anti-oxidation, anti-inflammation, and anti-  
569 proliferation effects of the natural phenolic compounds have been successfully demonstrated in  
570 many studies which were conducted *in vitro* or animal models [61, 62]. However, their beneficial  
571 effects on human health are still questionable due to the low oral bioavailability in humans which  
572 results in the low plasma concentration and/or local concentrations in the target tissues or organs.  
573 The major reason for the low oral bioavailability of the natural phenolic compounds is usually the  
574 extensive first-pass metabolism in the intestinal tract and/or liver [60]. The phenolic can be  
575 excellent substrates of the phase II metabolic enzymes, mainly UGTs and SULTs [63]. The  
576 conjugates of phenolic compounds are excreted from the apical side of enterocytes to the  
577 intestinal lumen, or from the cannalicular side of hepatocytes to the intestinal tract via the bile  
578 duct. Following hydrolysis of conjugates by bacterial enzymes and reabsorption, the enteric and

579 enterohepatic circulations are completed. The first-pass elimination substantially decreases the  
580 portion of phenolic compounds entering the systemic circulation in humans.

## 581 **1.2 Introduction to the cyclooxygenase-2 inhibitors**

### 582 **1.2.1 Cyclooxygenases**

583 The prostaglandins (PGs) are a family of long chain lipid compounds with diverse physiological  
584 and pathological effects in animals and humans [64]. The PGs are very important endogenous  
585 hormone-like substances which can be produced in almost all the tissues in the human body. They  
586 can be detected in almost all the tissues and work in an autocrine or paracrine manner [65]. The  
587 main physiological functions of PGs include inducing constriction or dilation in vascular smooth  
588 muscle cells, decreasing intraocular pressure, inducing aggregation or disaggregation of platelets,  
589 controlling cell growth, regulating calcium movement, regulating hormones, protection of the  
590 intestinal epithelium from irritations, regulating the glomerular filtration rate in kidney, etc [66].  
591 They also play very important roles in pathological conditions such as pain, fever, inflammation,  
592 and tumorigenesis [67].

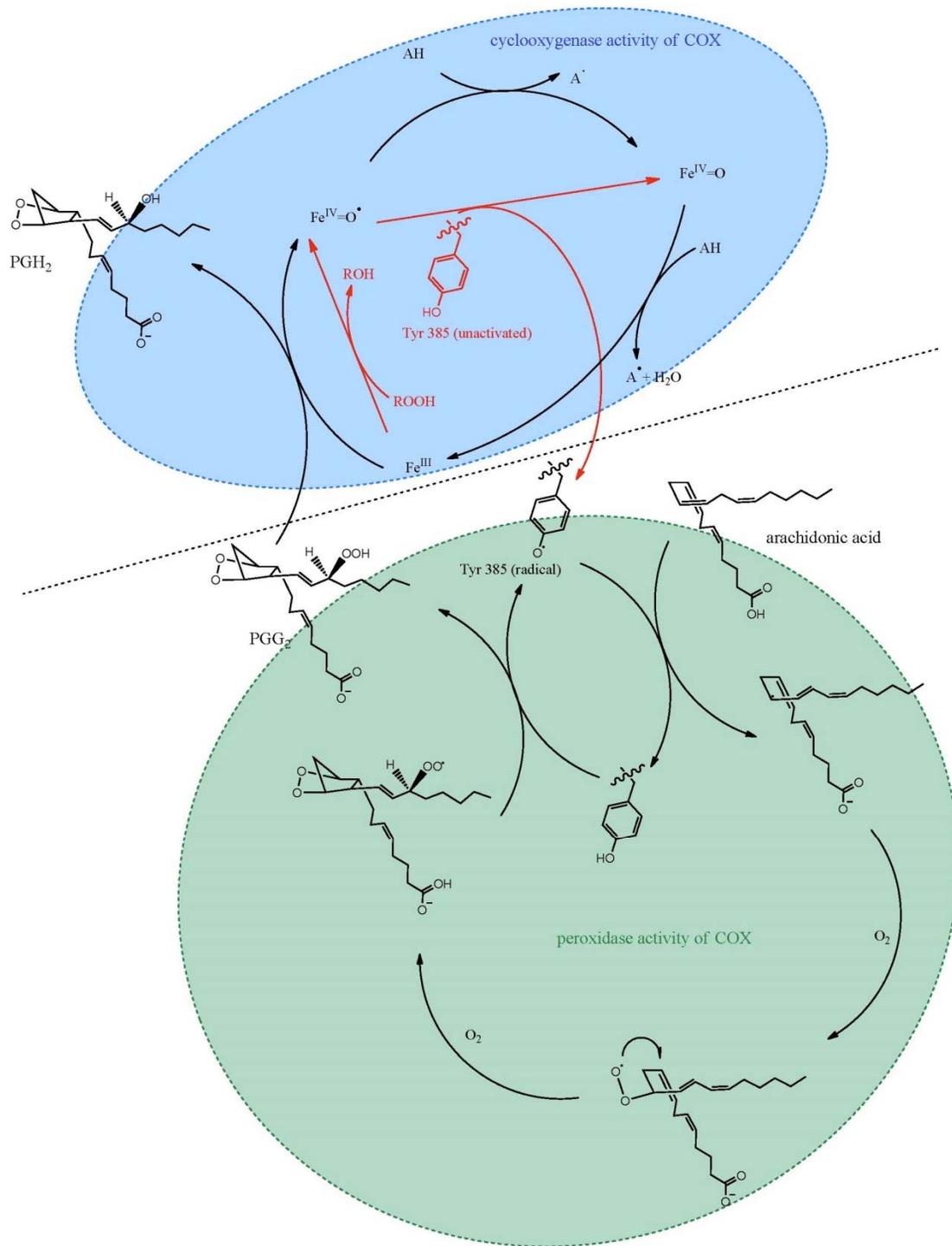
593 The PGs are derived enzymatically from fatty acids via several steps with the help of different  
594 enzymes in each step. Arachidonic acid (AA), a typical starting substance in the biosynthesis  
595 pathways of PGs, is freed from the inner side of the cellular membrane after the cleavage of  
596 phospholipids by phospholipase A<sub>2</sub> (PLA<sub>2</sub>), phospholipase C (PLC), or phospholipase D (PLD)  
597 [68]. The first two steps (*bis*-dioxygenation and subsequent reduction) in the biotransformation of  
598 AA are catalyzed the cyclooxygenases (COXs), a family of enzymes which are responsible for  
599 the production of PGH<sub>2</sub> [69]. The COXs are membrane-bound glycoproteins containing a heme as  
600 the cofactor. They usually function as homo-dimers embedded in the subcellular endoplasmic  
601 reticulum. Each subunit in the dimers comprises an epidermal growth factor (EGF) domain, a  
602 membrane binding domain, and a catalytic domain which contains the active sites [70]. The

603 cyclooxygenase and peroxidase functions of the COXs depend on respective active sites, and the  
604 two active sites are separated but linked. The nascent COXs are not active until endogenous  
605 oxidants like a hydroperoxide bind to the peroxidase site and oxidize the heme to a ferryl-oxo-  
606 porphyrin radical [71]. The radical then abstracts an electron from tyrosine 385, resulting in the  
607 primed cyclooxygenase active site with a tyrosyl radical. After that, in the cyclooxygenase active  
608 site, the pro-S hydrogen is abstracted from carbon-13 of the substrate AA by the tyrosyl radical,  
609 which initiates the catalyzing circulation in the cyclooxygenase active site. The circulation  
610 involves two molecules of oxygen and quenching/regeneration of the tyrosyl radical, producing  
611 one molecule PGG<sub>2</sub> in each turnover as the first intermediate in the biosynthesis of PGs (Figure  
612 4). PGG<sub>2</sub> then binds to the peroxidase active site and is reduced to the final product PGH<sub>2</sub> [71].

613 The half-life of PGH<sub>2</sub> is only a few minutes and it serves as the substrate of different enzymes  
614 which are the downstream elements of COXs in the biosynthesis of PGs [72]. For example, PGH<sub>2</sub>  
615 is biotransformed to PGE<sub>2</sub> by PGE<sub>2</sub> synthase, to PGD<sub>2</sub> by PGD<sub>2</sub> synthase, to prostacyclin (PGI<sub>2</sub>)  
616 by PGI<sub>2</sub> synthase, or to thromboxane A<sub>2</sub> (TXA<sub>2</sub>) by TXA<sub>2</sub> synthase. PGI<sub>2</sub> and TXA<sub>2</sub> are both  
617 unstable and rapidly degrade to 6-keto-PGF<sub>1α</sub> and thromboxane B<sub>2</sub> (TXB<sub>2</sub>), respectively (Figure 5)  
618 [73]. These synthases are usually tissue-specifically expressed in humans. Without the presence  
619 of the downstream synthases, PGH<sub>2</sub> produced by the COXs undergoes rapid non-enzymatic  
620 breakdown to PGE<sub>2</sub>, PGD<sub>2</sub>, and PGF<sub>2α</sub> [74].

621 So far, two COX isoforms have been discovered in humans and the other mammals [75].  
622 Sharing 65% homology in the amino acid sequence and the similar catalytic pockets, human  
623 COX-1 and COX-2 have the same function in the biotransformation of AA to PGH<sub>2</sub> but differ  
624 from each other in many aspects including the expression, the substrate-binding affinity, the  
625 enzymatic kinetics, the distribution in tissues, the regulation of expression, the post-translational  
626 modification, the modulation of activity, etc (Figure 6) [76, 77].

627



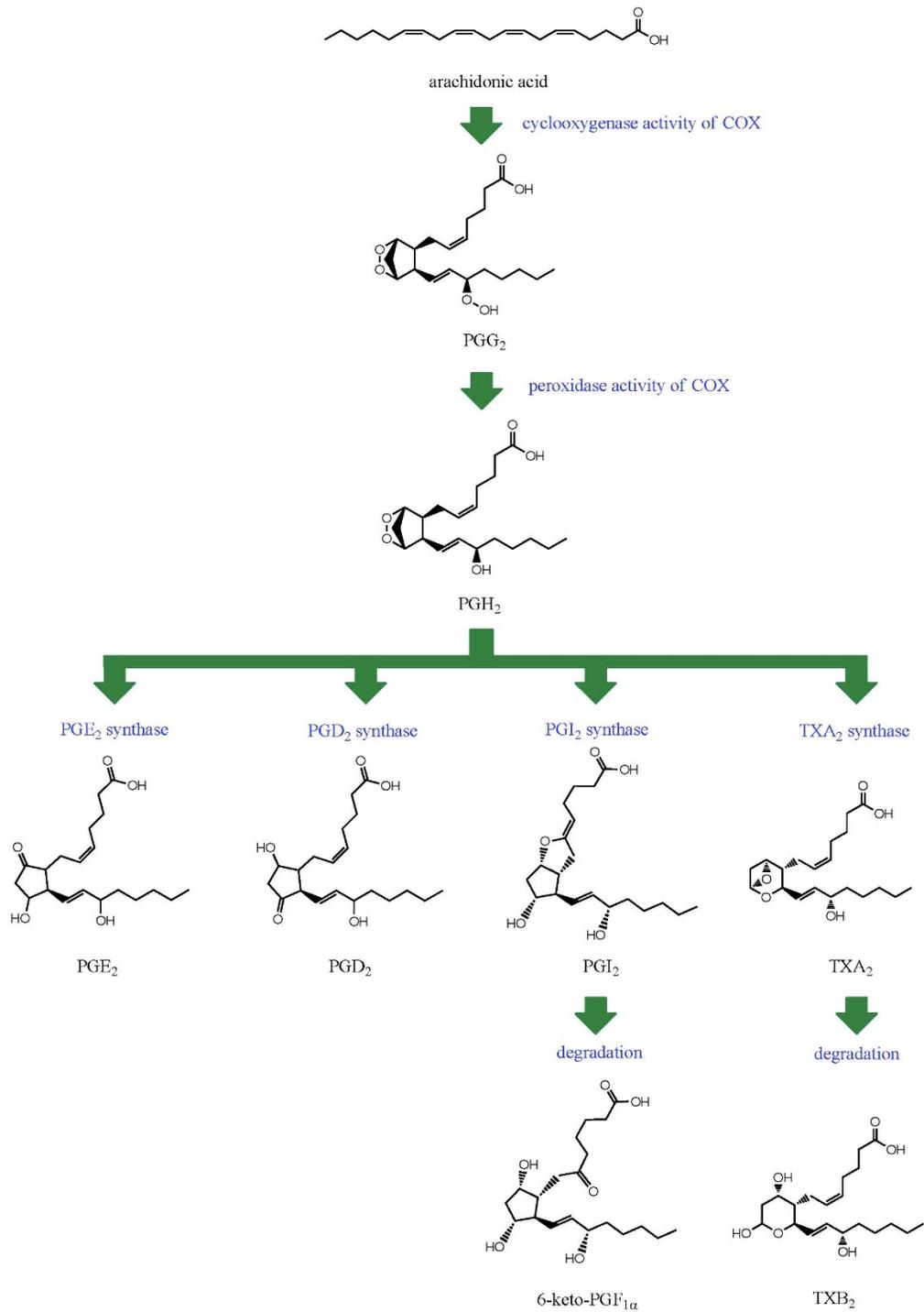
631 **Figure 4. The catalytic mechanisms of COXs.**

632 The COXs have both cyclooxygenase (green) and peroxidase (blue) activities. The nascent COXs  
633 are not active until the heme in the peroxidase active site is oxidized to a ferryl-oxo-porphyrin  
634 radical which will lead to the activation of tyrosine 385 in the cyclooxygenase active site (red).  
635 After the tyrosyl radical is formed, the catalytic cycle in the primed cyclooxygenase active  
636 site will start to transform the substrate AA to PGG<sub>2</sub>. The cycle involves two molecules of  
637 oxygen and quenching/regeneration of the tyrosyl radical, producing one molecule PGG<sub>2</sub> in each  
638 turnover. The PGG<sub>2</sub> released from the cyclooxygenase active site will then be the substrate in  
639 peroxidase active site. It is reduced to the final product PGH<sub>2</sub> (derived from Rouzer et al [71]).

640

641

642



643

644

645 **Figure 5. The prostaglandins derived from COX pathways.**

646 The half-life of  $\text{PGH}_2$  is only a few minutes and it serves as the substrate of the enzymes other  
647 than COXs.  $\text{PGH}_2$  is transformed to  $\text{PGE}_2$  by  $\text{PGE}_2$  synthase, to  $\text{PGD}_2$  by  $\text{PGD}_2$  synthase, to  
648 prostacyclin ( $\text{PGI}_2$ ) by  $\text{PGI}_2$  synthase, or to thromboxane  $\text{A}_2$  by  $\text{TXA}_2$  synthase.  $\text{PGI}_2$  and  $\text{TXA}_2$   
649 are both instable and rapidly degrade to 6-keto- $\text{PGF}_{1\alpha}$  and  $\text{TXB}_2$ , respectively. These synthases  
650 are usually tissue-specifically expressed in humans. In the whole pathway, the rate-limiting step  
651 is catalyzed by the COXs.

652

653

hCOX-1	1	-MSRSLLLWFLFLLLLPPLPVLLADPGAPTVPNPCCYYP	CQHGGICVRFGLDRYQCDCTRTGYSGPNCTIPGLWTWLRN	79
hCOX-2	1	MLARALLLCAVLAL-----SHTANPCCSHPCQNRGVCMSVGF	DQYKCDCTRTGFYGENCSTPEFLTRIKL	65
hCOX-1	80	SLRPSPSFTHFLTHGRWFWEFVN-ATFIREMLRMLVLT	VRNLIPSPPTYNSAHDYISWESFSNVSYTRILPSVPKDC	158
hCOX-2	66	FLKPTNTVHYILTHFKGFWNVNNIPFLRNAIMSYVL	TSRSHLIDSPPTYNADYGYKSWEAFSNLSYYTRALPPVPDDC	145
hCOX-1	159	PTPMGTKGKKQLPDAQLLARRFLRRKFIPDPQGTNLM	FAFFAQHFTHQFFKTSGKMGPGFTKALGHGVDLGHYGDNLE	238
hCOX-2	146	PTPLGVKGGKQLPDSNEIVEKLLRRKFIPDPQGSNMM	FAFFAQHFTHQFFKTDHKRGAFTNGLGHGVDLNHIYGETLA	225
hCOX-1	239	RQYQLRFLKDGKLYQVLDGEMYPVSVEEAPVLMHY	PRGIPPSQSMAVGQEVFGLLPGLMYATLWLREHNRVCDLLKAE	318
hCOX-2	226	RQRKLRFLKDGKMKYQIIDGEMYPPTVKDTQAEMI	YPPQVPEHLRFVAVGQEVFGLVPGLMMYATIWLREHNRVCDV	305
hCOX-1	319	HPTWGDEQLFQTRLILIGETIKIVIEEYVQQLSGY	FLQLKFDPELLFGVQFYRNRIAMFNHLYHWHPLMPDSFKVGS	398
hCOX-2	306	HPEWGDEQLFQTSRLILIGETIKIVIEDYVQHL	SGYHFKLKFDPELLFNKQFYQNRIAEFNTLYHWHPLLPDTFQIHD	385
hCOX-1	399	QEYSYEQFLFNTSMLVDYGVEALVDAFSRQIAGR	IGGGRNMDHHILHVAVDVIRESREMRLQPFNEYRKRFGMKPYTSFQ	478
hCOX-2	386	QKYNYYQFIYNNISILLEHGITQFVESFTRQIAGR	VAGGRNVPPAVQKVSQASIDQSRQMKYQSFNEYRKRFM	465
hCOX-1	479	ELVGEKEMAAELEELYGDIDALEFYPGLLLEK	CHPNISIFGESMIEIGAPFSLKGLLGNPICSPYWKPFSTFGGEVGFNIV	558
hCOX-2	466	ELTGEKEMSAELEALYGDIDAVELYPALLVEK	PRPDAIFGETMVEVGAPFSLKGLMGNVICSPAYWKPFSTFGGEVGFQII	545
hCOX-1	559	KTATLKKLVCLNTKCPYVSFRVPDAS-----	QDDGPAV--ERPSTE-	598
hCOX-2	546	NTASIQSLICNNVKGCPFTSFSVPDPELIKTVT	INASSRSGLDDINPTVLLKERSTEL	604

654

655 **Figure 6. The amino acid sequences of human COX-1 and COX-2.**

656

657

#### 658 1.2.1.1 **Human COX-1**

659 COX-1 was discovered in animals and humans more than 40 year ago and first purified in 1970s  
660 [78]. Before the discovery of COX-2, COX-1 was the unique COX isoform for almost 20 years  
661 although the evidence of multiple COXs attracted the attention of researchers from the early  
662 1970s.

663 Human COX-1, or prostaglandin-endoperoxide synthase 1 (PTGS1), is a 70kDa protein  
664 comprising 599 amino acid residues. It is encoded by the “housekeeping” *PTGS1* gene with the  
665 chromosomal locus as 9q32-q33.3 [79]. This gene is transcribed to a 2.8kb mRNA, which is more  
666 stable than that for COX-2 [80]. The expression of COX-1 protein in human body is constitutive  
667 and ubiquitous. The PGs generated by the COX-1-initiated pathway have various physiological  
668 functions and are required for keeping the homeostasis in human body. For example, COX-1-  
669 derived PGs contribute to the maintenance of mucosal integrity in the gastrointestinal tract, the  
670 normal platelet function, and the regulation of renal blood flow [81]. Thus in most cases, when  
671 the functions of COX-1 are altered, the homeostasis will be unfavorably disrupted. The inhibition  
672 of COX-1 activity in the gastrointestinal tract impairs the functions of mucosa and leads to ulcer  
673 and bleeding [82]. In certain circumstances, the inhibition of COX-1 activity can be beneficial.  
674 For example, the decreasing of COX-1-derived TXA<sub>2</sub> in platelets may contribute to the reducing  
675 of cardiovascular events [83].

#### 676 1.2.1.2 **Human COX-2**

677 Human COX-2, or PTGS2, was firstly identified in 1991 [84]. It is a 72kDa protein comprising  
678 604 amino acid residues. It is encoded by the *PTGS2* gene with the chromosomal locus as 1q25.2-  
679 q25.3 [79]. The mRNA in the transcription of *PTGS2* is with a length of 4.0kb, which is longer  
680 and less stable than the mRNA of COX-1 [70]. Since the discovery of COX-2 protein, it is  
681 conventionally acknowledged as an inducible COX isoform associated with pathological

682 conditions, especially inflammation and tumorigenesis [85]. During inflammation, the expression  
683 levels of COX-2 in many tissue and cells are rapidly and dramatically increased upon the  
684 stimulation of inducers and pro-inflammatory cytokines. For example, in the inflammatory  
685 arthritis, COX-2 overexpression was observed in the synoviocytes, chondrocytes, osteoblasts,  
686 monocytes/macrophages, and endothelial cells in the blood vessel of joint [86, 87]. *PTGS2* is also  
687 an oncogene which promotes neoplasia, tumorigenesis, tumor angiogenesis and metastasis. The  
688 overexpression of COX-2 protein has been reported in many human malignancies including lung  
689 cancer [88], breast cancer [89], colorectal cancer (CRC) [90], prostate cancer [91], oral cancer  
690 [92], etc. In colon cancer tissues from subjects diagnosed with CRC, the expression of COX-2 is  
691 largely enhanced in cancer cells, inflammatory cells, vascular endothelial cells, and fibroblasts of  
692 the lesional tissues [93]. The expression of COX-2 promotes the invasiveness and metastatic  
693 potential of colon cancer cells as well as the angiogenesis in the tumor [94-96].

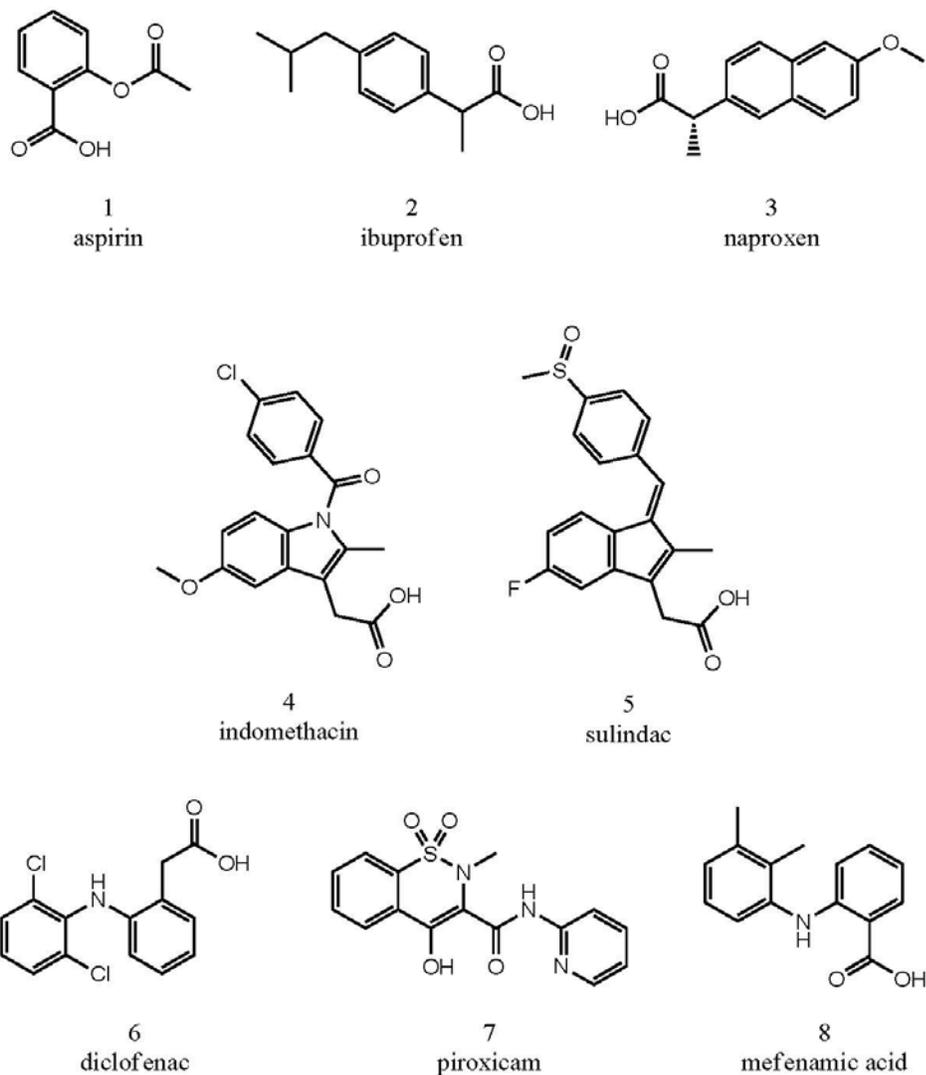
694 Since long ago, the researches focusing on the induction of COX-2 expression in the  
695 inflammation and tumorigenesis are so impressive that COX-2 protein is principally considered  
696 as an “inducible” isoform which is mainly involved in pathogenesis. However, more and more  
697 evidence from plenty of studies indicate the physiological roles or homeostatic functions of COX-  
698 2. For example, O'Neill et al. quantitated the mRNA levels of COX-1 and COX-2 in multiple  
699 human tissues including lung, brain, pancreas, kidney, liver, mammary gland, stomach, small  
700 intestine, etc., and found that all tissues contained the mRNA of both COX-1 and COX-2 [80].  
701 The mRNA level of COX-2 was equal to that of COX-1 in prostate, and in lung where the COX-2  
702 mRNA was the most abundant among tissues, the COX-1 mRNA level was two folds lower. The  
703 genetic depletion of COX-2 in mice leads to a reduced survival rate and progressive renal  
704 diseases, while the female knockout mice have lower fertility due to the failure of ovulation,  
705 fertilization, and embryo implantation [97]. Most prominently, accumulating clinical experience  
706 about the risk of cardiovascular events associated with specific COX-2 inhibition (see below) has

707 also revealed that the function of vascular endothelium can be modulated by COX-2-derived PGs  
708 [98].

### 709 **1.2.2 Traditional NSAIDs**

710 Although the COX enzymes were identified and characterized in only the past 40 years, the  
711 agents with analgesic and antipyretic effects have been employed in the treatment of infections  
712 and inflammatory diseases by humans from a very long time ago to nowadays. The oldest and  
713 most famous analgesic, aspirin, was a bioactive ingredient in herbal medicine (i.e., the crude  
714 extract of willow bark) used by the ancient Egyptians more than 3000 years ago [99]. Today, to  
715 be distinguished from the anti-inflammatory steroids, these pain-killing and fever-reducing drugs  
716 are given the name of non-steroidal anti-inflammatory drugs (NSAIDs), including aspirin (Figure  
717 7, **1**), ibuprofen (**2**), naproxen (**3**), indomethacin (**4**), sulindac (**5**), diclofenac (**6**), piroxicam (**7**),  
718 mefenamic acid (**8**) and so on. In the treatment of inflammation by the NSAIDs, the main  
719 underlying pharmacological mechanism has been clarified as the inhibition of the COX activity in  
720 the inflamed tissues.

721 Before the inventions of the selective COX-2 inhibitors, most of the NSAIDs equivalently inhibit  
722 the two COX isoforms or exhibit a slight selectivity to inhibit COX-1 [100]. The most prominent  
723 side effect of these NSAID is that they increase the risk of gastrointestinal events such as nausea,  
724 vomiting, dyspepsia, ulcer, diarrhea, and bleeding in patients, especially with high doses [101].  
725 The low oral tolerability is caused by the direct and indirect irritations in the gastrointestinal tract.  
726 Many NSAIDs are acidic compounds with carboxyl groups in their molecular structures, which  
727 can irritate the gastrointestinal epithelium directly [102]. More decisively, the inhibition of COX-  
728 1 activity in the gastrointestinal epithelium decreases the production of PGs which contribute to  
729 maintain the mucosal integrity [101]. The NSAIDs without significant preference in inhibiting the  
730 two COX isoforms are referred as the traditional NSAIDs.

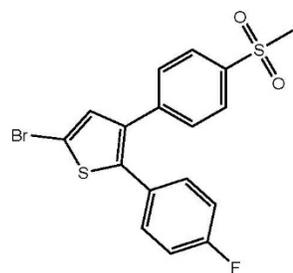


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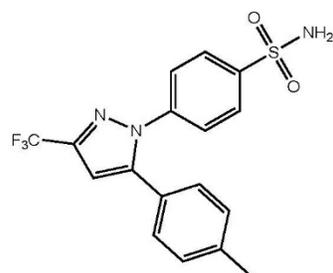
732 **Figure 7. Traditional NSAIDs.**

733

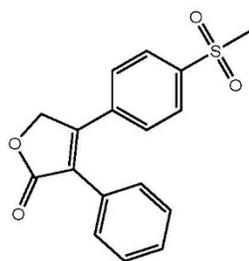
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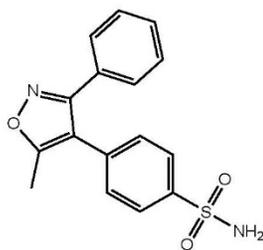
1  
DuP-697



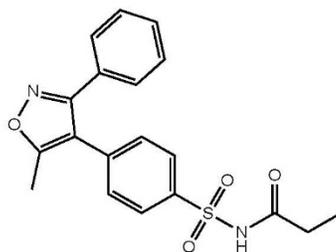
2  
celecoxib



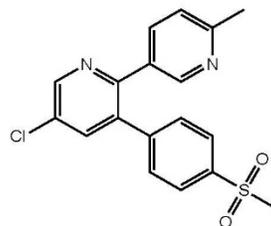
3  
rofecoxib



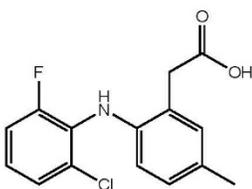
4  
valdecoxib



5  
parecoxib



6  
etoricoxib



7  
lumiracoxib

735

736 **Figure 8. Selective COX-2 inhibitors.**

737

738

### 739 **1.2.3 The development of selective COX-2 inhibitors**

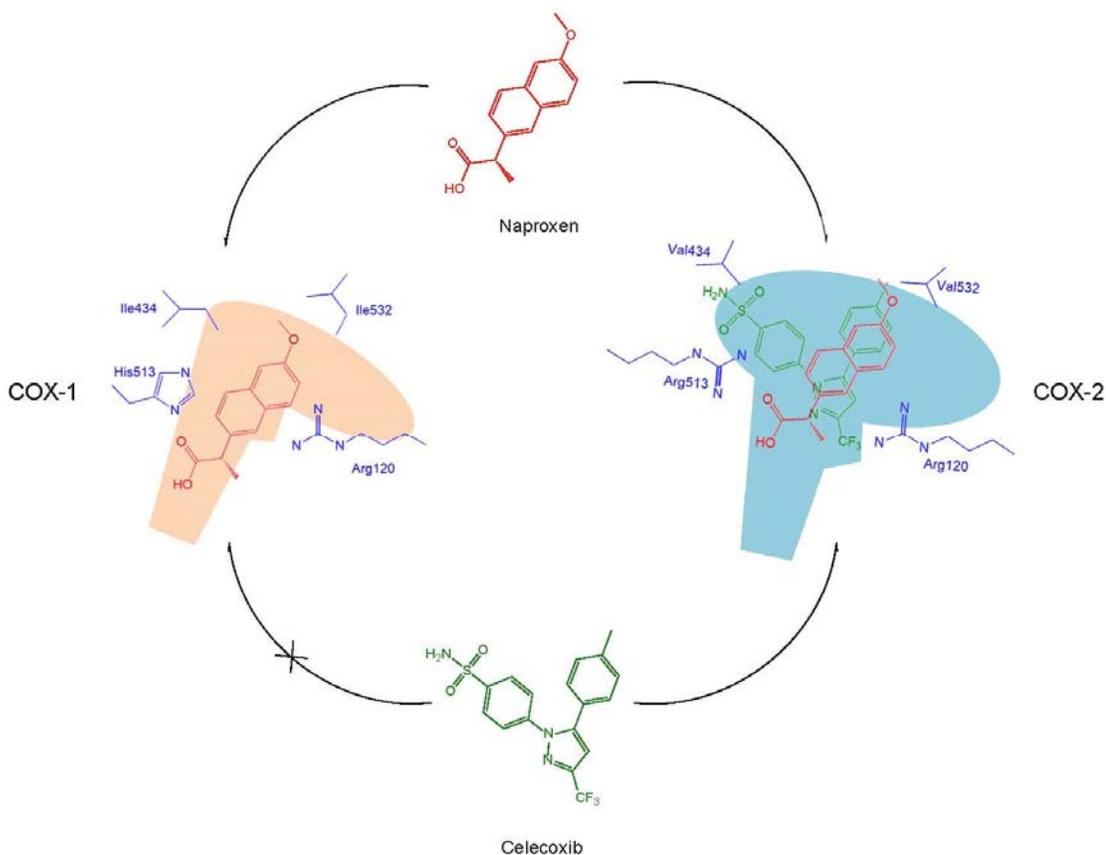
740 Before the existence of COX-2 in animals and human was confirmed, a compound, DuP-697  
741 (Figure 8, **1**) was already reported to possess potent anti-inflammatory effect but not induce the  
742 gastrointestinal events like the traditional NSAIDs [103]. After the discovery of the second COX  
743 isoform in animals and humans, a new class of NSAIDs was proposed as the selective inhibitors  
744 of human COX-2 activity. Driven by the hypothesis that COX-1 is constitutive and COX-2 is  
745 inflammatory, the selective COX-2 inhibitors were developed as promising agents to treat  
746 inflammations without the gastrointestinal side effects of the traditional NSAIDs [104]. Since  
747 verified as the first selective COX-2 inhibitor, DuP-697 served as the building-block in the  
748 development of the consequent selective COX-2 inhibitors.

#### 749 **1.2.3.1 The structural basis for the selective COX-2 inhibition**

750 The successful development of the selective COX-2 inhibitors depended on the differences of the  
751 active sites between COX-1 and COX-2. The substitutions of Ile523, His513, and Ile434 in COX-  
752 1 by Val523, Arg513, and Val434 in COX-2 in the amino acid sequence account for the  
753 conformational changes in the active sites from COX-1 to COX-2 (Figure 9) [105, 106]. The  
754 substitution of Ile523 in COX-1 by Val523 in COX-2 is usually considered as the most critical  
755 [107]. The side chain of valine is less bulky than that of isoleucine, resulting in a side channel in  
756 the active site of COX-2 which is not present in that of COX-1 [105, 106]. Meanwhile, the  
757 substitution of Ile434 in COX-2 by Val434 also contributes to making a larger volume in the  
758 active site in COX-2. The Arg513 in COX-2 are an important residue in the interaction between  
759 COX-2 and the selective COX-2 inhibitors through their 4-sulfamoylphenyl or 4-  
760 (methylsulfonyl)phenyl group. The selective COX-2 inhibitors with a diaryl heterocyclic ring  
761 structure are endowed with high binding affinities in the active pocket of COX-2, but they are not  
762 able to enter the active pocket of COX-1 which is smaller than that of COX-2 [106]. In contrast,

763 the traditional NSAIDs like aspirin, ibuprofen, and naproxen are able to inhibit COX-1 and COX-  
764 2 equivalently due to their relatively simple structures [108].

765



766

767 **Figure 9. The selectivity of inhibitors between human COX-1 and COX-**  
 768 **2.**

769 The substitutions of Ile523, His513, and Ile434 in COX-1 by Val523, Arg513, and Val434 in  
 770 COX-2 in the amino acid sequence result in the conformational changes in the active sites from  
 771 COX-1 to COX-2. The substitution of Ile523 in COX-1 by Val523 in COX-2 creates a side  
 772 channel in the active site of COX-2 which is not present in that of COX-1. The selective COX-2  
 773 inhibitors with a diaryl heterocyclic ring structure are endowed with high binding affinities in the  
 774 active pocket of COX-2, but they are not able to enter the active pocket of COX-1. In contrast,  
 775 the traditional NSAIDs like aspirin, ibuprofen, and naproxen are able to inhibit COX-1 and COX-  
 776 2 equivalently due to their relatively simple structures (derived from Grosser et al. [108]).

777

778 1.2.3.2 **The various efficacy of the selective COX-2 inhibitors**

779 The main advantage of the selective COX-2 inhibitors over the traditional NSAIDs is that they  
780 bring significantly lower adverse side effects in the gastrointestinal tract to patients with  
781 inflammations. For example, Langman et al. reported that compared with treatment with  
782 ibuprofen and diclofenac, treatment with rofecoxib in patients with osteoarthritis (OA) was  
783 associated with a significantly lower incidence of upper gastrointestinal tract perforations, ulcers,  
784 and bleedings [109]. Hunt et al. reported that treatment with etoricoxib reduced the incidence of  
785 endoscopically detected ulcers by approximately 50% compared with treatment with the  
786 traditional NSAIDs [110]. A similar conclusion was also made for celecoxib in a randomized  
787 controlled trial studying the celecoxib long-term arthritis safety [111]. The high gastrointestinal  
788 safety of the selective COX-2 inhibitors led to their successful launch in the market and also the  
789 popularity in patients with inflammatory diseases.

790 Also, because COX-2 is substantially involved in tumorigenesis, the selective COX-2 inhibitors  
791 have been actively investigated as promising agents in the treatment and chemoprevention of  
792 human malignancies, primarily CRC [112]. In the Prevention of Colorectal Sporadic  
793 Adenomatous Polyps (PreSAP) trial, daily use of 400 mg celecoxib reduced the occurrence of  
794 colorectal adenomas in patients within three years after polypectomy [113]. In the Adenomatous  
795 Polyp Prevention on Vioxx (APPROVe) trial, a daily dose of 25 mg rofecoxib significantly  
796 reduced the recurrence of colorectal adenomas in patients [114]. In addition, the efficacy of the  
797 selective COX-2 inhibitors in the treatment of neuroinflammation in Alzheimer's disease (AD)  
798 has also been investigated in several clinical trials, but the results from different studies were  
799 controversial and no definite conclusion can be made before further studies [115-117].

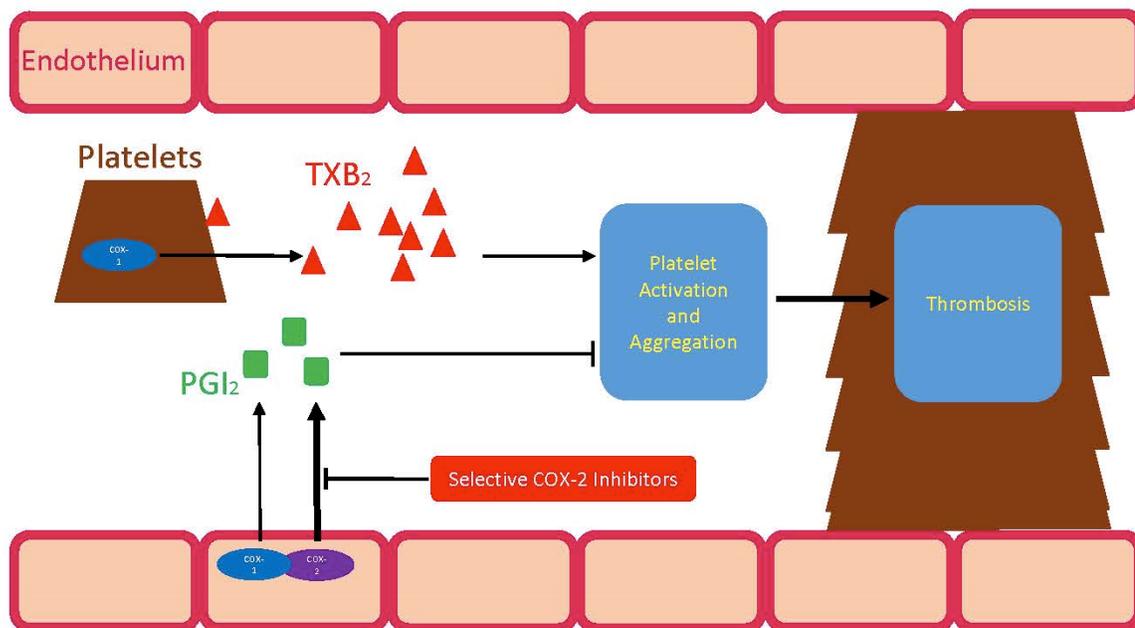
800 1.2.3.3 **The cardiovascular toxicity of the selective COX-2 inhibitors**

801 Although the selective COX-2 inhibitors were successfully developed with a lower incidence of  
802 gastrointestinal events in patients, their adverse side effects converged in the cardiovascular  
803 systems and finally resulted in the withdrawn of several drugs from the market.

804 When the efficacy of celecoxib and rofecoxib in the chemoprevention of CRC was demonstrated  
805 in clinical trials, severe adverse side effects in the cardiovascular system were also observed in  
806 the patients taking daily doses of celecoxib or rofecoxib. In the Adenoma Prevention with  
807 Celecoxib (APC) trial, the risk of cardiovascular events including stroke, myocardial infarction  
808 and heart attacks was increased to 2.3 and 3.4 folds of that in placebo group by 200 mg or 400 mg  
809 celecoxib twice daily, respectively [118]. In the APPROVe, the risk was increased to 1.9 folds of  
810 that in placebo group by a daily dose of 25 mg rofecoxib [119]. Based on the results in relevant  
811 clinical trials, the concern about the cardiovascular toxicity of the selective COX-2 inhibitors  
812 resulted in the voluntary withdrawn of rofecoxib from the market by Merck, and the use of all the  
813 other selective COX-2 inhibitors is suggested to be limited in patients without appropriate  
814 alternatives, as well as only in the lowest dose and for the shortest duration necessary [120].

815 The reason for the cardiovascular toxicity of the selective COX-2 inhibitors is still not very clear.  
816 In recent years, the physiological roles of COX-2-derived PGs attract more and more attentions  
817 from researchers and the concept that COX-2 is mainly pathological has been largely challenged.  
818 Many experimental results support a hypothesis that selective inhibition of COX-2 in the blood  
819 vessels may lead to disruption of the hemostatic balance between different PGs [108]. This  
820 hypothesis proposes that when the production of COX-2-derived PGI<sub>2</sub> by the vascular  
821 endothelium was decreased, COX-1-derived platelet thromboxanes promote thrombogenesis,  
822 hypertension, and atherogenesis, which lead to the toxicity in the cardiovascular system (Figure  
823 10). According to this hypothesis, in previous studies on the traditional NSAIDs, the cardiac  
824 protection provided by low-dose aspirin in patients can be attributed to the preferential inhibition  
825 of COX-1 in the platelets [108, 121].

826



827

828 **Figure 10. The hypothesis that the selective inhibition of COX-2**  
829 **disturbs vascular PG homeostasis and lead to cardiovascular events.**

830 In the vascular endothelium, the predominant PG derived from COX-1 and COX-2 is PGI<sub>2</sub>, which  
831 inhibits platelet activation and aggregation. However, in the platelet, only COX-1 is expressed  
832 and the predominant products is TXB<sub>2</sub> which promotes thrombosis. When the COX-2 activity is  
833 selectively inhibited in patients, the homeostasis between PGI<sub>2</sub> and TXB<sub>2</sub> will be unfortunately  
834 disrupted. Thrombosis occurring in the cardiovascular system may lead to fatal events including  
835 strokes and heart attacks (derived from Grosser et al. [108]).

836

## 837 **1.2.4 Celecoxib**

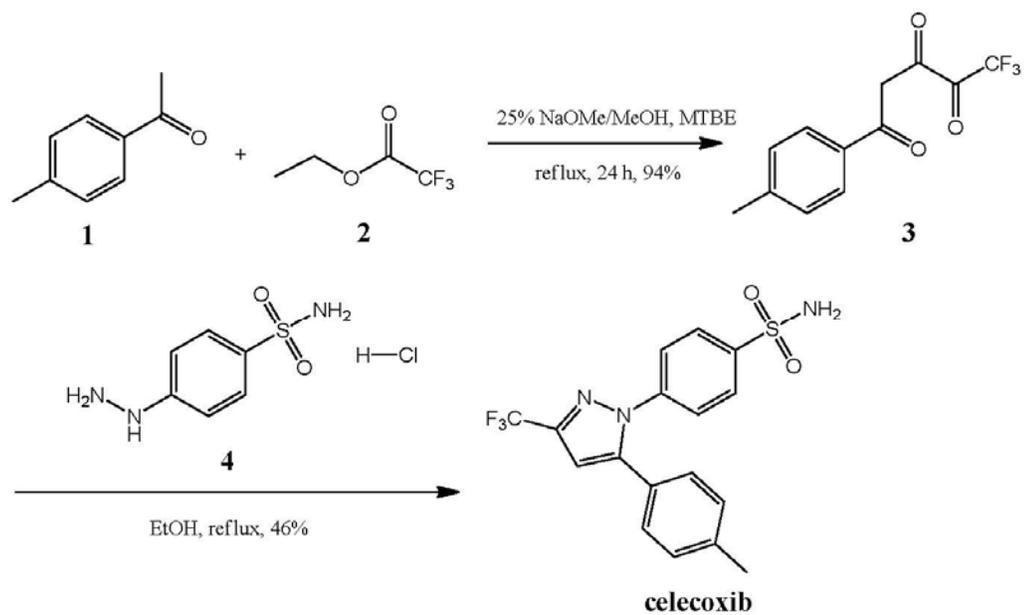
838 Celecoxib (Figure 8, **2**) is the most famous selective COX-2 inhibitors and now it is still on  
839 market. With the brand name Celebrex, celecoxib was approved to be used in the treatment of  
840 acute pain, osteoarthritis (OA), rheumatoid arthritis (RA), ankylosing spondylitis by Food and  
841 Drug Administration (FDA) in 1998. In 1999, it was approved for the treatment of colorectal  
842 polyps in patients with familial adenomatous polyposis (FAP) [122].

### 843 **1.2.4.1 The chemical synthesis of celecoxib**

844 The chemical synthesis routes of celecoxib and its analogues were described by Penning et al.  
845 (Scheme 1) [123]. In the presence of sodium methoxide (NaOMe) in refluxing methanol/methyl  
846 *tert*-butyl ether (MTBE), Claisen condensation of 4-methylacetophenone (**1**) with ethyl  
847 trifluoroacetate (**2**) yielded the dione (**3**). Celecoxib was subsequently prepared from the  
848 condensation of the dione and (4-sulfamoylphenyl)hydrazine hydrochloride (**4**) in refluxing  
849 ethanol. The yield was 43% in this 2-step preparation method. In 2011, Gaulier et al. reported a  
850 novel three linear step synthesis of celecoxib via a key regioselective direct C–H arylation  
851 reaction between a 1,3-disubstituted pyrazole and an aryl bromide, and the overall yield was 33%  
852 [124].

853

854



855

856 **Scheme 1. Chemical synthesis of celecoxib.**

857

858

859

860 **1.2.4.2 The physicochemical properties of celecoxib**

861 Celecoxib is poorly soluble in pure water (0.007 mg/mL, 25°C) [125]. In certain biorelevant and  
862 compendial media like fed state simulated gastric or intestinal fluids, the aqueous solubility of  
863 solubility can be enhanced to be as high as 0.1 mg/mL [126]. Celecoxib is soluble in organic  
864 solvents like methanol (114 mg/mL), ethanol (63 mg/mL) and octanol (7.8 mg/mL) [127]. The  
865 octanol/water partition coefficient of celecoxib is greater than  $10^3$ , indicating that it is highly  
866 lipophilic. Since the  $pK_a$  of celecoxib is 11.1, it is essentially neutral in the pH range of human GI  
867 tract [126].

868 **1.2.4.3 The potency and selectivity of celecoxib in the inhibition of COX-2 activity**

869 As a potent inhibitor of COX-2, in most previous studies celecoxib was found to inhibit more  
870 than 50% of COX-2 activity at a concentration lower than 1  $\mu$ M. Celecoxib has also been  
871 identified as a selective COX-2 inhibitor because its inhibitory effects on COX-1 activity is much  
872 weaker. However, the potency and selectivity of celecoxib were various when they were  
873 determined in different experimental systems. In Table 1, the  $IC_{50}$ s of celecoxib on human COX-  
874 1/2 activity as well as its selectivity in different studies are summarized.

875

Experimental system	IC <sub>50</sub> (μM)		COX-2 selectivity	Reference
	hCOX-1	hCOX-2		
Human recombinant enzymes	15	0.04	375	[123]
	-	0.01	-	[128]
	-	0.08	-	[129]
	-	<<10	-	[130]
	-	<1	-	[131]
	<100	<1	-	[132]
Human macrophage	0.039	0.005	8.3	[133]
Human monocytes	82	6.8	12	[134]
CHO cells transfected with hCOX-1/2	13.5	0.036	375	[135]
U-937 cells (COX-1) / 143982 cells (COX-2)	5.1	0.079	64.6	[136]
Human lymphoma cell (COX-1) / IL-1β-stimulated dermal fibroblast (COX-2)	2.8	0.0091	31	[137]
Human Whole Blood Assay (WBA)	1.2	0.83	1.45	[100]
	-	1	-	[131]
	-	1	-	[132]
	-	<1	-	[136]
William Harvey Human Modified Whole Blood Assay (WHMA)	-	0.34	-	[100]

877

878 **Table 1. IC<sub>50</sub> value on COX-1/2 activities and selectivity of celecoxib**  
879 **determined in different studies.**

880

#### 881 1.2.4.4 Structure-activity relationship studies of celecoxib

882 The structure-activity relationship (SAR) study of celecoxib and its analogs illustrated that the  
883 1,5-diarylpyrazole structure was essential for their inhibitory potency on COX-2 activity. The  
884 researchers made various modifications to different positions on the chemical skeleton of  
885 celecoxib and performed a comprehensive SAR study [123]. The substitution of *p*-  
886 sulfamoylphenyl at position 1 of the pyrazole ring is fundamental to the selectivity towards COX-  
887 2, because it helps to occupy the side pocket which is in COX-2 but absent in COX-1. Meanwhile,  
888 the substitutions at position 1 of the pyrazole can only be *p*-sulfamoylphenyl or *p*-  
889 methylsulfonylphenyl to keep both the potency and selectivity in COX-2 inhibition.

890 At position 3 of the pyrazole, replacement of the trifluoromethyl by other groups such as  
891 difluoromethyl, fluoromethyl and methyl et al. will generate a series of compounds with  
892 comparable or decreased potency in inhibiting COX-2. When celecoxib is accommodated in the  
893 binding channel of COX-2, the trifluoromethyl is oriented toward the opening of the channel,  
894 which may render fewer interactions with amino acid residues and allow more flexible  
895 substituents to this site.

896 With respect to the *para*-methylphenyl attached to position 5 of the pyrazole, remarkably various  
897 modifications can be made to it without altering the potency and selectivity toward COX-2  
898 significantly. For example, the 4-methyl can be substituted by hydrogen, 2-methyl, 3-methyl, 2-  
899 chloro, 3-chloro, 4-chloro, 2-fluoro, 3-fluoro, 4-fluoro, and 4-methoxy. However, hydrophilic  
900 substitutions such as hydroxyl and carboxyl groups are unfavorable and diminish potency. Also,  
901 if the substituents are bulky, the binding affinity between the inhibitor and COX-2 will be  
902 decreased due to steric hindrance. It should be noted that the analogs of celecoxib with halogen  
903 substitutions to this phenyl ring usually possess an incredibly long half-life (hundreds of hours) *in*  
904 *vivo* which is unacceptable for a drug candidate.

#### 905 1.2.4.5 Absorption, metabolism and excretion of celecoxib

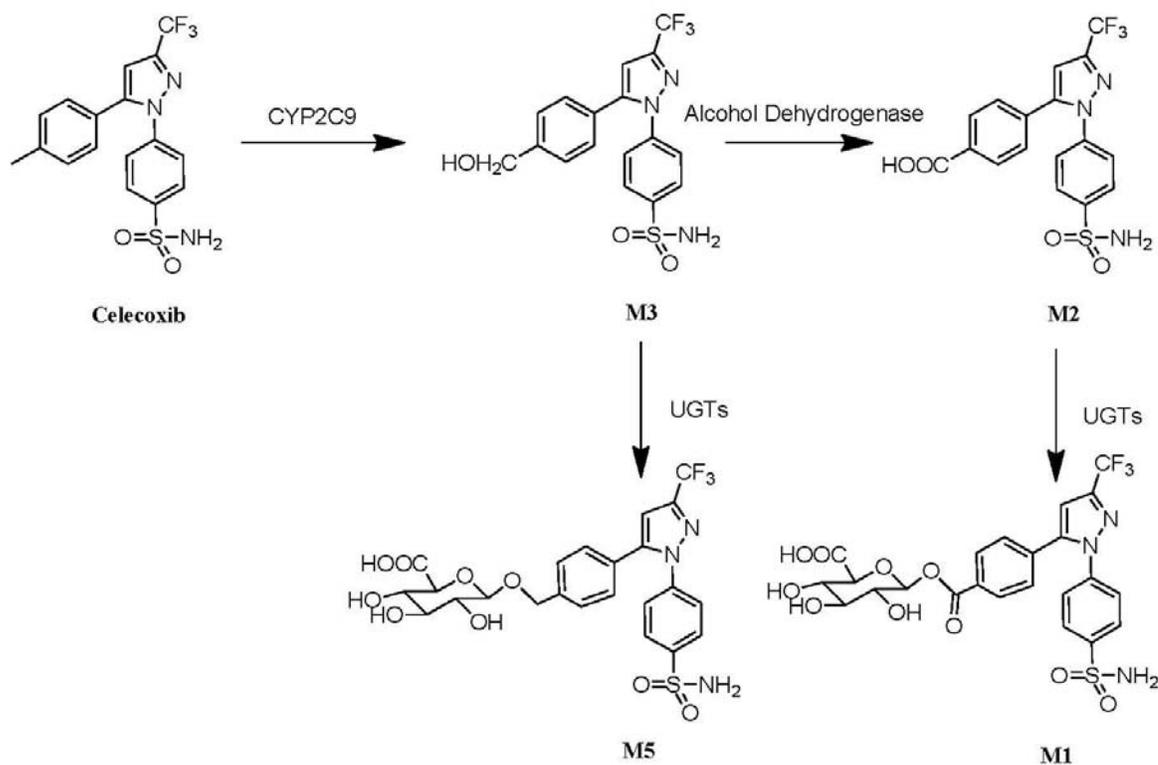
906 Celecoxib can be classified as a biopharmaceutic classification system (BCS) class II drug due to  
907 its low aqueous solubility and high permeability [127]. In dogs, when administrated in a solution,  
908 celecoxib was absorbed rapidly and reached the peak concentration in the plasma in 1 hour, while  
909 administrated as a solid, the absorption was delayed for 1 or 2 hours. Administration of celecoxib  
910 in a solution also resulted in higher oral bioavailability than as a solid. The absorption of  
911 celecoxib as a solid was limited by its dissolution rate [126, 138]. When the same doses of  
912 celecoxib were administrated intragastrically or into the duodenum, jejunum, or colon directly  
913 through a chronic intestinal access port in dogs, the absorption rate of celecoxib was slower in the  
914 colon than in the other segments of GI tract [138]. However, the  $AUC_{0-\infty}$  values of celecoxib in  
915 the systemic circulation were found to be similar after dosing celecoxib to the four sites,  
916 indicating that the extent of celecoxib absorption was similar. All these results have illustrated  
917 that celecoxib is a highly permeable drug which can be absorbed through the GI tract in dogs.  
918 Fat-containing diet delayed the absorption of celecoxib given in a gelatin capsule in dogs, but  
919 significantly increased the oral bioavailability and  $AUC_{0-\infty}$  of celecoxib by 300-500%. However,  
920 only a slight (11%) increase in  $AUC_{0-\infty}$  was observed in human after celecoxib administrated with  
921 a high fat meal, which was considered as clinically irrelevant [138].

922 In humans and rats, the metabolism pathways of celecoxib are similar. In previous studies, orally  
923 administered celecoxib was demonstrated to be well absorbed and appeared in the plasma  
924 quickly, featuring a concentration much higher than its  $IC_{50}$  value against COX-2 [139]. The  
925 phase I metabolism of celecoxib comprises of two steps (Figure 11) [140, 141], where celecoxib  
926 is initially oxidized to hydroxycelecoxib (M3), and then further oxidized to carboxycelecoxib  
927 (M2). In humans, these two steps are catalyzed by CYP2C9 and alcohol dehydrogenase,  
928 respectively [142, 143]. In subsequent phase II metabolism, M3 and M2 can be conjugated with  
929 glucuronic acid on the carboxyl or hydroxyl group to form the glucuronides M1 and M5,

930 respectively. The UGTs are responsible for the glucuronidation. It is also notable that as 1-*O*-  
931 acyl glucuronide, M1 is unstable at physiological pH and isomers can be formed via the acyl  
932 migration process [144]. M1 can be converted to M2 by  $\beta$ -glucuronidase, while the acyl  
933 migration products are resistant to hydrolysis [145]. In previous studies, multiple positional  
934 isomers of M1 were observed in the *in vivo* samples [141].

935 In male human volunteers, after oral administration of 300 mg celecoxib, 50%~60% of the dose  
936 was recovered in the feces as M2, while less than 5% of the dose was excreted as unmetabolized  
937 celecoxib [140]. In the urine, approximately 20% of the dose was excreted as M2, and less than 2%  
938 of the dose was excreted as either unmetabolized celecoxib, M1 or M3 [140]. In rats, after oral  
939 administration of 1mg/kg celecoxib, approximately 75% and 10% of the dose was recovered as  
940 M2 in the feces and urine, respectively. Besides that, 5% of the dose was recovered as M3 in  
941 urine [141]. Larger oral doses of celecoxib (20, 80 and 400 mg/kg) in rats resulted in increased  
942 percentages of the dose recovered as unmetabolized celecoxib in the feces, perhaps due to  
943 inadequate absorption in the GI tract. Analysis of the rat bile extract also revealed that the major  
944 metabolite in the bile was M2, while M1 and M5 were also found as the minor metabolites [141].  
945 M1 and M5 were susceptible to hydrolysis by bacterial glucuronidases in the rat colon. The  
946 hepatobiliary and renal excretion of M2 was proved to be mediated by BCRP [146, 147].

947



948

949 **Figure 11. The metabolism pathways of celecoxib in human.**

950 The phase I metabolism of celecoxib comprises of two steps. Celecoxib is initially oxidized to  
 951 hydroxycelecoxib (M3), and then further oxidized to carboxycelecoxib (M2). In humans, these  
 952 two steps are catalyzed by CYP2C9 and alcohol dehydrogenase, respectively. In subsequent  
 953 phase II metabolism, M3 and M2 can be conjugated with glucuronic acid on the carboxyl or  
 954 hydroxymethyl group to generate the glucuronides M1 and M5, respectively. The UGTs are  
 955 responsible for the glucuronidation (derived from Paulson et al. ).

956

957

## 958 **1.2.5 The other selective COX-2 inhibitors**

### 959 **1.2.5.1 Rofecoxib**

960 Rofecoxib (Figure 8, 3) is another famous selective COX-2 inhibitor besides celecoxib. It was  
961 classified into the group of NSAIDs with highest selectivity in the inhibition of COX-2 activity  
962 [100]. Similar to celecoxib and the other selective COX-2 inhibitors, rofecoxib is with a diaryl  
963 heterocyclic backbone in its chemical structure. The methylsulfonyl substitution on one of the  
964 aryl rings is inherited from DuP-697. It was marketed by Merck under the brand name Vioxx in  
965 1999. After the APPROVe trial showed the increased risk of the cardiovascular events in patients  
966 by rofecoxib, the drug was withdrawn from the market in 2004.

967 Rofecoxib was usually available as tablets or in oral suspensions. The oral bioavailability is  
968 higher than 90% when a dose of 12.5, 25 or 50 mg rofecoxib was given to healthy subjects, but  
969 the oral bioavailability of rofecoxib is much lower in cholecystectomy patients, indicating that the  
970 bile facilitates the absorption of rofecoxib [148]. The absorption of rofecoxib in the  
971 gastrointestinal tract of humans is rapid and the peak concentration in the plasma is achieved in 3  
972 hours. The elimination half-life of rofecoxib in human plasma is 17 hours [149]. The metabolism  
973 of rofecoxib in humans is more complicated than that of celecoxib and involves multiple enzymes  
974 including CYPs and UGTs [149, 150]. At least 8 metabolites of rofecoxib have been detected and  
975 characterized in humans [148]. The majority of metabolites are excreted in urine [148].

### 976 **1.2.5.2 Valdecoxib and parecoxib**

977 Valdecoxib (Figure 8, 4) is another selective COX-2 inhibitor which was once on the market with  
978 the brand name Bextra by G. D. Searle & Company. The chemical structure of valdecoxib is  
979 featured with a 3,4-diarylisoxazole, which is very similar to that of celecoxib. On November 20,  
980 2001, celecoxib is approved by FDA for the treatment of OA, adult RA, and primary  
981 dysmenorrhea. It was also used off-label for controlling acute pain and various types of surgical

982 pain. Valdecoxib was available by prescription in the United States as tablets until 2005, and then  
983 it was withdrawn from the market due to concerns about increased cardiovascular events and also  
984 serious skin side effects in patients.

985 Parecoxib (Figure 8, 5) is the amide of propanoic acid and valdecoxib. It was developed as a  
986 water soluble and injectable prodrug of valdecoxib for those patients unable to receive oral  
987 administration of drugs. Following parenteral administration in patients, parecoxib is stable in the  
988 whole blood and plasma, but rapidly converted to valdecoxib and propanoic acid by hepatic  
989 carboxyesterases. It is successfully marketed as Dynastat in European Union for use in  
990 perioperative pain control, but not approved by FDA in the United States.

#### 991 1.2.5.3 **Etoricoxib**

992 Etoricoxib (Figure 8, 6), with the brand name Acroxia, is a selective COX-2 inhibitor which has  
993 been approved in more than 80 countries but not in the United States. A *p*-(methylsulfonyl)phenyl  
994 and a bipyridine are included in the chemical structure of etoricoxib, which make it similar to the  
995 other selective COX-2 inhibitors. Etoricoxib is used in the treatment of inflammatory diseases  
996 such as RA, OA, psoriatic arthritis and ankylosing spondylitis, as well as in the control of chronic  
997 low back pain, acute pain, and gout.

#### 998 1.2.5.4 **Lumiracoxib**

999 Lumiracoxib (Figure 8, 7) is an analog of diclofenac and its structure is largely different from  
1000 those mentioned above. Unlike the other selective COX-2 inhibitors with three aryl rings  
1001 adjacently placed in the structures, lumiracoxib is classified as a member of the arylalkanoic acid  
1002 class of NSAIDs. It also binds to a different binding site in COX-2 protein, compared with the  
1003 other selective COX-2 inhibitors. It was once approved in the European Union, Australia, Canada,  
1004 and other countries for arthritis treatment and pain control, but soon withdrawn from the most  
1005 countries due to its potential to cause liver adverse events or even liver failure.

## 1006 **Chapter 2 Hypothesis and Specific Aims**

### 1007 **2.1 Hypothesis**

1008 The selective COX-2 inhibitors have been demonstrated to be effective in the chemoprevention of  
1009 CRC. However, they can not be used as safe agents in CRC chemoprevention because of their  
1010 cardiovascular toxicity. The long-term goal of this project is to develop novel selective COX-2  
1011 inhibitors without cardiovascular toxicity for CRC chemoprevention. In the current studies, we  
1012 hypothesize that by taking advantage of phase II metabolism and excretion, locally bioavailable  
1013 COX-2 inhibitors can be developed as effective agents to inhibit the COX-2 activity in colon, and  
1014 meanwhile they are not systemically available to avoid the cardiovascular toxicity.

### 1015 **2.2 Specific Aims**

#### 1016 **2.2.1 Aim 1**

1017 **To design and synthesize a series of phenolic compounds as potential inhibitors of COX-2**  
1018 **activity.** We will use celecoxib as the template to design a series of new compounds with  
1019 phenolic groups in their structures. After synthesis and purification, the inhibitory effects of the  
1020 new compound on COX-2 cells will be assessed in different cell lines or by human recombinant  
1021 COX-2 enzyme.

#### 1022 **2.2.2 Aim 2**

1023 **To characterize the metabolic properties of the new compounds by the *in vitro* tools and**  
1024 **models, as well as in pharmacokinetics studies in rats.** In this aims, the new compounds will  
1025 be put into *in vitro* characterization and their phase II metabolism rates will be compared. The  
1026 one which is the most likely to have a low systemic bioavailability will be selected as the lead

1027 compound. PK studies will be also conducted to confirm the results from the *in vitro*  
1028 characterization.

1029 **2.2.3 Aim 3**

1030 **To evaluate of the efficacy of the new compounds in inhibiting colonic COX-2 activity in**  
1031 **inflamed rat colon.** In this aim, in rat colon with acute inflammation, we will conduct *in situ*  
1032 perfusion studies to verify the efficacies of the new compounds in inhibiting colonic COX-2  
1033 activity. Also, the metabolism, absorption and excretion of the representative new compound in  
1034 rats will be also investigated in the perfusion studies.

1035

## 1036 Chapter 3 The Design and Synthesis of Phenolic

## 1037 Compounds as COX-2 Inhibitors

### 1038 3.1 Abstract

1039 Totally 8 new COX-2 inhibitors were successfully synthesized and purified. They have either  
1040 different substitutions on one of the aryl rings (R<sub>1</sub>) or different phenolic groups (R<sub>2</sub>) in their  
1041 structures. Their efficacies to inhibit the production of COX-2-derived prostaglandins was tested  
1042 in Raw264.7 cells and HCA-7 cells. In Raw264.7 cells, among all the 8 compounds, **6a3**, **6b3**  
1043 and **6a3** were identified as the most potent ones to inhibit PGD<sub>2</sub> potent, while the other  
1044 compounds were found to be much weaker. However, in HCA-7 cells, the differences in IC<sub>50</sub>  
1045 values between **6a3** and the other three compounds (**6a1**, **6a2**, and **6a4**) were much less than  
1046 those in Raw264.7 cells. The metabolic stability of **6a1**, **6a2** and **6a3** were investigated and the  
1047 results showed that the rapid glucuronidation and excretion of these compounds in Raw264.7  
1048 cells decreased their potency. Meanwhile, no significant difference in potency were observed  
1049 among **6a1**, **6a2** and **6a3** when their inhibitory effects on the activity of human recombinant  
1050 COX-2 enzyme were assessed. The *in silico* docking of the new compounds to the crystal  
1051 structure of COX-2 protein revealed that the binding orientations of these compounds were  
1052 similar to that of celecoxib in the active pocket of COX-2. Although the new compounds are  
1053 much less potent inhibitors of COX-2 compared with celecoxib, they still have IC<sub>50</sub> values lower  
1054 than 0.2 μM and a local concentration higher than that is quite achievable in the colon.

1055

## 1056 **3.2 Introduction**

1057 Besides the role of COX-2 in the inflammation, its involvement in human neoplasia,  
1058 tumorigenesis, tumor angiogenesis and metastasis has also attracted a lot of attention. With  
1059 extensive studies *in vitro* or in animal models, COX-2 has been validated as an effective target in  
1060 the therapy and chemoprevention of cancer, primarily CRC. In clinical trials, oral administration  
1061 of either celecoxib or rofecoxib has been demonstrated to be effective in decreasing the  
1062 recurrence of adenomas in CRC patients after surgery. The existing COX-2 inhibitors, either in  
1063 the market now or withdrawn from the market, have a very good oral bioavailability. After oral  
1064 administration, the drugs can be rapidly absorbed in the intestine, and they enter the systemic  
1065 circulation without notable first-pass effects. With half-lives longer than 8 hours, the drug  
1066 concentrations in the plasma are usually much higher than their IC<sub>50</sub>s on COX-2 activity, in order  
1067 to guarantee the efficacies in the treatment of acute pain and inflammatory arthritis in patients.  
1068 However, the high drug levels in the systemic circulation may also inhibit the physiological role  
1069 of vascular COX-2 and lead to the increased risk of the cardiovascular events.

1070 In contrast to the existing COX-2 inhibitors, it has long been recognized that phenolic drugs (e.g.,  
1071 raloxifene) or natural chemicals (e.g., flavonoids) usually have a low oral bioavailability due to  
1072 the extensive first-pass effects. These compounds can be excellent substrates of phase II enzymes  
1073 expressed in the intestinal epithelium and liver, including the UGTs and SULTs. Before the  
1074 phenolic compounds can reach the systemic circulation, they are rapidly conjugated as  
1075 hydrophilic glucuronides or sulfates. The ABC transporters embedded on the membrane of  
1076 enterocytes or hepatocytes facilitate excretion of the phase II metabolites. The functional  
1077 coupling of the phase II enzymes and transporters largely decreases the chance of the phenolic  
1078 compounds entering the systemic circulation and results in a low oral bioavailability.

1079 Hence, the extensive experiences in studying the absorption, disposition, metabolism and  
1080 excretion of the phenolic drugs and natural compounds inspired the researchers in Dr. Ming Hu's  
1081 lab to invent novel selective COX-2 inhibitors with phenolic groups built in their structures. The  
1082 novel COX-2 inhibitors are supposed to be active locally to inhibit colonic COX-2. However,  
1083 different from the existing COX-2 inhibitors, the novel ones will be conjugated as phase II  
1084 metabolites and excreted before they enter the systemic circulation, resulting in negligible or even  
1085 no plasma concentration. In this way, the physiological role of vascular COX-2 can be protected  
1086 from disruption, and thus the side effects in the cardiovascular system can be avoided. The long-  
1087 term objective in this project is to develop drug candidates to target COX-2 activity in related  
1088 colonic diseases (e.g., CRC chemoprevention) with no side effects.

1089 In this chapter, a series of phenolic compounds as novel COX-2 inhibitors were designed and  
1090 synthesized. The structure of celecoxib, the only selective COX-2 inhibitor in the market now,  
1091 was selected as a prototype for the development of new compounds. Modifications were made in  
1092 the structure to generate new compounds with binding affinity and selectivity to COX-2. Like  
1093 most other selective COX-2 inhibitors, celecoxib possesses a central heterocycle with two aryl  
1094 substitutions. Based on the SAR studies of celecoxib, it is inappropriate to introduce hydroxyl  
1095 groups to the two aryl rings, or the binding affinity with COX-2 will be lost or largely reduced.  
1096 However, certain modifications made to the pyrazole can be tolerated. Thus, the structure of  
1097 celecoxib was modified to generate phenolic compounds by substituting the 3-trifluoromethyl  
1098 group on the pyrazole ring. A previously reported route for the synthesis of celecoxib derivatives  
1099 was modified in the present project. In this route, different phenolic groups were conjugated to  
1100 the skeleton of celecoxib.

1101 After the structures of the new compounds were certified, their inhibitory effects on COX-2  
1102 activity were determined in different cell lines with inducible or constitutive COX-2 expression,  
1103 as well as by *in vitro* assays using recombinant human COX-2 enzyme. The cell lines included:

1104 Raw264.7 cells, a murine macrophage cell line with COX-2 expression upon LPS induction and  
1105 HCA-7 cells, a human CRC cell lines with constitutive COX-2 expression. After the new  
1106 compounds were confirmed as selective COX-2 inhibitors, they were further characterized for  
1107 their metabolism and excretion in the next chapter.

### 1108 **3.3 Materials and Methods**

#### 1109 **3.3.1 Materials**

1110 4'-Methoxyacetophenone (CAS 100-06-1), 4'-methylacetophenone (CAS 122-00-9),  
1111 acetophenone (CAS 98-86-2), sodium hydride (NaH, CAS 7646-69-7), 1,2-dihydroxybenzene  
1112 (CAS 120-80-9), resorcinol (CAS 108-46-3), hydroquinone (CAS 123-31-9), 3,7-  
1113 dihydroxyflavone (3,7-DHF), tetrahydrofuran anhydrate (THF), sodium hydroxide (NaOH),  
1114 lithium aluminum hydride (LiAlH<sub>4</sub>), anhydrous magnesium sulfate (MgSO<sub>4</sub>), hydrogen chloride  
1115 (HCl), sodium carbonate (K<sub>2</sub>CO<sub>3</sub>), ethylenediaminetetraacetic acid (EDTA), L-glutathione  
1116 reduced (GSH), hematin (porcine), phenol, formic acid, lipopolysaccharides (LPS), methyl  
1117 sulfoxide (DMSO), DMSO-*d*<sub>6</sub>, methanol-*d*<sub>4</sub> (CD<sub>3</sub>OD) and chloroform-*d* (CDCl<sub>3</sub>) were purchase  
1118 from Sigma-Aldrich (St Louis, MO). Diethyl oxalate (CAS 95-92-1) and 4-  
1119 hydrazinobenzenesulfonic acid hemihydrate (CAS 98-71-5) were purchased from TCI chemicals  
1120 (Portland, OR). PGE<sub>2</sub>, PGD<sub>2</sub>, PGE<sub>2</sub>-*d*<sub>4</sub>, PGD<sub>2</sub>-*d*<sub>4</sub>, AA, recombinant human COX-2 protein, and  
1121 the cation ionophore A23187 were purchased from Cayman Chemicals (Ann Arbor, MI). In the  
1122 chemical synthesis, ACS grade acetonitrile, ethyl acetate (EtOAc), methylene chloride (CH<sub>2</sub>Cl<sub>2</sub>),  
1123 methanol, and ethanol were purchased from EMD Millipore (Billerica, MA).

1124 Raw264.7 cells were purchased from the American Type Culture Collection (ATCC, Manassas,  
1125 VA). HCA-7 cells were obtained as a kind gift from Dr. Raymond DuBois in University of Texas  
1126 MD Anderson Cancer Center. Phosphate-buffered saline (PBS), Dulbecco's modified eagle  
1127 medium (DMEM), McCoy's 5A medium, and fetal bovine serum (FBS) were purchased from

1128 Life Technologies (Carlsbad, CA). In the ultra-performance liquid chromatography - tandem  
1129 mass spectrometer (UPLC-MS/MS) analysis, MS grade formic acid, acetonitrile, H<sub>2</sub>O, and  
1130 methanol were purchased from EMD Millipore (Billerica, MA).

### 1131 3.3.2 Chemistry

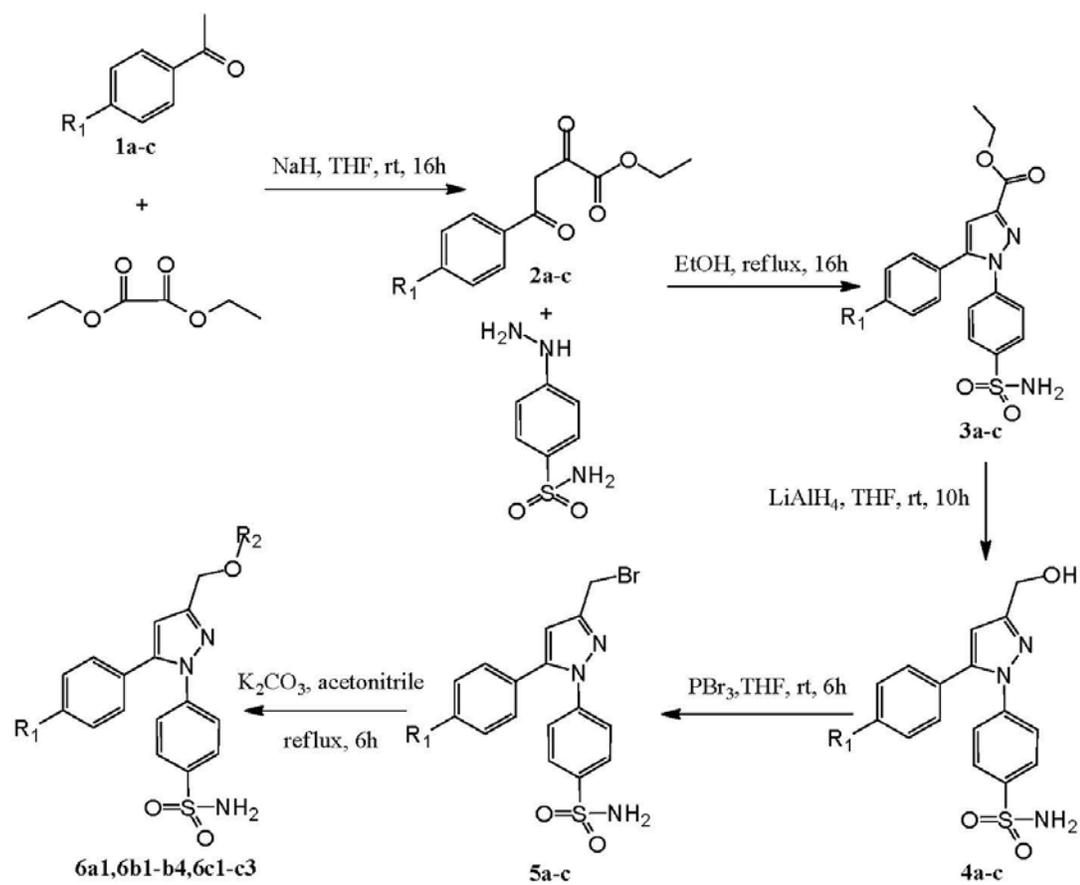
#### 1132 3.3.2.1 General

1133 The thin-layer chromatography (TLC) was performed on EMD TLC Silica Gel 60 F<sub>254</sub>, and the  
1134 compounds were visualized by UV detection. Flash chromatography (FC) was performed on  
1135 silica gel. Liquid-gel partition chromatography was performed on Sephadex™ LH-20 column  
1136 using methanol as the solvent.

1137 Analytical UPLC was performed on a Waters Acquity™ UPLC (Waters, Milford, MA) equipped  
1138 with a diode array detector (DAD). The conditions were: column, Waters BEH C18, 1.7μm, 50  
1139 mm × 2.1 mm (Waters, Milford, MA); mobile phase A, 100% water; mobile phase B, 100%  
1140 acetonitrile; gradient, 0-0.5min, 20% B, 0.5-5.5min, 20-29% B, 5.5-6min, 29-70% B, 6min-  
1141 6.5min, 95% B, 6.5-7.0min, 95% B, 7.0-7.5min, 95-20% B, 7.5-8min, 20% B; flow rate,  
1142 0.5mL/min; column temperature, 45 °C.

1143 The structures were certified by <sup>1</sup>H-NMR at 500 MHz on a JEOL ECA-500 spectrometer or at  
1144 400 MHz on a JEOL ECX-400 spectrometer. Chemical shifts (δs) are reported in ppm downfield  
1145 from tetramethylsilane, and *J* values are in hertz. The splitting patterns are described as: s =  
1146 singlet, d = doublet, t = triplet, q = quartet, quint = quintet, dd = doublet of doublets, dt = doublet  
1147 of triplets, td = triplet of doublets, m = multiplet and br = broad signal. ESI (electrospray  
1148 ionization) mass spectra were acquired on an API 5500-Qtrap triple quadrupole mass  
1149 spectrometer (Applied Biosystem/MDS SCIEX, Foster City, CA).

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1159 **Scheme 2. The synthesis of locally bioavailable COX-2 inhibitors.**

1160

$\text{R}_1$		$\text{R}_2$	
	<b>a</b>	<b>1</b>	
	<b>b</b>	<b>2</b>	
	<b>c</b>	<b>3</b>	
		<b>4</b>	

1161 3.3.2.2 **Preparation of 2a-c**

1162 **Ethyl 2,4-dioxo-4-(*p*-tolyl)butanoate (2a).** NaH (15.0g, 625 mmol) was suspended in 250 mL  
1163 anhydrous THF at 0 °C, and then 4-methyl-acetophenone (**1a**, 30.0 g, 224 mmol) was added in  
1164 portions. The mixture was stirred at 0 °C for 30 minutes before ethyl oxalate (40.0 g, 274 mmol)  
1165 was added. The reaction was allowed to stir at room temperature for another 8 hours. After the  
1166 reaction, THF in the reaction mixture was evaporated and the excessive NaH was quenched by  
1167 ice-water. The mixture was acidified to pH = 6 by 1 N HCl and extracted by EtOAc (150 mL × 3).  
1168 The combined extract was washed with water, dried over anhydrous MgSO<sub>4</sub> and evaporated  
1169 under reduced pressure to provide a yellow oil. The crude product was purified by an LH-20  
1170 Sephadex column using methanol as eluant to give **2a** as a light yellow solid (43.2 g, 83%). <sup>1</sup>H  
1171 NMR (500 MHz, CDCl<sub>3</sub>) δ 7.90 (d, *J* = 8.3 Hz, 2H), 7.30 (d, *J* = 8.1 Hz, 2H), 7.06 (s, 1H), 4.39  
1172 (q, *J* = 7.3 Hz, 2H), 2.44 (s, 3H), 1.41 (t, *J* = 7.1 Hz, 3H). MS (ESI-) *m/z*: 233.2 (M - H<sup>+</sup>).

1173 **Ethyl 2,4-dioxo-4-phenylbutanoate (2b).** Compound **2b** was prepared from acetophenone (**1b**)  
1174 and ethyl oxalate by the procedures described in the preparation of **2a**. **2b** was provided as a pale  
1175 solid in 80% yield. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.98 (d, *J* = 7.2 Hz, 2H), 7.63 – 7.57 (m, 1H),  
1176 7.52 – 7.47 (m, 2H), 7.07 (s, 1H), 4.39 (q, *J* = 7.1 Hz, 2H), 1.40 (t, *J* = 7.1 Hz, 3H). MS (ESI-)  
1177 *m/z*: 219.2 (M - H<sup>+</sup>).

1178 **Ethyl 4-(4-methoxyphenyl)-2,4-dioxobutanoate (2c).** Compound **2c** was prepared from 4-  
1179 methoxyl-acetophenone (**1c**) and ethyl oxalate by the procedures described in the preparation of  
1180 **2a**. **2c** was provided as a light brown solid in 87% yield. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.98 (d, *J*  
1181 = 7.1 Hz, 2H), 7.02 (s, 1H), 6.97 (d, *J* = 8.9 Hz, 2H), 4.38 (q, *J* = 7.1 Hz, 2H), 3.88 (s, 3H), 1.40  
1182 (t, *J* = 7.1 Hz, 3H). MS (ESI-) *m/z*: 249.1 (M - H<sup>+</sup>).

1183 3.3.2.3 **Preparation of 3a-c**

1184 **Ethyl 1-(4-sulfamoylphenyl)-5-(*p*-tolyl)-1*H*-pyrazole-3-carboxylate (3a).** Compound **2a** (20.0  
1185 g, 85.4 mmol) and 4-hydrazinobenzenesulfonamide hydrochloride (20.0 g, 89.6 mmol) was added  
1186 in 1 L ethanol. The mixture was stirred and heated to reflux for 10 hours. After ethanol was  
1187 evaporated under reduce pressure, the reaction mixture was reconstituted in 500 mL EtOAc,  
1188 washed with water and 1N NaHCO<sub>3</sub>, and dried over anhydrous MgSO<sub>4</sub>. Then EtOAc was  
1189 evaporated and the crude product was purified by an LH-20 Sephadex column using methanol as  
1190 eluant to give **3a** as a light yellow solid (25.0 g, 76%). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.88 (d, *J* =  
1191 8.9 Hz, 2H), 7.48 (d, *J* = 8.9 Hz, 2H), 7.15 (d, *J* = 7.9 Hz, 2H), 7.08 (d, *J* = 8.2 Hz, 2H), 7.00 (s,  
1192 1H), 4.95 (s, 2H), 4.45 (q, *J* = 7.1 Hz, 2H), 2.36 (s, 3H), 1.42 (t, *J* = 7.1 Hz, 3H). MS (ESI-) *m/z*:  
1193 384.1 (M - H<sup>+</sup>).

1194 **Ethyl 5-phenyl-1-(4-sulfamoylphenyl)-1*H*-pyrazole-3-carboxylate (3b).** Compound **3b** was  
1195 prepared from **2b** and 4-hydrazinobenzenesulfonamide hydrochloride by the procedures  
1196 described in the preparation of **3a**. **3b** was provided as a white solid in 81% yield. <sup>1</sup>H NMR (400  
1197 MHz, CDCl<sub>3</sub>) δ 7.88 (d, *J* = 8.9 Hz, 2H), 7.47 (d, *J* = 8.9 Hz, 2H), 7.40-7.32 (m, 3H), 7.23-7.18  
1198 (m, 2H), 7.04 (s, 1H), 4.97 (s, 2H), 4.45 (q, *J* = 7.1 Hz, 2H), 1.42 (t, *J* = 7.1 Hz, 3H). MS (ESI-)  
1199 *m/z*: 370.1 (M - H<sup>+</sup>).

1200 **Ethyl 5-(4-methoxyphenyl)-1-(4-sulfamoylphenyl)-1*H*-pyrazole-3-carboxylate (3c).**  
1201 Compound **3c** was prepared from **2c** and 4-hydrazinobenzenesulfonamide hydrochloride by the  
1202 procedures described in the preparation of **3a**. **3c** was provided as a light yellow solid in 85%  
1203 yield. <sup>1</sup>H NMR (500 MHz, CDCl<sub>3</sub>) δ 7.89 (d, *J* = 8.7 Hz, 2H), 7.49 (d, *J* = 8.7 Hz, 2H), 7.13 (d, *J*  
1204 = 8.8 Hz, 2H), 6.98 (s, 1H), 6.87 (d, *J* = 8.8 Hz, 2H), 4.92 (s, 2H), 4.45 (q, *J* = 7.1 Hz, 2H), 3.82  
1205 (s, 3H), 1.42 (t, *J* = 7.1 Hz, 3H). MS (ESI-) *m/z*: 400.1 (M - H<sup>+</sup>).

1206 3.3.2.4 **Preparation of 4a-c**

1207 **4-(3-(Hydroxymethyl)-5-(*p*-tolyl)-1*H*-pyrazol-1-yl)benzenesulfonamide (4a).** LiAlH<sub>4</sub> (6.00 g,  
1208 158 mmol) was added to 300 mL anhydrous THF at 0 °C. The suspension was stirred for 10  
1209 minutes and then compound **3a** (15.0 g, 38.9 mmol) dissolved in 100 mL anhydrous THF was  
1210 added in portions at 0 °C. The reaction was allowed to stir for 8 hours at room temperature. Then  
1211 the reaction mixture was evaporated under reduced pressure and excessive LiAlH<sub>4</sub> was quenched  
1212 with ice-water. The pH was adjusted to 7 by 1 N HCl and the reaction mixture was extracted by  
1213 EtOAc (150 mL × 3). The combined extract was washed with water, dried over anhydrous  
1214 MgSO<sub>4</sub>, and evaporated under reduced pressure. The crude product was purified by an LH-20  
1215 Sephadex column using methanol as eluant to give **4a** as a light yellow solid (12.7 g, 95%). <sup>1</sup>H  
1216 NMR (400 MHz, CD<sub>3</sub>OD) δ 7.85 (d, *J* = 8.6 Hz, 2H), 7.38 (d, *J* = 8.7 Hz, 2H), 7.18 – 7.05 (m,  
1217 4H), 6.57 (s, 1H), 4.65 (s, 2H), 2.31 (s, 3H). MS (ESI-) *m/z*: 342.1 (M - H<sup>+</sup>).

1218 **4-(3-(Hydroxymethyl)-5-phenyl-1*H*-pyrazol-1-yl)benzenesulfonamide (4b).** Compound **4b**  
1219 was prepared from **3b** by the procedures described in the preparation of **4a**. **4b** was provided as a  
1220 white solid in 90% yield. MS (ESI-) *m/z*: 328.1 (M - H<sup>+</sup>).

1221 **4-(3-(Hydroxymethyl)-5-phenyl-1*H*-pyrazol-1-yl)benzenesulfonamide (4c).** Compound **4c**  
1222 was prepared from **3c** by the procedures described in the preparation of **4a**. **4c** was provided as a  
1223 white solid in 94% yield. MS (ESI-) *m/z*: 358.1 (M - H<sup>+</sup>).

#### 1224 3.3.2.5 Preparation of 5a-c

1225 **4-(3-(Bromomethyl)-5-(*p*-tolyl)-1*H*-pyrazol-1-yl)benzenesulfonamide (5a).** Compound **4a**  
1226 (10.0 g, 29.1 mmol) was dissolved in 40 mL anhydrous THF. The solution was stirred and cooled  
1227 to 0 °C on ice before PBr<sub>3</sub> (4.05 g, 15.0 mmol) was slowly added in drops. The reaction was  
1228 allowed to stir for 6 hours at room temperature. Then the excessive PBr<sub>3</sub> was quenched with ice  
1229 water and the pH was adjusted to 6 with 1 N NaOH. THF in the reaction mixture was evaporated  
1230 under reduced pressure and the remaining mixture was extracted by EtOAc (150 mL × 3). The  
1231 combined extract was dried over anhydrous MgSO<sub>4</sub> and evaporated under reduced pressure. The

1232 crude product was purified by an LH-20 Sephadex column using methanol as eluant to give **5a** as  
1233 a yellow solid (4.37 g, 37%). <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 7.80 (d, *J* = 8.6 Hz, 2H), 7.46 (s,  
1234 2H), 7.41 (d, *J* = 8.6 Hz, 2H), 7.17 (d, *J* = 8.1 Hz, 2H), 7.12 (d, *J* = 8.1 Hz, 2H), 6.70 (s, 1H), 4.67  
1235 (s, 2H), 2.28 (s, 3H). MS (ESI-): *m/z*: 404.0, 405.8 (M - H<sup>+</sup>).

1236 **4-(3-(Bromomethyl)-5-phenyl-1H-pyrazol-1-yl)benzenesulfonamide (5b)**. Compound **5b** was  
1237 prepared from **4b** by the procedures described in the preparation of **5a**. **5b** was provided as a  
1238 white solid in 44% yield. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 7.82 (d, *J* = 8.2 Hz, 2H), 7.49 – 7.21  
1239 (m, 9H), 6.74 (s, 1H), 4.68 (s, 2H). MS (ESI-) *m/z*: 390.0, 391.8 (M - H<sup>+</sup>).

1240 **4-(3-(Bromomethyl)-5-(4-methoxyphenyl)-1H-pyrazol-1-yl)benzenesulfonamide (5c)**.  
1241 Compound **5c** was prepared from **4c** by the procedures described in the preparation of **5a**. **5c** was  
1242 provided as a yellow solid in 47% yield. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 7.83 (d, *J* = 8.6 Hz,  
1243 2H), 7.47 (s, 2H), 7.42 (d, *J* = 8.7 Hz, 2H), 7.17 (d, *J* = 11.5 Hz, 2H), 6.92 (d, *J* = 8.8 Hz, 2H),  
1244 6.66 (s, 1H), 4.66 (s, 2H), 3.73 (s, 3H). MS (ESI-) *m/z*: 420.0, 421.8 (M - H<sup>+</sup>).

#### 1245 3.3.2.6 Preparation of **6a1-4**, **6b2-3**, and **6c2-3**

1246 **4-(3-((2-Hydroxyphenoxy)methyl)-5-(*p*-tolyl)-1H-pyrazol-1-yl)benzenesulfonamide (6a1)**.  
1247 Compound **5a** (400 mg, 0.988 mmol) and 1,2-dihydroxybenzene (750 mg, 6.82 mmol) was  
1248 dissolved in 15 mL acetonitrile. K<sub>2</sub>CO<sub>3</sub> (140 mg, 1.01 mmol) was also added to the solution and  
1249 the mixture was stirred and heated to reflux for 12 hours. Then the reaction mixture was  
1250 evaporated under reduced pressure and washed by water to remove inorganic salts and excessive  
1251 1,2-dihydroxybenzene. After the remaining water was removed under vacuum, the crude product  
1252 was purified by FC with CH<sub>2</sub>Cl<sub>2</sub>/MeOH 15:1 to give **6a1** was a white solid (373 mg, 87%). <sup>1</sup>H  
1253 NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 8.98 (s, 1H), 7.80 (d, *J* = 8.7 Hz, 2H), 7.45 – 7.39 (m, 4H), 7.20 –  
1254 7.05 (m, 5H), 6.80 – 6.67 (m, 4H), 5.08 (s, 2H), 2.28 (s, 3H). <sup>13</sup>C NMR (126 MHz, DMSO-*d*<sub>6</sub>) δ

1255 150.63, 147.51, 147.18, 144.40, 143.24, 142.50, 138.91, 129.97, 128.99, 127.29, 127.26, 125.57,  
1256 122.13, 119.80, 116.53, 114.91, 109.03, 64.80, 21.35. MS (ESI-)  $m/z$ : 434.2 (M - H<sup>+</sup>).

1257 **4-(3-((3-Hydroxyphenoxy)methyl)-5-(*p*-tolyl)-1*H*-pyrazol-1-yl)benzenesulfonamide (6a2).**

1258 Compound **6a2** was prepared from **5a** and resorcinol by the procedures described in the  
1259 preparation of **6a1**. **6a2** was provided as a white solid in 83% yield. <sup>1</sup>H NMR (500 MHz, DMSO-  
1260 *d*<sub>6</sub>) δ 9.44 (s, 1H), 7.80 (d,  $J$  = 8.7 Hz, 1H), 7.47 – 7.40 (m, 4H), 7.19 – 7.10 (m, 4H), 7.04 (t,  $J$  =  
1261 8.1 Hz, 1H), 6.70 (s, 1H), 6.48 – 6.33 (m, 2H), 5.02 (s, 1H), 2.28 (s, 2H). <sup>13</sup>C NMR (126 MHz,  
1262 DMSO-*d*<sub>6</sub>) δ 160.04, 159.12, 150.27, 144.47, 143.27, 142.47, 138.90, 130.48, 129.94, 129.03,  
1263 127.22, 125.63, 108.84, 108.73, 105.82, 102.51, 63.75, 21.34. MS (ESI-)  $m/z$ : 434.2 (M - H<sup>+</sup>).

1264 **4-(3-((4-Hydroxyphenoxy)methyl)-5-(*p*-tolyl)-1*H*-pyrazol-1-yl)benzenesulfonamide (6a3).**

1265 Compound **6a3** was prepared from **5a** and hydroquinone by the procedures described in the  
1266 preparation of **6a1**. **6a3** was provided as a white solid in 78% yield. <sup>1</sup>H NMR (500 MHz, DMSO-  
1267 *d*<sub>6</sub>) δ 8.94 (s, 1H), 7.79 (d,  $J$  = 8.8 Hz, 2H), 7.48 – 7.38 (m, 4H), 7.17 (d,  $J$  = 8.4 Hz, 4H), 7.12 (d,  
1268  $J$  = 8.2 Hz, 2H), 6.85 (d,  $J$  = 9.0 Hz, 2H), 6.70 – 6.63 (m, 3H), 4.98 (s, 2H), 2.28 (s, 3H). <sup>13</sup>C  
1269 NMR (126 MHz, DMSO-*d*<sub>6</sub>) δ 151.97, 151.67, 150.58, 144.43, 143.21, 142.48, 138.88, 129.93,  
1270 129.01, 127.25, 127.21, 125.61, 116.29, 116.19, 108.78, 64.43, 21.33. MS (ESI-)  $m/z$ : 434.2 (M -  
1271 H<sup>+</sup>).

1272 **4-(3-(((3-hydroxy-4-oxo-2-phenyl-4*H*-chromen-7-yl)oxy)methyl)-5-(*p*-tolyl)-1*H*-pyrazol-1-  
1273 yl)benzenesulfonamide (6a4).** Compound **6a4** was prepared from **5a** and 3,7-DHF by the

1274 procedures described in the preparation of **6a1** except that the reflux time was prolonged to 24  
1275 hours. **6a4** was provided as a yellow solid in 72% yield. <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 10.89  
1276 (s, 1H), 7.98 – 7.88 (m, 3H), 7.76 (d,  $J$  = 8.6 Hz, 2H), 7.48 – 7.40 (m, 5H), 7.25 (d,  $J$  = 8.7 Hz,  
1277 2H), 7.15 (d,  $J$  = 8.0 Hz, 2H), 6.99 – 6.88 (m, 4H), 6.37 (s, 1H), 5.11 (s, 2H), 2.27 (s, 3H). <sup>13</sup>C  
1278 NMR (126 MHz, DMSO-*d*<sub>6</sub>) δ 173.80, 163.40, 157.24, 155.90, 149.78, 144.04, 143.17, 142.31,

1279 139.07, 138.78, 131.19, 131.00, 129.86, 129.03, 128.93, 128.81, 127.32, 127.13, 125.48, 116.88,  
1280 115.71, 109.14, 102.76, 67.30, 21.33. MS (ESI-)  $m/z$ : 578.1 (M - H<sup>+</sup>).

1281 **4-(3-((3-Hydroxyphenoxy)methyl)-5-phenyl-1H-pyrazol-1-yl)benzenesulfonamide (6b2).**

1282 Compound **6b2** was prepared from **5b** and resorcinol by the procedures described in the  
1283 preparation of **6a1**. **6b2** was provided as a white solid in 77% yield. <sup>1</sup>H NMR (500 MHz, DMSO-  
1284 *d*<sub>6</sub>) δ 9.44 (s, 1H), 7.82 (d, *J* = 8.6 Hz, 2H), 7.48 – 7.42 (m, 4H), 7.38-7.25 (m, 3H), 7.28 – 7.22  
1285 (m, 2H), 7.06 (t, *J* = 8.1 Hz, 1H), 6.75 (s, 1H), 6.52 – 6.44 (m, 2H), 6.39 – 6.36 (m, 1H) 5.04 (s,  
1286 2H). <sup>13</sup>C NMR (126 MHz, DMSO-*d*<sub>6</sub>) δ 160.05, 159.13, 150.33, 144.44, 143.33, 142.40, 130.51,  
1287 130.13, 129.37, 129.17, 127.24, 125.65, 109.15, 108.77, 105.84, 102.54, 63.75. MS (ESI-)  $m/z$ :  
1288 420.2 (M - H<sup>+</sup>).

1289 **4-(3-((4-Hydroxyphenoxy)methyl)-5-phenyl-1H-pyrazol-1-yl)benzenesulfonamide (6b3).**

1290 Compound **6b3** was prepared from **5b** and hydroquinone by the procedures described in the  
1291 preparation of **6a1**. **6b3** was provided as a white solid in 88% yield. <sup>1</sup>H NMR (500 MHz, DMSO-  
1292 *d*<sub>6</sub>) δ 8.94 (s, 1H), 7.79 (d, *J* = 8.7 Hz, 2H), 7.45 – 7.40 (m, 4H), 7.38 – 7.35 (m, 3H), 7.27 – 7.23  
1293 (m, 2H), 6.86 (d, *J* = 9.0 Hz, 2H), 6.74 (s, 1H), 6.66 (d, *J* = 9.0 Hz, 2H), 4.99 (s, 2H). <sup>13</sup>C NMR  
1294 (126 MHz, DMSO-*d*<sub>6</sub>) δ 151.99, 151.66, 150.62, 144.36, 143.29, 142.38, 130.16, 129.36, 129.31,  
1295 129.15, 127.20, 125.62, 116.28, 116.19, 109.08, 64.43. MS (ESI)  $m/z$ : 420.2 (M - H<sup>+</sup>).

1296 **4-(3-((3-Hydroxyphenoxy)methyl)-5-(4-methoxyphenyl)-1H-pyrazol-1-**

1297 **yl)benzenesulfonamide (6c2).** Compound **6c2** was prepared from **5c** and resorcinol by the  
1298 procedures described in the preparation of **6a1**. **6c2** was provided as a white solid in 85% yield.  
1299 <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 9.44 (s, 1H), 7.85 (d, *J* = 8.6 Hz, 2H), 7.67 – 7.31 (m, 4H),  
1300 7.18 (d, *J* = 8.6 Hz, 2H), 7.06 (t, *J* = 8.0 Hz, 1H), 6.93 (d, *J* = 8.7 Hz, 2H), 6.67 (s, 1H), 6.53 –  
1301 6.36 (m, 3H), 5.05 (s, 2H), 3.73 (s, 3H). <sup>13</sup>C NMR (126 MHz, DMSO-*d*<sub>6</sub>) δ 160.07, 160.05,

1302 159.15, 150.24, 144.34, 143.19, 142.57, 130.55, 130.52, 127.25, 125.57, 122.35, 114.80, 108.77,  
1303 108.63, 105.84, 102.56, 63.80, 55.73. MS (ESI) *m/z*: 450.2 (M - H<sup>+</sup>).

1304 **4-(3-((4-Hydroxyphenoxy)methyl)-5-(4-methoxyphenyl)-1H-pyrazol-1-**

1305 **yl)benzenesulfonamide (6c3)**. Compound **6c3** was prepared from **5c** and hydroquinone by the  
1306 procedures described in the preparation of **6a1**. **6c3** was provided as a white solid in 80% yield.

1307 <sup>1</sup>H NMR (500 MHz, DMSO-*d*<sub>6</sub>) δ 8.95 (s, 1H), 7.78 (d, *J* = 16.9 Hz, 2H), 7.48 – 7.37 (m, 4H),  
1308 7.16 (d, *J* = 8.6 Hz, 2H), 6.92 (d, *J* = 8.7 Hz, 2H), 6.85 (d, *J* = 8.9 Hz, 2H), 6.70 – 6.62 (m, 3H),

1309 4.97 (s, 2H), 3.73 (s, 3H). <sup>13</sup>C NMR (126 MHz, DMSO-*d*<sub>6</sub>) δ 151.99, 151.66, 150.62, 144.36,  
1310 143.29, 142.38, 130.16, 129.36, 129.31, 129.15, 127.20, 125.62, 116.28, 116.19, 109.08, 64.43.

1311 MS (ESI) *m/z*: 450.2 (M - H<sup>+</sup>).

1312 **3.3.3 Experiments in Raw264.7 cells**

1313 Raw264.7 cells were maintained in DMEM supplemented with 10% FBS. To test the inhibitory  
1314 effects of the new compounds on COX-2 activity, the cells were seeded to 24-well cell culture  
1315 plate at a suitable density. When the cells reached 80% confluence, the medium was aspirated and  
1316 the cells were washed twice with PBS pre-warmed to 37 °C. The cells in each well were then  
1317 incubated with 0.5 mL fresh DMEM containing 1 µg/mL LPS and different concentrations of the  
1318 compound of interest at 37 °C for 14 hours. At the end of incubation, 200 µL medium was  
1319 transferred from each well to an Eppendorf tube. After spiked with 25 ng/mL PGD<sub>2</sub>-*d*<sub>4</sub>, the  
1320 samples were extracted with 600 µL EtOAc twice. The extract was combined and dried by  
1321 nitrogen. Before the UPLC-MS/MS analysis, the samples were reconstituted with 200 µL 25%  
1322 methanol containing 0.1% formic acid. 40 µL supernatant was injected to the column for each  
1323 sample after 15 minutes of centrifuge at 15,000 × *g*.

1324 **3.3.4 Experiments in HCA-7 cells**

1325 HCA-7 cell lines were maintained in McCoy's 5A medium supplemented with 10% FBS. To test  
1326 the inhibitory effects of the new compounds on COX-2 activity, the cells were seeded to 24-well  
1327 cell culture plate at a suitable density. When the cells reached 95% confluence, the medium was  
1328 aspirated and the cells were washed twice with PBS pre-warmed to 37 °C. Then, the cells in each  
1329 well were incubated with 0.25 mL fresh DMEM containing different concentrations of the  
1330 compound of interest at 37 °C for 30 minutes. Then, to each well, 0.25 mL DMEM containing  
1331 100 µM A23187 and the same concentration of drug was added. The cell culture plate was gently  
1332 shaken to mix the medium and then incubated at 37 °C for another 30 minutes.

1333 At the end of incubation, the whole volume of medium in each well was transferred to an  
1334 Eppendorf tube. After spiked with 5 ng/mL PGE<sub>2</sub>-d<sub>4</sub>, the samples were extracted with 1.5 mL  
1335 EtOAc twice. The extract was combined and dried by nitrogen. Before the UPLC-MS/MS  
1336 analysis, the samples were reconstituted with 100 µL 25% methanol containing 0.1% formic acid.  
1337 40 µL supernatant was injected to the column for each sample after 15 minutes of centrifuge at  
1338 15,000 × g.

### 1339 **3.3.5 Recombinant human COX-2 assay**

1340 Recombinant human COX-2 (1 unit) was incubated with 1 µM hematin, 5 mM GSH, 5 mM  
1341 phenol, 10 mM EDTA and different concentrations of inhibitors or vector (0.1% DMSO) in 200  
1342 µL 50 mM potassium phosphate (KPi) buffer (pH 8.0) at 37 °C for 15 minutes. Then 10 µM AA  
1343 was introduced in the samples to start the reaction. The samples were incubated at 37 °C for  
1344 another 15 minutes and then put on ice to stop the reaction. After spiked with 25 ng/mL PGE<sub>2</sub>-d<sub>4</sub>,  
1345 the samples were extracted with 600 µL EtOAc twice. The extract was combined and dried by  
1346 nitrogen. Before the UPLC-MS/MS analysis, the samples were reconstituted with 200 µL 25%  
1347 methanol containing 0.1% formic acid. 40 µL supernatant was injected to the column for each  
1348 sample after 15 minutes of centrifuge at 15,000 × g.

1349 **3.3.6 PGs quantitation by UPLC-MS/MS**

1350 The quantitation of PGE<sub>2</sub> or PGD<sub>2</sub> was analyzed on a Waters Acquity<sup>TM</sup> ultra-performance liquid  
1351 chromatography coupled with an API 5500-Qtrap triple quadrupole mass spectrometer equipped  
1352 with a TurboIonSpray<sup>TM</sup> source. The UPLC conditions were: column, Waters BEH C18, 1.7μm,  
1353 100mm × 2.1mm (Waters, Milford, MA, USA); mobile phase A, 0.1% formic acid in water;  
1354 mobile phase B, 0.1% formic acid in acetonitrile; gradient, 0-0.5 min, 5% B, 0.5-1.0 min, 5-37%  
1355 B, 1.0-4.5 min, 37% B, 5.0-5.5 min, 95% B, 5.5-6.0 min, 95-5% B, 6.0-7.0 min, 5% B; flow rate,  
1356 0.5mL/min; column temperature, 45 °C; injection volume, 40 μL. As isomers, PGE<sub>2</sub> and PGD<sub>2</sub>  
1357 were separated chromatographically with the retention times as 3.8 and 4.0 minutes, respectively.  
1358 For either PGE<sub>2</sub> or PGD<sub>2</sub>, the deuterated internal standard was eluted simultaneously.

1359 The MS analysis was conducted at the negative ion mode by multiple reaction monitor (MRM)  
1360 scan type. As isomers, PGE<sub>2</sub> and PGD<sub>2</sub> share common product ions, and the mass transition  
1361 351.2/271.2 was used for both of them. Similarly, for PGE<sub>2</sub>-*d*<sub>4</sub> and PGD<sub>2</sub>-*d*<sub>4</sub>, the mass transition  
1362 355.2/275.2 was used. The compound-dependent parameters were shown in Table 2, and the  
1363 main instrument-dependent parameters were set as follows: ionspray voltage, -4500 V; ion source  
1364 temperature, 700 °C; nebulizer gas, 20 psi; turbo gas, 30 psi; curtain gas, 30 psi.

1365

	<b>Q1</b> (m/z)	<b>Q3</b> (m/z)	<b>Dwell Time</b> (msec)	<b>DP</b> (V)	<b>EP</b> (V)	<b>CE</b> (V)	<b>CXP</b> (V)
<b>PGE<sub>2</sub>/PGD<sub>2</sub></b>	351	271	100	-65	-10	-24	-15
<b>PGE<sub>2</sub>-d<sub>4</sub>/PGD<sub>2</sub>-d<sub>4</sub></b>	355	275					

1366

1367 **Table 2. Compound-dependent parameters of PGE<sub>2</sub>, PGD<sub>2</sub>, PGE<sub>2</sub>-d<sub>4</sub>,**  
 1368 **and PGD<sub>2</sub>-d<sub>4</sub> in MS analysis.**

1369

1370 **3.3.7 Data analysis**

1371 The inhibition-concentration curves were established for celecoxib and the new compounds to  
1372 study their inhibitory effects on COX-2 activity in the cell-based assays. The half maximal  
1373 inhibitory concentration (IC<sub>50</sub>) values were obtained by fitting the experimental data into the  
1374 following equation:

1375 
$$\text{Inhibition(\%)} = \frac{100}{1 + \frac{\text{IC}_{50}}{\text{Concentration}}}$$

1376

1377

1378

## 1379 **3.4 Results**

### 1380 **3.4.1 Chemistry**

1381 The synthesis route of the new compounds was design based on that of celecoxib described  
1382 previsouly (Scheme 2) [123]. Acetophenone (**1b**) and many of its derivatives are commercially  
1383 available. Among these compounds, we selected 4'-methylacetophenone (**1a**), acetophenone and  
1384 4'-methoxyacetophenone (**1c**) as the starting materials according to the SAR studies of celecoxib.  
1385 Instead of ethyl trifluoroacetate, dimethyl oxalate was used as another starting material. After the  
1386 condensation of the dione and (4-sulfamoylphenyl)hydrazine hydrochloride, the 1,5-  
1387 diarylpyrazoles **3a-c** were synthesized as the analogs of celecoxib. As ethyl esters, **3a-c** were  
1388 reduced by LiAlH<sub>4</sub> to alcohols **4a-c**. Then **4a-c** were brominated by PBr<sub>3</sub>, yielding the alkyl  
1389 bromides **5a-c**. The reaction of the alkyl bromides with various phenolic compounds including  
1390 1,2-dihydroxybenzene, resorcinol, hydroquinone, 3,7-DHF in the presence of K<sub>2</sub>CO<sub>3</sub> in refluxed  
1391 acetonitrile, yielding the final products as **6a1**, **6a2**, **6a3**, **6a4**, **6b2**, **6b3**, **6c2**, and **6c3**.

### 1392 **3.4.2 The inhibitory effects of the new compounds on COX-2 in Raw264.7 cells**

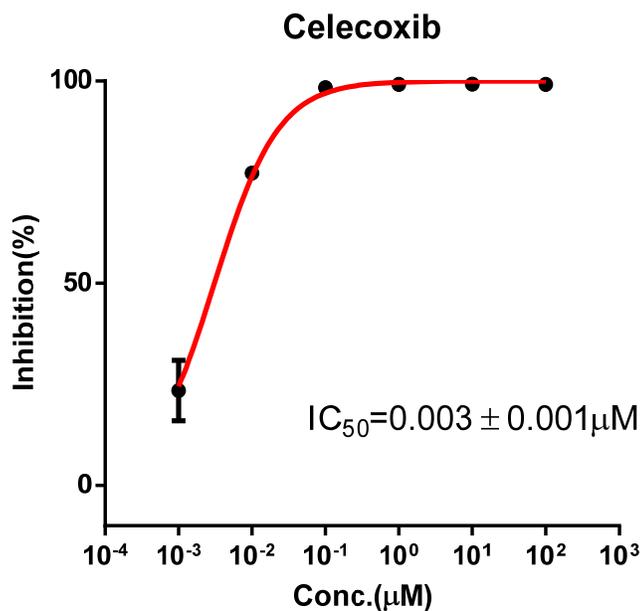
1393 Upon LPS induction, the predominant PG produced from overexpressed COX-2 in the Raw64.7  
1394 cells was determined as PGD<sub>2</sub>. Thus the PGD<sub>2</sub> concentrations in the cell culture medium were  
1395 used as the indicators of COX-2 activity. The PGD<sub>2</sub> production in the Raw264.7 cells was  
1396 inhibited by celecoxib and all the new compounds tested, but with different potencies (Figure 12).  
1397 The IC<sub>50</sub> of celecoxib was as low as 0.003 μM, which revealed that celecoxib is a very potent  
1398 inhibitor on COX-2 activity. The modifications in the structure of celecoxib more or less reduced  
1399 its inhibitory effect on COX-2 activity (Table 3). At high concentrations (> 10 μM), all the new  
1400 compounds tested potently inhibited PGD<sub>2</sub> production in Raw264.7 cells, but their inhibitory  
1401 effects differed at concentrations lower than 10 μM. Among the new compounds, the ones with a  
1402 4-phenol group (**6a3**, **6b3**, and **6a3**) were much more potent than the others, and their IC<sub>50</sub> values

1403 were lower than 0.05  $\mu\text{M}$ . In contrast, the  $\text{IC}_{50}$  values of the ones with 2- or 3-phenol groups were  
1404 higher than 1  $\mu\text{M}$ , implying that the efficacy of celecoxib was perhaps largely impaired by the  
1405 structural modifications. Meanwhile, the  $\text{IC}_{50}$  values of the new compounds with the same  
1406 phenolic group species were comparable, although the compounds were with different  $\text{R}_1$  groups.

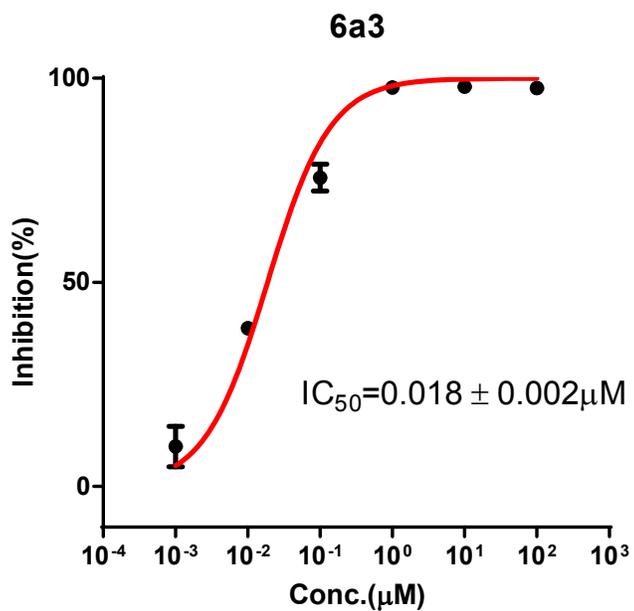
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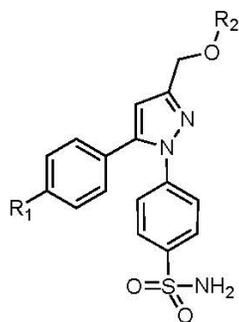
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1411

1412 **Figure 12. The concentration-inhibition curves of celecoxib and 6a3 in**  
1413 **Raw264.7 cells.**

1414



Compound	R <sub>1</sub>	R <sub>2</sub>	M.W.	c Log P	IC <sub>50</sub>
<b>6a1</b>	-CH <sub>3</sub>		435.1	4.64 <sup>a</sup>	1.387±0.384
<b>6a2</b>	-CH <sub>3</sub>		435.1	4.64 <sup>a</sup>	4.618±1.342
<b>6a3</b>	-CH <sub>3</sub>		435.1	4.64 <sup>a</sup>	0.019±0.002
<b>6a4</b>	-CH <sub>3</sub>		579.6	4.90 <sup>a</sup>	0.303±0.055
<b>6b2</b>	-H		421.5	4.15 <sup>a</sup>	4.311±0.629
<b>6b3</b>	-H		421.5	4.15 <sup>a</sup>	0.033±0.004
<b>6c2</b>	-OCH <sub>3</sub>		451.1	4.02 <sup>a</sup>	2.379±0.502
<b>6c3</b>	-OCH <sub>3</sub>		451.1	4.02 <sup>a</sup>	0.019±0.002
celecoxib	-	-	381.4	4.01 <sup>b</sup>	0.003±0.001

1415 M.W., Molecular Weight.

1416 ND, Not Determined.

1417 <sup>a</sup>, predicted with ChemDraw Software.

1418 <sup>b</sup>, from <http://www.drugbank.ca/drugs/DB00482>

1419 **Table 3. IC<sub>50</sub> values of the new compounds on PGD<sub>2</sub> production in**  
 1420 **Raw264.7 cells.**

1421

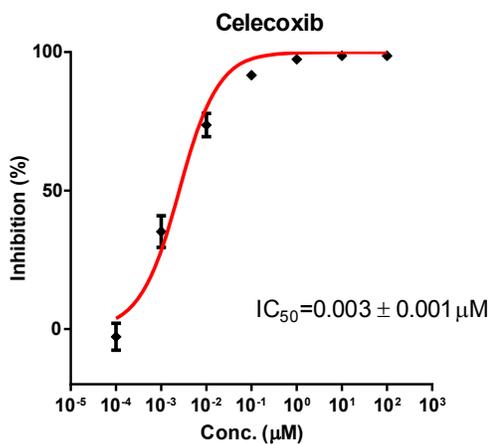
### 1422 **3.4.3 The inhibitory effects of the new compounds on COX-2 in HCA-7 cells**

1423 The inhibitory effects of celecoxib, **6a1**, **6a2**, **6a3** and **6a4** on COX-2 activity were also  
1424 investigated in HCA-7 cells, a human CRC cell line with constitutive COX-2 expression [151].  
1425 The cells were pre-incubated with the compound of interest before AA release inside the cells  
1426 was stimulated by the calcium ionophore A23187. PGE<sub>2</sub> is the predominant product derived from  
1427 COX-2 in this cell line, and at the end of incubation its content in the medium indicated the COX-  
1428 2 activity.

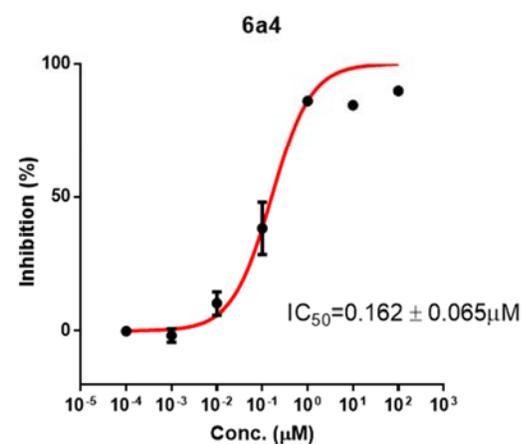
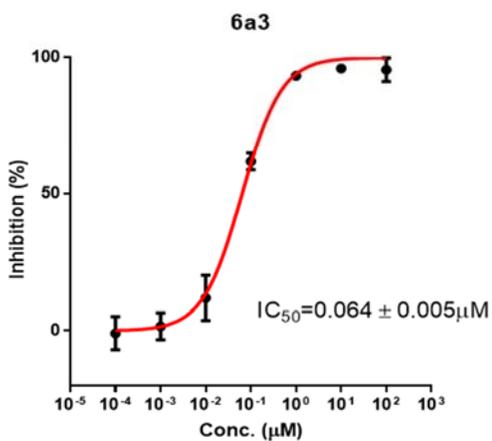
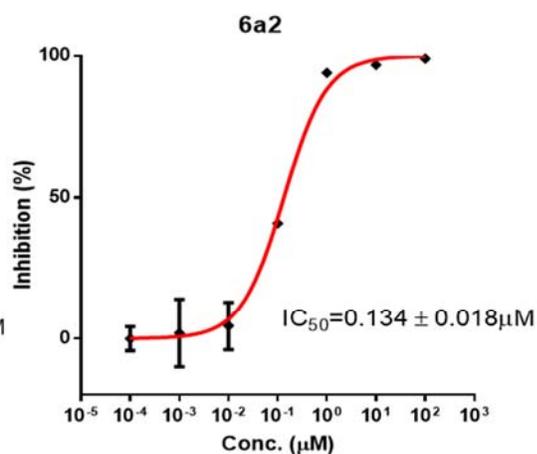
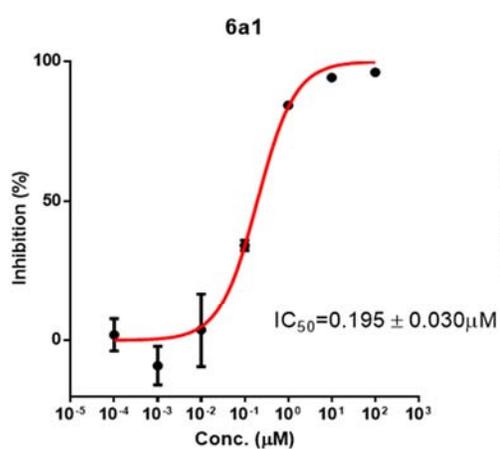
1429 As well as in the Raw264.7 cells, celecoxib potently inhibited COX-2 activity in the HCA-7 cells  
1430 with an IC<sub>50</sub> of 0.003 μM. The new compounds tested also inhibited COX-2, but with much lower  
1431 potencies. The IC<sub>50</sub>s of the new compounds were determined to be between 0.05 and 0.2 μM  
1432 (Figure 13). Although **6a3** was still more potent than the other three compounds, however, the  
1433 difference between their IC<sub>50</sub> values was much smaller than what was observed in Raw264.7 cells.  
1434 The IC<sub>50</sub>s of **6a1**, **6a2**, and **6a4** were only 2 to 3 folds higher than that of **6a3**. Considering the  
1435 unavoidable variation between different experiments, **6a3** may be only slightly superior to the  
1436 other compounds in the potency when serving as COX-2 inhibitors. The selection criteria of a  
1437 lead compound for the following studies included not only the IC<sub>50</sub> value on COX-2 but also  
1438 other characteristics, especially metabolism rates. To remove any bias impacting the selection of  
1439 a lead compound, the divergence between the results from the two cell lines was further  
1440 investigated in 2.4.4.

1441

1442



1443



1444

1445 **Figure 13. Inhibition of celecoxib and 6a1-4 on PGE<sub>2</sub> production in**

1446 **HCA-7 cells.**

1447

1448

#### 1449 **3.4.4 The metabolism of the new compounds in Raw264.7 cells**

1450 To investigate why **6a1** and **6a2** were much less potent than **6a3** in inhibiting COX-2 activity in  
1451 the Raw264.7 cells, the metabolism of these new compounds were examined in the incubation  
1452 with Raw264.7 cells.

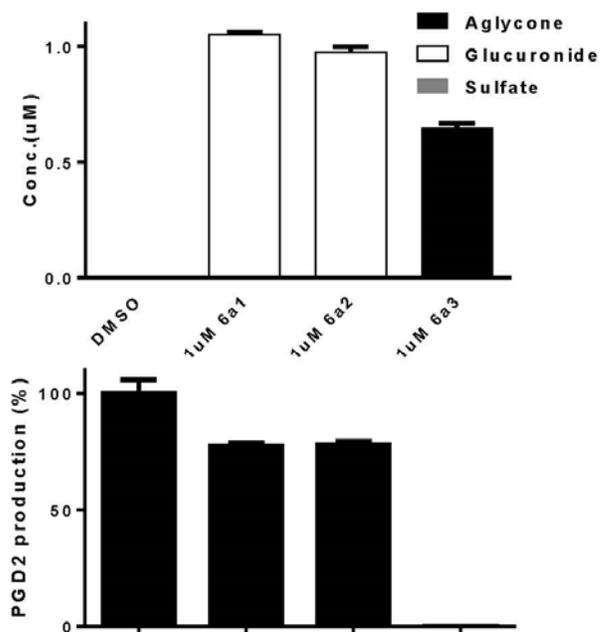
1453 Firstly, 1  $\mu\text{M}$  of each compound was incubated with the cells respectively and the content of each  
1454 compound in the cell culture medium was examined after the 14 hour incubation. The results  
1455 indicated that at the end of the incubation period, **6a1** and **6a2** were recovered exclusively as their  
1456 glucuronides, while the majority of **6a3** remained as unconjugated aglycone (Figure 14, A, upper).  
1457 Meanwhile, we found that PGD<sub>2</sub> production by the cells incubated with 1  $\mu\text{M}$  **6a1** or **6a2** was  
1458 only slightly reduced, while 1  $\mu\text{M}$  **6a3** thoroughly inhibited PGD<sub>2</sub> production (Figure 14, A,  
1459 lower).

1460 Further, to figure out whether the ability of Raw264.7 cells to conjugate substrates was induced  
1461 by LPS or not, 1 or 10  $\mu\text{M}$  **6a1** was incubated in the Raw264.7 cells with or without LPS  
1462 induction. The results showed that **6a1** was extensively conjugated in Raw264.7 cells and the  
1463 glucuronidation of **6a1** was not altered by LPS (Figure 14, B, upper). The UGT activity in  
1464 Raw264.7 cells was constitutive. Meanwhile, 1  $\mu\text{M}$  **6a1** had only minimal effects on PGD<sub>2</sub>  
1465 production in LPS-induced Raw264.7 cells, while 10  $\mu\text{M}$  **6a1** inhibited >90% PGD<sub>2</sub> production  
1466 (Figure 14, B, lower).

1467 Then, we introduced  $\beta$ -glucuronidase into the cell culture medium when 1  $\mu\text{M}$  **6a1** was incubated  
1468 with the Raw264.7 cells in the presence of LPS. At the end of incubation, the majority of **6a1** in  
1469 the culture medium was recovered as unconjugated aglycone (Figure 14, C, upper) and the  
1470 inhibitory effects of **6a1** on PGD<sub>2</sub> production was largely restored (24.3% of control with  
1471 glucuronidase versus 67.5% of control without glucuronidase) (Figure 14, C, lower).

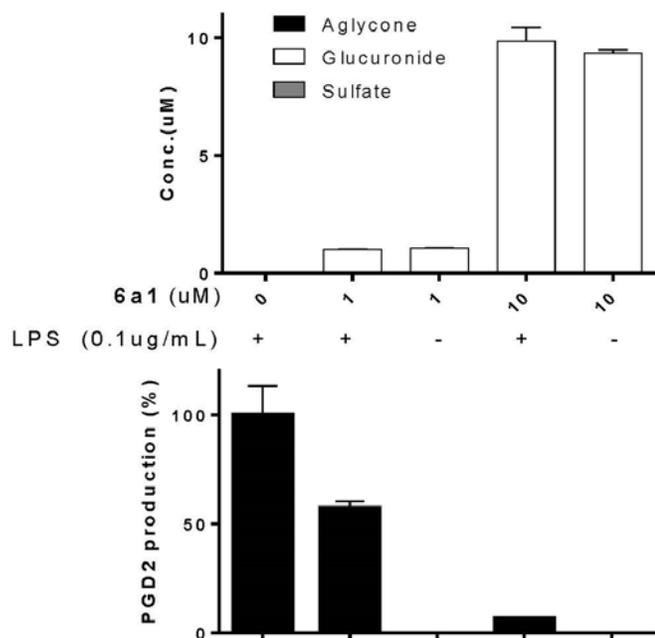
1472

1473 A



1474

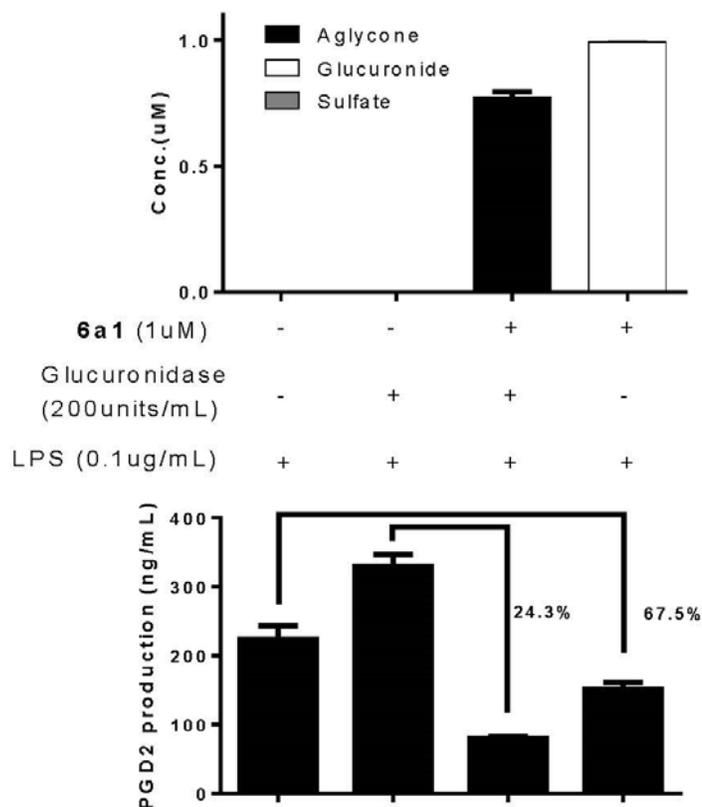
1475 B



1476

1477

1478 C



1479

1480

1481 **Figure 14. Metabolism of the new compounds in Raw264.7 cells.**

1482 (A) 1 µM **6a1**, **6a2** or **6a3** was incubated with Raw264.7 cells for 14 hours in the presence of 1

1483 µg/mL LPS. (B) 1 or 10 µM **6a1** was incubated with Raw264.7 cells for 14 hours. With or

1484 without 1 µg/mL LPS in the cell culture medium, **6a1** was recovered as glucuronide in all the

1485 samples at the end of incubation. (C) When 1 µM **6a1** was incubated with Raw264.7 cells in the

1486 presence of 1 µg/mL LPS, 200 units/mL β-glucuronidase was introduced into the cell culture

1487 medium. The inhibitory effects of **6a1** was enhanced by β-glucuronidase.

1488

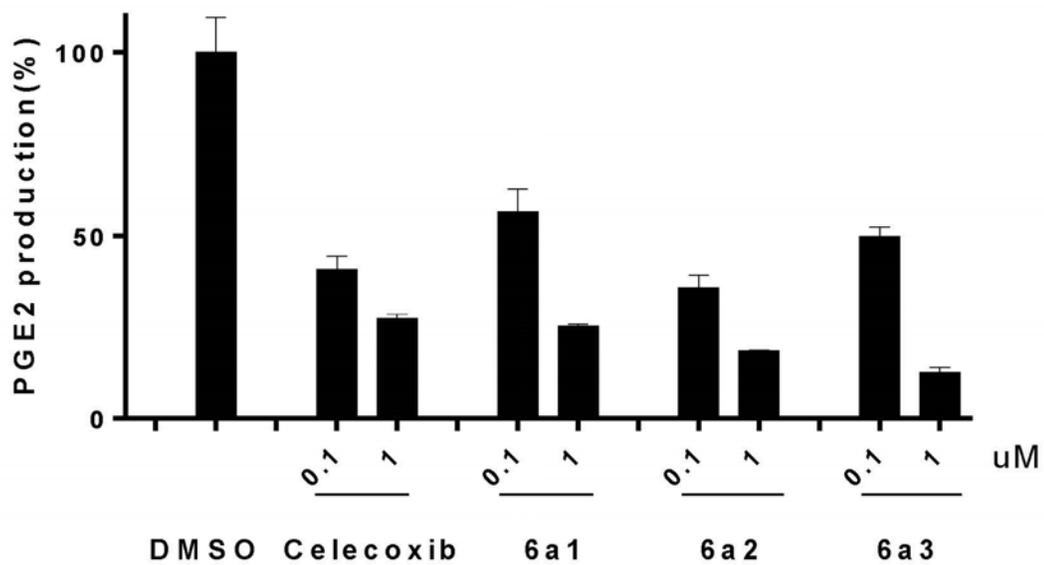
1489 **3.4.5 Recombinant human COX-2 assay**

1490 Besides the cell-based assays, we also confirmed the inhibitory effects of the new  
1491 compounds on recombinant human COX-2 enzyme activity (Figure 15). The result  
1492 indicated that in the presence of 1  $\mu$ M **6a1**, **6a2**, or **6a3**, the PGE<sub>2</sub> production by COX-2  
1493 enzyme were inhibited to lower than 25% compared to the control group. 0.1  $\mu$ M **6a1** or  
1494 **6a3** could inhibit approximately 50% percent of PGE<sub>2</sub> production by COX-2 enzyme. 0.1  
1495  $\mu$ M **6a2** was even more potent.

1496

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1499

1500 **Figure 15. The inhibitory effects of celecoxib and 6a1-6a3 on**  
1501 **recombinant human COX-2 activity.**

1502

1503

### 1504 **3.5 Discussion**

1505 The selective COX-2 inhibitors including rofecoxib and celecoxib became blockbuster drugs in  
1506 the treatment of inflammatory arthritis at the end of last century. In human clinical trials, the two  
1507 drugs were also investigated for their efficacy in CRC therapy and chemoprevention. These  
1508 clinical trials successfully demonstrated the anti-CRC effectiveness of rofecoxib and celecoxib,  
1509 but also revealed their adverse effects in the human cardiovascular system. Since then, the long-  
1510 term and high dose use of the selective COX-2 inhibitors was considered as dangerous to the  
1511 cardiovascular health of patients. All the selective COX-2 inhibitors were withdrawn from the  
1512 market except celecoxib. To improve the safety of selective COX-2 inhibitor usage in human,  
1513 researchers were trying to employ different approaches to reduce their cardiovascular toxicity.  
1514 For example, one approach was to release protective nitric oxide (NO). Velázquez et al. designed  
1515 and synthesized a series of hybrid COX-2 inhibitor/NO donor agents which could release NO to  
1516 rescue the cardiovascular system from platelet aggregation and adhesion [152]. However, more  
1517 efforts are required to demonstrate the effectiveness of this approach before any clinical use of  
1518 these NO donor agents.

1519 Here in the current study, a novel strategy has been proposed to develop new selective COX-2  
1520 inhibitors which are devoid of cardiovascular toxicity. The new selective COX-2 inhibitors were  
1521 developed as phenolic compounds with poor oral bioavailability, extensive first-pass effects and  
1522 extremely low blood concentrations in systemic circulation. In contrast to the previous studies,  
1523 this strategy could be considered as pharmaceutical rather than pharmacological, because it took  
1524 advantage of rapid phase II metabolism and excretion to make COX-2 inhibitors only locally  
1525 bioavailable. As the only selective COX-2 inhibitor in the market, celecoxib was selected as the  
1526 template to design new compounds. The inhibitory effect of celecoxib on COX-2 activity should  
1527 be appropriately retained when phenolic groups are incorporated in its chemical structure.  
1528 Meanwhile, previous structure-activity relationship studies of celecoxib revealed that hydroxyl

1529 group substitutions on either aryl ring resulted in complete loss of the anti-COX-2 potency [123].  
1530 Thus we decided to substitute the  $-CF_3$  group on the central pyrazole ring of celecoxib with  
1531 phenolic groups ( $R_2$ ). Also, the methyl group of celecoxib on one aryl ring was substituted by  
1532 other hydrophobic groups including  $-H$  and  $-OMe$  ( $R_1$ ).

1533 After synthesis and purification, eight new compounds were obtained. The inhibitory effects of  
1534 celecoxib and the new compounds were firstly assessed and compared in the murine macrophage  
1535 cell line Raw264.7. Celecoxib was identified as a very potent inhibitor of COX-2. Among all the  
1536 new compounds, the  $IC_{50}$  values of **6a1**, **6a2**, **6b2** and **6c2** were found to be at least 20-fold higher  
1537 than those of **6a3**, **6b3** and **6a3**. Based on the results in Table 3, it seemed that we should select  
1538 lead compounds from **6a3**, **6b3** and **6b3** for the following studies. However, in human CRC cell  
1539 line HCA-7, the  $IC_{50}$  values of **6a1** and **6a2** on COX-2 activity were determined to be at most 3-  
1540 fold higher than that of **6a3**. The divergence between the results obtained in different cell lines  
1541 attracted our attention and the reason was further investigated. The long incubation time of the  
1542 new compounds with Raw264.7 cells prompted us to check their chemical and metabolic stability.  
1543 Surprisingly, **6a1** and **6a2** were recovered exclusively as glucuronides in the cell culture medium,  
1544 and the majority of **6a3** was still unconjugated when the incubation ended. After the introduction  
1545 of  $\beta$ -glucuronidase into the cell culture medium, the efficacy of **6a1** to inhibit  $PGD_2$  production  
1546 largely improved. The inhibitory effects of **6a1** and **6a2** on COX-2 activity were impaired by  
1547 metabolism in Raw264.7 cells, because the conjugation and excretion decreased their  
1548 concentrations inside the cells. Also, the glucuronidation activity in Raw264.7 cells was  
1549 constitutive because **6a1** was conjugated by this cell line either with or without LPS induction.  
1550 Due to the high hydrophilicity of glucuronides, the efflux transporters expressed on the cell  
1551 membrane were probably involved in their excretion from the cells to the cell culture medium.  
1552 These results also indicate that in the screening of drug candidates with a cell-based assay, the  
1553 stability and possible biotransformation of the compounds should be considered as important

1554 factors which can influence the results, especially when the incubation time is very long. In  
1555 additions, for **6a1**, **6a2** and **6a3**, no significant differences was observed in their inhibitory effects  
1556 on human recombinant COX-2 enzyme activity. Taken together, the results from different  
1557 experiments clearly illustrated that if only based on the IC<sub>50</sub> values of the new compounds in  
1558 Raw264.7 cells, the selection of lead compounds for the following studies would be largely  
1559 biased. In this project, anti-COX-2 potency is necessary but not enough for developing a locally  
1560 bioavailable COX-2 inhibitor. An eligible compound should be with extensive first-pass effects  
1561 as well. Thus, it was not appropriate to select a leading compound before the metabolic properties  
1562 of these new compounds were investigated.

1563 The new compounds were designed using celecoxib as a template. With an interpretation of its  
1564 SAR, we tried to make modifications to the structure of celecoxib and expected that the anti-  
1565 COX-2 activity was impacted as less as possible. However, compared with celecoxib, all the new  
1566 compounds were found to be much less potent in inhibiting COX-2 activity. The substitution of –  
1567 CF<sub>3</sub> by the other groups weakened the binding affinity between the inhibitor and COX-2 protein,  
1568 although substitutions of any position on the two aryl rings by a hydroxyl group would lead to a  
1569 greater or even complete loss in potency. When the active site of COX-2 protein is occupied by  
1570 celecoxib, the two aryl rings interact with the amino acid residues deep inside the binding pocket,  
1571 and the –CF<sub>3</sub> group was near the opening of the binding pocket. Judging from the IC<sub>50</sub> values of  
1572 celecoxib and the new compounds determined in experiments, the substitution of -CF<sub>3</sub> group by a  
1573 more bulky group unfortunately decreased the binding affinity. The negative effect of such a  
1574 substitution may be due to a lack of extra space near the pocket opening to accommodate a bulky  
1575 group. Compared with celecoxib, the new COX-2 inhibitors with increased IC<sub>50</sub> values will  
1576 probably have lower efficacies to prevent CRC development *in vivo*, although so far no  
1577 quantitative studies are available for reference. In the following studies, when we wanted to  
1578 validate the inhibitory effects of **6a1**, **6a2**, and **6a3** on colonic COX-2 in rats, a much higher

1579 concentration than that of celecoxib was required in the perfusate to alter the half-life of PGE<sub>2</sub>  
1580 attenuation. Thus, in the future development of locally bioavailable COX-2 inhibitors, perhaps it  
1581 is quite necessary for the researchers to obtain next-generation compounds which are superior to  
1582 the current ones before the efficacy studies are conducted in certain CRC chemoprevention model.

1583

1584

1585 **Chapter 4** *In Vitro* **Characterization of the Metabolic**  
1586 **Properties of the New Compounds and Pharmacokinetics**  
1587 **(PK) Studies**

1588 **4.1 Abstract**

1589 Besides the potency in inhibiting COX-2 activity, a poor systemic bioavailability is another  
1590 essential property of an eligible locally bioavailable COX-2 inhibitor. After the new compounds  
1591 were confirmed as COX-2 inhibitors in the last chapter, their metabolic properties were  
1592 characterized by the *in vitro* tools and models, as well as in the PK studies in rats.

1593 The phase II metabolism rates of the new compounds was determined by employing the  
1594 subcellular fractions including microsomes and S9 fractions prepared from different organs of  
1595 rats or human. For either glucuronidation or sulfation, the conjugation rates of the new  
1596 compounds were shown to be largely determined by the phenolic group species (R<sub>2</sub>), while the  
1597 substitutions on one of the aryl rings (R<sub>1</sub>) had an only slight influence. Based on the IC<sub>50</sub> values  
1598 on COX-2 activity and the phase II metabolism rates characterized *in vitro*, **6a1** was selected as a  
1599 lead compound in the following studies. **6a1** was put into the Caco-2 monolayer model to study  
1600 its absorption, metabolism and excretion in colonic epithelium. **6a1** was easily absorbed into the  
1601 cells and rapidly metabolized. The predominant metabolite was **6a1**-sulfates and the majority of  
1602 sulfates were excreted to the apical side. Less metabolites were found to be **6a1**-glucuronide.

1603 Besides *in vitro* characterization, we also conducted PK studies in Sprague Dawley (SD) rats of  
1604 after oral administration of 20 mg/kg celecoxib or **6a1**. The peak blood concentration of  
1605 celecoxib in the systemic circulation was 3000-fold higher than its IC<sub>50</sub> on COX-2 activity and  
1606 the half-life was 5.7 hours. The cardiovascular system was exposed to very high blood levels of  
1607 celecoxib. In contrast, the blood concentration of **6a1** and its phase II metabolites never exceeded

1608 0.015  $\mu\text{M}$ , which was much lower than its  $\text{IC}_{50}$ . The PK study of **6a3** was also conducted at the  
1609 same dose, and peak blood concentration of **6a3** was found to be higher than 1.5  $\mu\text{M}$ , which was  
1610 20-fold higher than its  $\text{IC}_{50}$ . The significant difference in PK profiles between **6a1** and **6a3**  
1611 illustrated that the poor oral bioavailability of **6a1** was probably caused by extensive first-pass  
1612 effects.

1613

## 1614 **4.2 Introduction**

1615 In Chapter 2 we successfully designed and developed a series of new compounds as selective  
1616 COX-2 inhibitors. With phenolic groups in their structures, these compounds were built as  
1617 substrates of the phase II enzymes including UGTs and SULTs. It has long been know that the  
1618 systemic bioavailability of certain phenolic compounds can be dramatically decreased by the  
1619 first-pass effects after oral administration. The aim of the current project is to develop COX-2  
1620 inhibitors only locally bioavailable in colon by taking advantage of the extensive first-pass  
1621 metabolism and excretion. Hence, the new compounds were characterized for their absorption,  
1622 metabolism and excretion properties by *in vitro* tools or in rats.

1623 In this chapter we will first characterize the *in vitro* phase II metabolism by subcellular fractions  
1624 including microsomes and S9 fractions. The microsomes prepared from human and animal tissues  
1625 contain various membrane-bound drug metabolizing enzymes such as CYP450s, UGTs, and  
1626 carboxylesterases. The microsomes were employed as an enzyme resource of UGTs in the *in*  
1627 *vitro* glucuronidation of the new compounds. The S9 fractions obtained from organ or tissue  
1628 homogenates by centrifuging at  $9000 \times g$  contain both cytosolic and microsomal enzymes. In the  
1629 *in vitro* sulfation of the new compounds, the S9 fractions were employed as an enzyme resource  
1630 of cytosolic SULTs. The microsomes or S9 fractions prepared from small intestine, colon and  
1631 liver were employed for comparing the conjugation rates of the new compounds by different  
1632 organs. The locally bioavailable COX-2 inhibitors are supposed to be relatively stable in the  
1633 colon but metabolized and excreted efficiently in the liver. Among the new compounds, the ones  
1634 efficiently conjugated by the liver subcellular fractions were the most promising to be only  
1635 locally bioavailable. Also, the ideal compound should also be relatively slowly conjugated by the  
1636 colon subcellular fractions, because the inhibitory effect on colonic COX-2 may be impaired by  
1637 the rapid metabolism in the colon. Two phenolic compounds, raloxifene and genistein, were used

1638 as controls in glucuronidation and sulfation, because both of them undergo extensive first-pass  
1639 effects and have low oral bioavailability *in vivo*.

1640 Besides the microsomes and S9 fractions, Caco-2 cell monolayer was also employed in the *in*  
1641 *vitro* characterization. The Caco-2 cell monolayer is a well-established model to study the  
1642 absorption, metabolism and transport of drugs in the human gastrointestinal tract [153]. The  
1643 model may help us to better predict the disposition of the new compounds in colonic epithelium  
1644 which is undergoing carcinogenesis, because Caco-2 is a human CRC cell line.

1645 After the *in vitro* characterization, *in vivo* pharmacokinetics studies were also conducted in rats  
1646 for the prototype drug celecoxib, as well as the new compounds. The blood concentrations in the  
1647 systemic circulation were determined at different time points after oral administration. Celecoxib  
1648 has been reported as a drug with rapid absorption and excellent oral bioavailability in both human  
1649 and rats. Here, the pharmacokinetics of celecoxib in rats was validated, and the result was also  
1650 compared to that of the new compounds. Among the new compounds, the one showing ideal  
1651 metabolism rates in the *in vitro* characterization was selected as the lead compound and given to  
1652 rats in the pharmacokinetics study. Besides the lead compound, another new compound which  
1653 was not metabolized very rapidly *in vitro* was also investigated for its pharmacokinetics in rats.  
1654 Comparing the blood concentrations of these two new compounds would help to determine  
1655 whether the *in vitro* metabolism rates could be effectively extrapolated *in vivo* or not. If so, in the  
1656 future, the *in vitro* characterization of metabolism rates will be employed to identify compounds  
1657 which are only locally bioavailable, especially when a much larger number of new compounds  
1658 are synthesized than at the current stage.

## 1659 **4.3 Materials and Methods**

### 1660 **4.3.1 Materials**

1661 All the materials were analytical grade or better. Celecoxib was purchased from LC laboratory  
1662 (Woburn, MA). Genistein was purchased from Indofine Chemicals (Somerville, NJ). Raloxifene,  
1663 UDPGA, magnesium chloride (MgCl<sub>2</sub>), alamethicin, D-saccharic-1,4-lactone monohydrate,  
1664 PAPS, dithiothreitol (DTT), KH<sub>2</sub>PO<sub>4</sub>, K<sub>2</sub>HPO<sub>4</sub>, HCl, sodium chloride (NaCl), and Hanks'  
1665 balanced salt solution (HBSS, powder) were purchased from Sigma-Aldrich (St Louis, MO). The  
1666 cloned Caco-2 cell line (TC7) was a kind gift from Dr. Moniqué Rousset of Institut National de la  
1667 Santé et de la Recherche Médicale U178 (Villejuif, France). DMEM and FBS for Caco-2 cell  
1668 culture were purchased from Life Technologies (Carlsbad, CA). The pooled human liver and  
1669 intestine microsomes were purchased from BD Biosciences (Woburn, MA). The pooled human  
1670 liver and intestine S9 fractions were purchase from Xenotech (Lenexa, KS). The rat liver and  
1671 colon microsomes/S9 fractions were prepared from the SD rats as previously described [154].

#### 1672 **4.3.2 Animals**

1673 Male SD rats (approximately 250g) were purchased from Harlan Laboratory (Indianapolis, IN).  
1674 They had been kept in the animal facilities for at least one week before any experiment.

#### 1675 **4.3.3 *In vitro* glucuronidation by microsomes**

1676 The glucuronidation rates of the new compounds were determined *in vitro* by using the  
1677 microsomes from various sources. In 200 µL 50 mM KPi (pH 7.4), the microsomes (final protein  
1678 concentration approximately between 0.01-0.05 mg/mL), MgCl<sub>2</sub> (0.88 mM), D-Saccharic acid  
1679 1,4-lactone monohydrate (4.4 mM), alamethicin (0.022 mg/mL), and 10 µM substrate were mixed.  
1680 The sample was pre-warmed at 37 °C for 5 minutes before UDPGA (final concentration 3.5 mM)  
1681 was added to start the reaction. After incubation for a pre-determined period of time (typically 15,  
1682 30 or 60 minutes) at 37 °C, the reaction was stopped by adding 50 µL 94% acetonitrile/6% formic  
1683 acid containing 50 µM formononetin or 100 µM testosterone as the internal standard. After 15  
1684 minutes of 15,000 × g centrifuge, 10 µL supernatant from each sample was injected for UPLC-

1685 UV analysis. For the substrates in all the samples, less than 15% of the total amount was  
1686 conjugated when the incubation was ended. For each substrate, the experiment was performed in  
1687 triplicate. Genistein and raloxifene were employed as positive controls for glucuronidation.

#### 1688 **4.3.4 *In vitro* sulfation by S9 fractions**

1689 The sulfation rates of the new compounds were determined *in vitro* by using the S9 fractions from  
1690 various sources. In 150  $\mu$ L 50 mM KPi (pH 7.4), the S9 fractions (final protein concentration  
1691 approximately between 0.01-0.05 mg/mL), MgCl<sub>2</sub> (5 mM), DTT (10 mM), and 10  $\mu$ M substrate  
1692 were mixed. The sample was pre-warmed at 37 °C for 5 minutes, and then PAPS (final  
1693 concentration 0.1 mM) was added to start the reaction. After incubation for a pre-determined  
1694 period of time (typically 15, 30 or 60 minutes) at 37 °C, the reaction was stopped by adding 50  
1695  $\mu$ L 94% acetonitrile/6% formic acid containing 50  $\mu$ M formononetin or 100  $\mu$ M testosterone as  
1696 the internal standard. After 15 minutes of 15,000  $\times$  g centrifuge, 10  $\mu$ L supernatant from each  
1697 sample was injected for UPLC-UV analysis. For the substrates in all the samples, less than 15%  
1698 of the total amount was conjugated when the incubation was ended. For each substrate, the  
1699 experiment was performed in triplicate. Genistein and raloxifene were employed as positive  
1700 controls for sulfation.

#### 1701 **4.3.5 Caco-2 monolayer assay**

1702 The Caco-2 cells were maintained in DMEM supplemented with 10% FBS. In the present study,  
1703 the procedures to establish Caco-2 monolayers were performed as they were described previously  
1704 [155]. In brief, after the cells reached at least 95% confluence in a flask, they were seeded onto  
1705 the inserts in a 6-well cell culture cluster at a suitable density. After the seeding, the cell culture  
1706 medium was changed every other day, and the Caco-2 monolayer was ready for experiments in  
1707 19-22 days. Before experiments, the cell culture medium was aspirated and the Caco-2 cell  
1708 monolayers were washed three times with blank HBSS, pH 7.4, at 37 °C. The trans-epithelial

1709 electrical resistance (TEER) values were measured, and the monolayers with TEER lower than  
1710 500 ohms/cm<sup>2</sup> were discarded.

1711 After the Caco-2 monolayer was incubated with blank HBSS (pH 7.4) for 1 hour, 2.5 mL HBSS  
1712 (pH 7.4) containing 10 µM **6a1** in was applied to either apical side or basolateral side, and 2.5 mL  
1713 blank HBSS (pH 7.4) was loaded to the other side to receive **6a1** and its phase II metabolites after  
1714 trans-epithelial transport or excretion. The absorbed, metabolized and excreted amounts of **6a1**  
1715 were monitored as a function of time. 0.25, 0.5, 1, 2, 4, 6 and 8 hours after the experiment began,  
1716 200 µL of sample was collected from the donor and receiver sides, respectively. Each time after  
1717 the samples were taken, 200 µL of donor solution was added to the donor side, and the receiver  
1718 side was supplemented with 200 µL of blank HBSS as well. The samples were immediately mixed  
1719 with 25 µL 94% acetonitrile/6% formic acid containing 50 µM formononetin as the internal  
1720 standard. After 15 minutes of 15,000 × g centrifuge, 10 µL supernatant from each sample was  
1721 injected for UPLC-UV analysis.

#### 1722 **4.3.6 Quantitation of the new compounds and their phase II metabolites by UPLC-** 1723 **UV**

1724 The new compounds and their metabolites were quantitated on a Waters Acquity<sup>TM</sup> UPLC  
1725 equipped with a DAD. The conditions were: column, Waters BEH C<sub>18</sub>, 1.7 µm, 50 mm × 2.1 mm  
1726 (Waters, Milford, MA, USA); mobile phase A, 2.5mM NH<sub>4</sub>Ac in water, pH 7.4; mobile phase B,  
1727 100% acetonitrile; gradient, 0-2.0 min, 10-20% B, 2.0-3.0 min, 20-40% B, 3.0-3.5 min, 40-50%  
1728 B, 3.5-4.0 min, 50-90% B, 4.0-4.5 min, 90% B, 4.5-5.0 min, 90-10% B; flow rate, 0.5mL/min;  
1729 column temperature, 45 °C; detect wavelength, 254nm; injection volume, 10 µL.

#### 1730 **4.3.7 Pharmacokinetics study in SD rats**

1731 The procedures for animal experiment were approved by University of Houston Institutional  
1732 Animal Use and Care Committee (IACUC). The rats (n=6 in each group) were fasted for

1733 approximately 14 hours with free access to water before the experiment. Celecoxib, **6a1**, or **6a3**  
1734 dispersed in Ora-Plus® suspension vehicle was administrated to rats by oral gavage at the dose of  
1735 20mg/kg. At each time point, approximately 50µL blood was collected in heparinized tubes from  
1736 the rats by tail tip snipping (1-2 mm cut) after the rat was anesthetized by isoflurane. The blood  
1737 samples were frozen immediately after collection and stored at -80 °C until analysis.

#### 1738 **4.3.8 Blood sample preparation**

1739 40 µL blood sample was initially mixed with 40 µL saturated NaCl solution (pH 1.5, adjusted by  
1740 HCl). Then 300 µL acetonitrile containing 0.1 µM 1-(4-sulfamoylphenyl)-5-(*p*-tolyl)-1*H*-  
1741 pyrazole-3-carboxylic acid (internal standard) was added and the mixture was vigorously  
1742 vortexed for 1 min. The addition of the inorganic salt to blood sample made it immiscible with  
1743 acetonitrile and the extraction was referred to as “salting-out liquid-liquid extraction” [156]. After  
1744 10 minutes of 15,000 × g centrifugation, the supernatant was transferred to a new tube. The  
1745 extraction was repeated once and the extract was combined and evaporated to dryness under  
1746 nitrogen flow. Before analysis the samples were reconstituted in 100µL 25% acetonitrile  
1747 containing 0.1% formic acid and centrifuged at 15,000 × g for 15 minutes. 10µL supernatant was  
1748 injected for UPLC-MS/MS analysis.

#### 1749 **4.3.9 Quantitation of celecoxib, 6a1, 6a1-glucuronide, 6a1-sulfate, 6a3, 6a3-** 1750 **glucuruonide, 6a3-sulfate by UPLC-MS/MS**

1751 UPLC-MS/MS analysis was conducted with an API 5500-Qtrap triple quadrupole mass  
1752 spectrometer (Applied Biosystem/MDS SCIEX, Foster City, CA, USA) equipped with a  
1753 TurboIonSpray™ source at the negative ion mode. The analyte concentrations were determined  
1754 by MRM scan type. The compound-dependent parameters for all the analytes were listed in Table  
1755 3, and the main instrument-dependent parameters were set as follows: ionspray voltage, -4500 V;  
1756 ion source temperature, 700 °C; nebulizer gas, 20 psi; turbo gas, 30 psi; curtain gas, 30 psi.

1757

	<b>Q1 (m/z)</b>	<b>Q3 (m/z)</b>	<b>Dwell Times (msec)</b>	<b>DP (V)</b>	<b>EP (V)</b>	<b>CE (V)</b>	<b>CXP (V)</b>
<b>Celecoxib</b>	380	316	100	-265	-10	-32	-21
<b>6a1 / 6a3</b>	434	108	100	-50	-10	-24	5
<b>6a1-glucuronide / 6a3-glucuronide</b>	610	113	100	-100	-10	-30	-11
<b>6a1-sulfate / 6a3- sulfate</b>	514	187	100	-100	10	-30	-11
<b>I.S.</b>	356	312	100	-10	-10	-22	-17

1758

1759 **Table 4. Compound-dependent parameters in the analysis of the rat**  
1760 **blood samples by MS.**

1761

1762

## 1763 4.4 Results

### 1764 4.4.1 *In vitro* metabolism by microsomes or S9 fraction

1765 The *in vitro* metabolism rates can be used for predicting the *in vivo* metabolic clearance and  
1766 bioavailability. The new compounds were built with phenolic groups in their structures, in order  
1767 to make them excellent substrates in phase II metabolism. Before any *in vivo* studies, the  
1768 metabolism of the new compounds were first characterized by *in vitro* tools. With abundant phase  
1769 II metabolic enzymes expression, the microsomes or S9 fractions prepared from different organs  
1770 of human or rats were employed. The results from the *in vitro* assays were helpful for the  
1771 investigators to select a lead compound which was most likely can be only locally bioavailable.

1772 The effects of R<sub>1</sub> and R<sub>2</sub> groups on the metabolism rates were firstly investigated. For the  
1773 substrates with the same R<sub>2</sub>, the glucuronidation or sulfation rates were found to be similar in the  
1774 incubation with rat liver microsomes and S9 fractions (Figure 16, A and B). In other words, the  
1775 variety of R<sub>1</sub> groups did not have significant impact on the metabolism rates. Also, a substrate  
1776 with a 2- or 3-phenol group was more favored by the phase II metabolic enzymes than that with a  
1777 4-phenol group. In either glucuronidation or sulfation by rat liver subcellular fractions, **6a1**, **6a2**,  
1778 **6b2**, and **6c2** were more rapidly conjugated than raloxifene and genistein. The results indicate  
1779 that it is quite possible for these 4 compounds to undergo extensive first-pass metabolism in rat  
1780 liver.

1781 The locally bioavailable COX-2 inhibitors are proposed to be adequately stable in colon to inhibit  
1782 COX-2, but rapidly conjugated and excreted in liver. Although it is quite doubtful that we can  
1783 obtain a compound without any conjugation in colon, the ideal compound should at least be  
1784 conjugated much more rapidly in the liver than in the colon. Hence, we also compared the  
1785 abilities of rat colon and liver to conjugate the new compounds. For both glucuronidation and  
1786 sulfation, microsomes or S9 fractions prepared from rat liver were usually much more efficient in

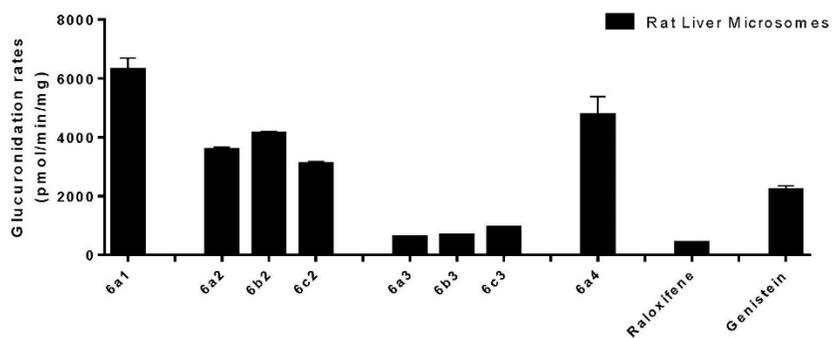
1787 conjugating **6a1**, **6a2**, **6a3**, and **6a4** than those prepared from rat colon (Figure 16, C and D). Due  
1788 to the differences between the colonic epithelium and liver in size and weight, it can be expected  
1789 that the liver will exhibit a much greater capacity in conjugating these compounds than the  
1790 colonic epithelium.

1791 To figure out whether the results obtained in rat model could be reasonably extrapolated to  
1792 humans, microsomes and S9 fractions prepared from human liver and intestine were also  
1793 characterized for their abilities to conjugate the new compounds. Here the human intestine  
1794 subcellular fractions were used because the human colon subcellular fractions were not  
1795 commercially available. **6a1** was the most rapidly conjugated as glucuronide by the human liver  
1796 microsomes among all the substrates. The glucuronidation rate of **6a1** by the human intestinal  
1797 microsomes was much lower than that by the human liver microsomes (Figure 16, E). For **6a2**  
1798 and **6a3**, the glucuronidation rates by different microsomes were similar. However, similar to  
1799 raloxifene and genistein, **6a4** was more efficiently conjugated as a glucuronide by the human  
1800 intestine microsomes, indicating that it was perhaps not suitable to be developed as a locally  
1801 bioavailable drug in colon. Surprisingly, the sulfation rates of the new compounds by human  
1802 intestine S9 fractions were found to be tens of fold higher than those by human liver S9 fractions  
1803 (Figure 16, F), which was quite different from what we observed in the subcellular fractions of  
1804 rats. It is still not clear whether the human colon S9 fractions can conjugate these new compounds  
1805 to sulfates as efficiently as the human intestine S9 fractions. The relevant species difference in  
1806 phase II metabolism between rats and human should be considered in the further studies of the  
1807 locally bioavailable COX-2 inhibitors.

1808

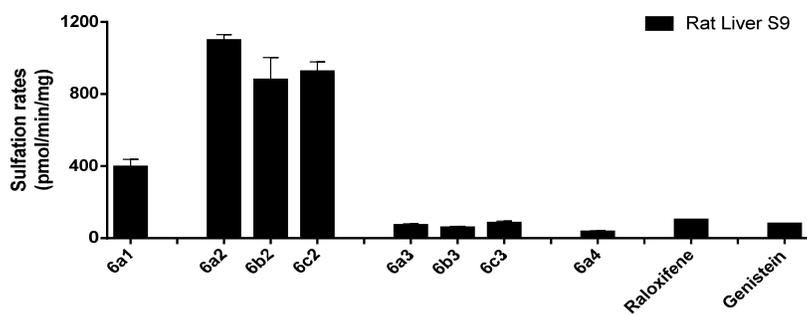
1809

1810 A



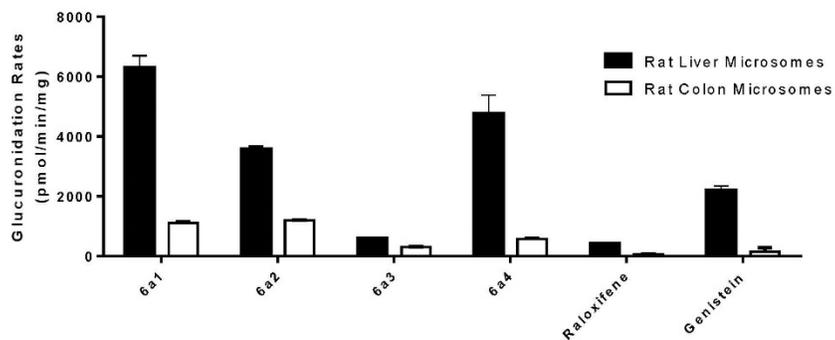
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1812 B



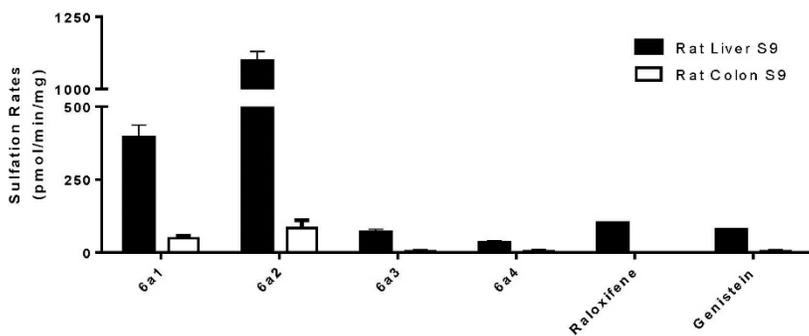
1813

1814 C



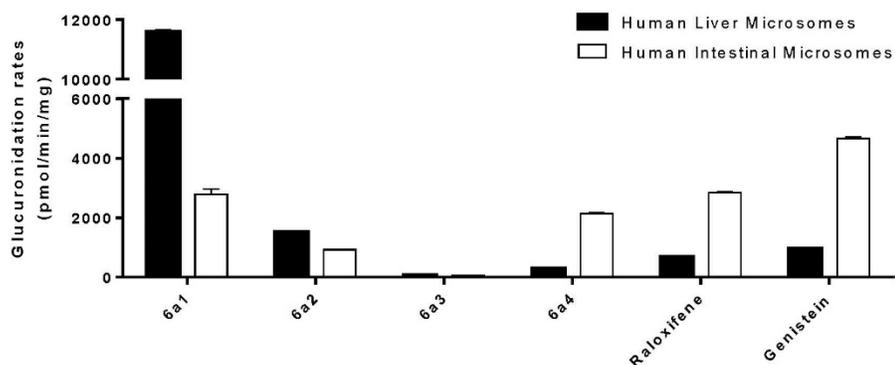
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1816 D



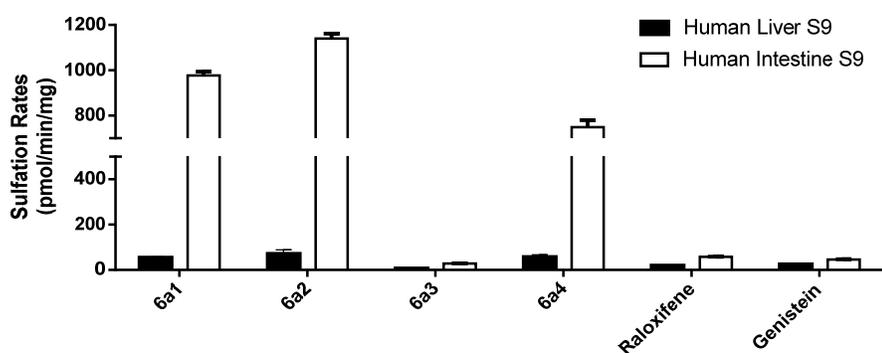
1817

1818 E



1819

1820 F



1821

1822 **Figure 16. The phase II metabolism rates of the new compounds**  
1823 **characterized by *in vitro* tools.**

1824 (A) Glucuronidation rates of all the new compounds by rat liver microsomes. (B) Sulfation rates  
1825 of all the new compounds by rat liver S9 fractions. (C) Glucuronidation rates of **6a1-6a4** by rat  
1826 liver and colon microsomes. (D) Glucuronidation rates of **6a1-6a4** by rat liver and colon S9  
1827 fractions. (E) Glucuronidation rates of **6a1-6a4** by human liver and intestine microsomes. (F)  
1828 Sulfation rates of **6a1-6a4** by human liver and intestine S9 fractions.

1829

#### 1830 **4.4.2 6a1 in Caco-2 monolayer assay**

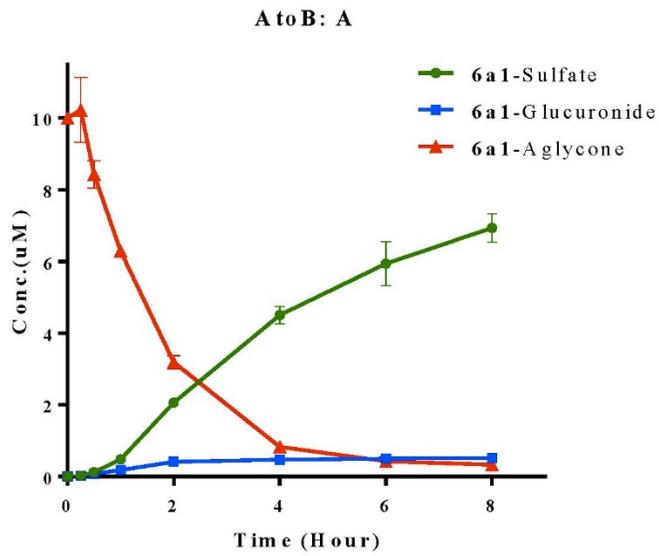
1831 After 10  $\mu$ M **6a1** was applied to the apical or basolateral side of the Caco-2 monolayer model, the  
1832 concentrations of **6a1** and its phase II metabolites, glucuronide and sulfate, were monitored at the  
1833 both the donor and receiver sides. The results showed that **6a1** penetrated the cell membrane  
1834 easily and was rapidly conjugated by UGTs and SULTs inside the Caco-2 cells. The dominant  
1835 metabolites were found to be sulfates. The majority of **6a1**-sulfate was effluxed to the apical side,  
1836 while less was transported to the basolateral side (Figure 17, A and B). The amount of **6a1**-  
1837 glucuronide produced by Caco-2 cells was much less than that of **6a1**-sulfate. **6a1**-glucuronide  
1838 was also transported to both sides of the Caco-2 monolayer. However, unlike **6a1**-sulfate, **6a1**-  
1839 glucuronide was preferentially effluxed to the basolateral sides. When 10  $\mu$ M **6a1** was applied to  
1840 the basolateral side of the Caco-2 monolayer, similar results were observed in the production and  
1841 excretion of the **6a1** phase II metabolites (Figure 17, C and D).

1842

1843

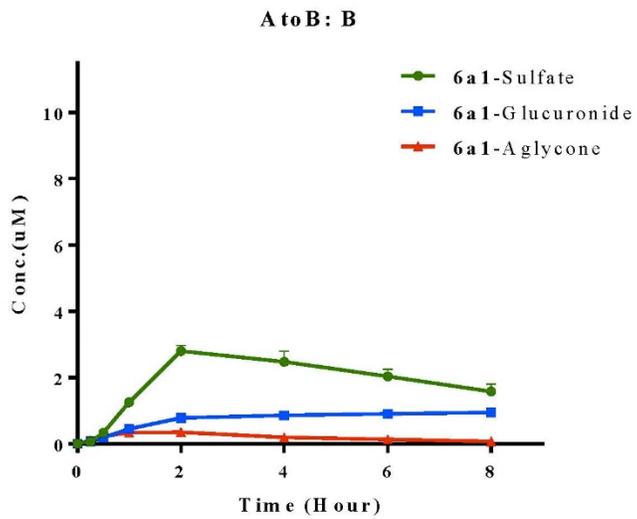
1844

1845 A



1846

1847 B

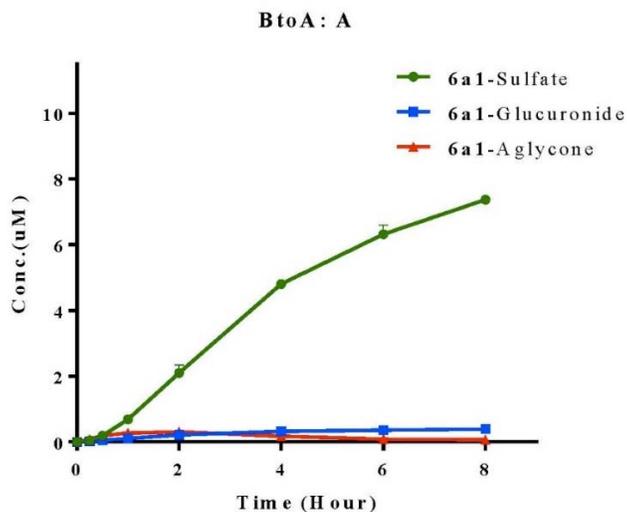


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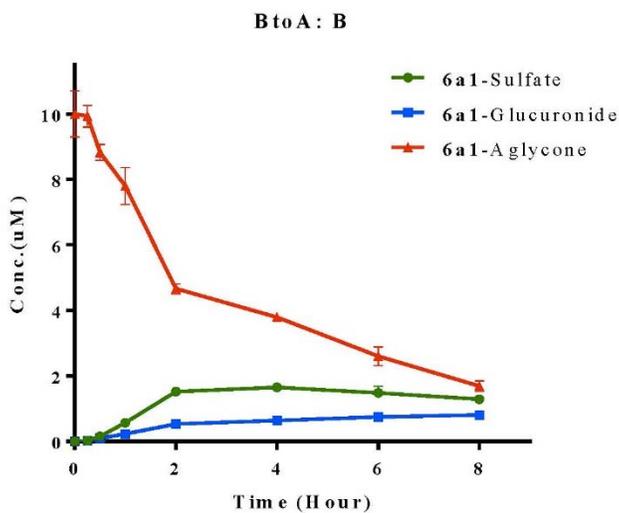
1850

1851 C



1852

1853 D



1854

1855 **Figure 17. The metabolism and excretion of 6a1 in Caco-2 cell**  
1856 **monolayer assay.**

1857 10  $\mu$ M **6a1** was applied to the apical (A and B) or basolateral (C and D) side of the Caco-  
1858 2 cell monolayer. The concentrations of **6a1** and its phase II metabolites in the both sides  
1859 were determined at different time points.

1860

#### 1861 4.4.3 Pharmacokinetics study in SD rats

1862 After the characterization of drug metabolism by *in vitro* tools, the pharmacokinetics of celecoxib,  
1863 **6a1** and **6a3** was investigated and compared with each other in SD rats after oral administration.

1864 After an oral dose of 20 mg/kg celecoxib in SD rats, the drug was rapidly absorbed and the blood  
1865 concentration exceeded 1 $\mu$ M in 30 minutes. 8 hours after the oral administration, celecoxib  
1866 achieved its peak blood concentration as high as 15.40  $\pm$  4.01  $\mu$ M, which was more than 1000  
1867 folds higher than its IC<sub>50</sub> value against COX-2 (Figure 18, A). In contrast, after an oral dose of 20  
1868 mg/kg **6a1** in SD rats, only trace concentrations of **6a1** and its metabolites could be detected in  
1869 the blood during the pharmacokinetics studies (Figure 18, B). 24 hours after the administration,  
1870 the blood concentrations of **6a1** and its metabolites never exceeded 0.02  $\mu$ M, indicating that only  
1871 a marginal amount of **6a1** entered the systemic circulation in rats.

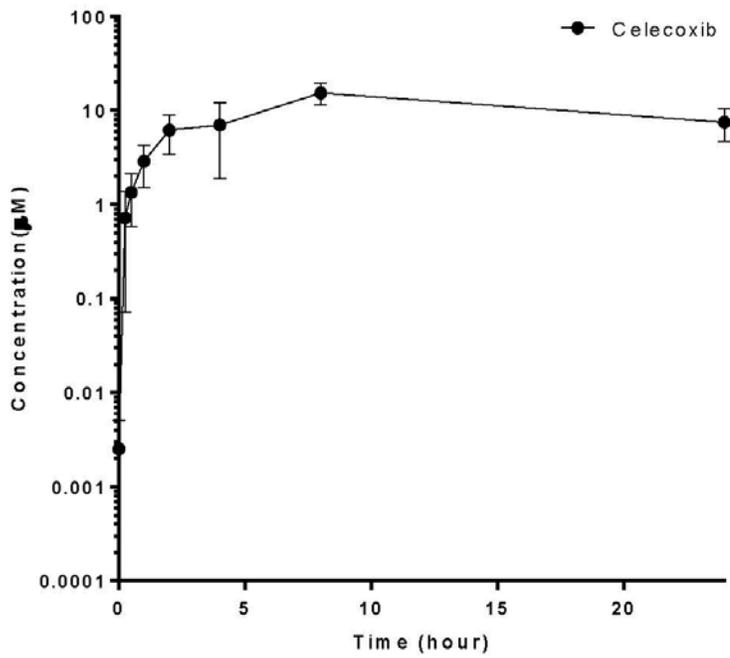
1872 To clarify the reason of the low bioavailability of **6a1**, we also conducted the pharmacokinetic  
1873 study of **6a3** and compared the result with that of **6a1**. After an oral dose of 20 mg/kg **6a3** in SD  
1874 rats, the peak blood concentration of **6a3** was 1.69  $\pm$  0.69  $\mu$ M, and the blood levels of the two  
1875 phase II metabolites of **6a3** were much lower than that of the parent (Figure 18, C).

1876

1877

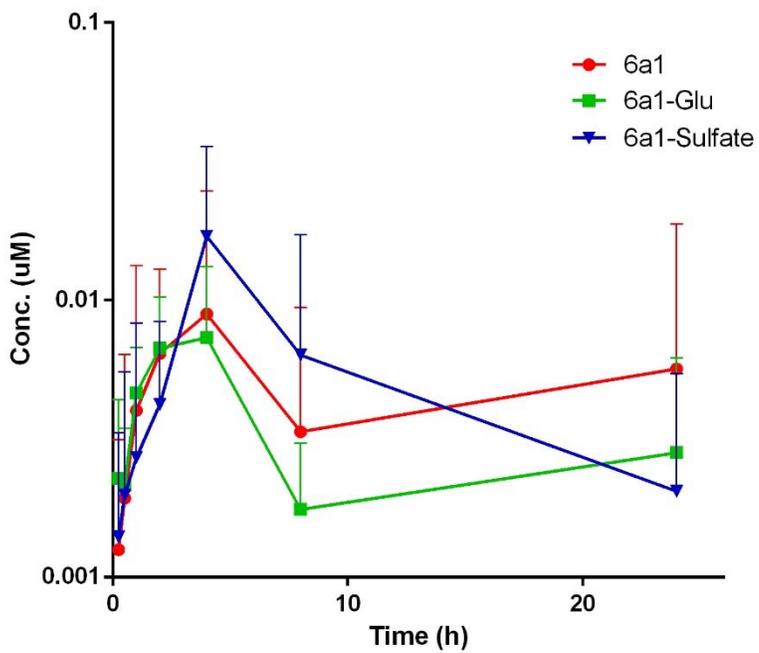
1878

1879 A



1880

1881 B

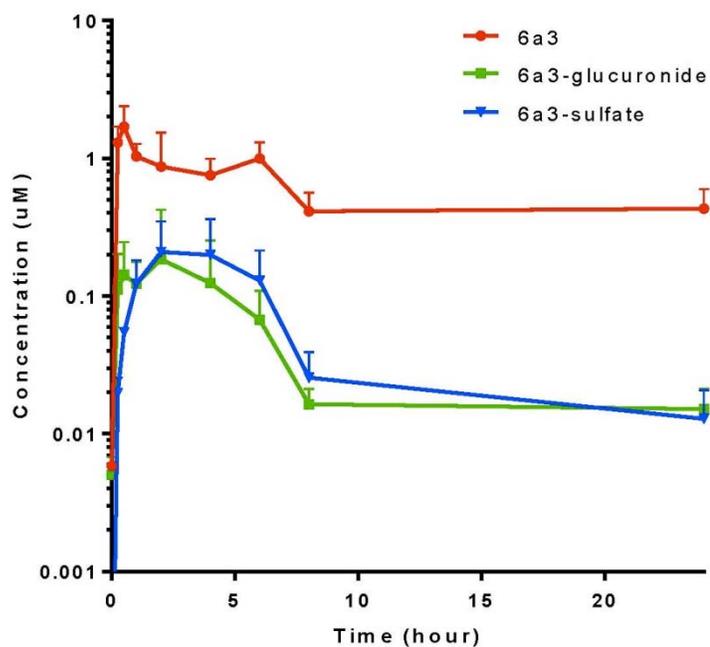


1882

1883

1884

1885 C



1886

1887 **Figure 18. The PK studies of celecoxib, 6a1 and 6a3 in SD rats.**

1888 The concentrations of (A) celecoxib (B) **6a1** and its phase II metabolites (C) **6a3** and its  
1889 metabolites in the blood withdrawn from the rat tail tip after an oral dose of 20 mg/kg.

1890

## 1891 **4.5 Discussion**

1892 Before *in vitro* characterization, we hypothesized that for the new compounds, their phase II  
1893 metabolism rates are determined by their phenolic group species ( $R_2$ ), which were designed as the  
1894 kinetophore. As the pharmacophore, the  $R_1$  groups will not significantly impact the metabolism  
1895 rates of these phenolic compounds because it is located far away from the metabolism position in  
1896 the structure. This hypothesis was verified when the rat liver microsomes and S9 fractions were  
1897 used to conjugate the new compounds as glucuronides and sulfates, respectively. The compounds  
1898 with the same  $R_2$  groups had similar conjugation rates in either glucuronidation or sulfation,  
1899 although their  $R_1$  groups were different from each other. Meanwhile, among all the new  
1900 compounds, **6a3**, **6b3** and **6c3** were identified as relatively poor substrates in both  
1901 glucuronidation and sulfation. Then, **6a1**, **6a2**, **6a3** and **6a4** were selected as the representatives  
1902 of all the new compounds for further investigation. Their phase II metabolism rates by subcellular  
1903 fractions from rat liver and colon were determined and compared. An ideal locally bioavailable  
1904 COX-2 inhibitor should be metabolically stable enough in colonic epithelium to inhibit COX-2,  
1905 and it is also required to be metabolized and excreted in liver as rapidly as possible. Thus the  
1906 compounds with high conjugation rates by liver subcellular fractions and low conjugation rates  
1907 by colon subcellular fractions are favored. Among the four compounds, **6a1** and **6a2** were  
1908 identified as the most susceptible substrates of phase II metabolic enzymes in rat liver, and also  
1909 the rat liver subcellular fractions conjugated **6a1** and **6a2** more efficiently than the rat colon  
1910 subcellular fractions. **6a4** was an excellent substrate of the Ugts but not the Sults in rat liver. **6a3**  
1911 was slowly conjugated in either rat liver or colon. To figure out whether the metabolic properties  
1912 of the new compounds in rats could be extrapolated to human, we also use the subcellular  
1913 fractions from human liver and intestine to conjugate the new compounds. Because human colon  
1914 microsomes and S9 fractions were not commercially available, we used human intestine  
1915 microsomes and S9 fractions instead. Among the four compounds, the glucuronidation of **6a1** by

1916 human liver microsomes were the most rapid and the rate was also higher than that by human  
1917 intestine microsomes. However, the human intestine S9 fractions exhibited a much higher ability  
1918 to conjugate **6a1**, **6a2** and **6a4** as sulfates than the human liver S9 fractions, showing the species  
1919 difference between human and rats. In the future when the design of locally bioavailable COX-2  
1920 inhibitors is translated from rats to human, the species differences should be considered,  
1921 especially for avoiding the possible extensive sulfation in human colon. Most importantly, now  
1922 we know that the metabolism of the new compounds can be manipulated by altering the phenolic  
1923 group species in their structures. *In vitro* screening among various phenolic groups can help find  
1924 new compounds with appropriate metabolic properties in human. On the other hand, a locally  
1925 bioavailable COX-2 inhibitors can be designed as a specific substrate of certain UGT or SULT  
1926 isoforms expressed in human liver but not colon, which will prevent the systemic bioavailability  
1927 but protect the local bioavailability.

1928 Here, for the following studies *in vitro* or in rats, **6a1** was selected as a lead compound. The rapid  
1929 phase II metabolism and active apical efflux of the metabolites in the intestinal epithelium have  
1930 been observed in the intestinal disposition of a number of phenolic phytochemicals, especially  
1931 flavonoids [157]. Caco-2 cell monolayer is a commonly used model in which the absorption,  
1932 metabolism and excretion of substances in the intestinal epithelium can be simulated [155]. As a  
1933 hydrophobic compound, **6a1** can penetrate the Caco-2 cellular membrane from either side by  
1934 passive diffusion. The predominant metabolite was found to be **6a1**-sulfate, and less metabolite  
1935 was **6a1**-glucuronide. The results in Caco-2 cell monolayer model also revealed that human colon  
1936 may generate a lot of **6a1**-sulfate *in vivo*. Like the phase II metabolites of the other phenolic  
1937 compounds, the glucuronide and sulfate of **6a1** are very hydrophilic, and their excretion from the  
1938 Caco-2 cells probably depends on transporters on the cellular membrane. With respect to the  
1939 transport of the same substrate, the competition between the apical transporters and the  
1940 basolateral transporters usually results in the unequal efflux to both sides of the Caco-2

1941 monolayer. The majority of **6a1**-sulfate was excreted to the apical side of the monolayer, while  
1942 more **6a1**-glucuronide was excreted to the basolateral side. The phase II metabolites effluxed to  
1943 the intestinal lumen can be hydrolyzed by glucuronidases or sulfatases from the colonic bacteria,  
1944 and the reproduced aglycones are available for reabsorption[56]. This process termed “enteric  
1945 circulation” can slow down the decrease of drug concentrations in the intestinal lumen, which  
1946 may help prolong the exposure of the colonic epithelium to drugs. Thus, in humans, the enteric  
1947 circulation may promote the effect of **6a1** in inhibiting colonic COX-2 activity and enhance its  
1948 efficacy in CRC chemoprevention. *In vitro* experiments also revealed that in the presence of  $\beta$ -  
1949 glucuronidase, the efficacy of **6a1** in inhibiting PGD<sub>2</sub> production was largely enhanced in the  
1950 LPS-induced Raw264.7 cells (Figure 14, C). In the future, effects of colonic bacteria on the  
1951 efficacies of locally bioavailable COX-2 inhibitors will also be investigated in the *in vivo* studies.

1952 The result of the pharmacokinetics study of celecoxib validated the conclusion made in the  
1953 previous studies that celecoxib is rapidly absorbed and has excellent oral bioavailability. The  
1954 blood concentration of celecoxib exceeded 1  $\mu$ M in 30 minutes after oral administration and the  
1955 peak blood concentration was as high as 15  $\mu$ M. Considering that the IC<sub>50</sub> of celecoxib on COX-2  
1956 activity was determined to be as low as 3 nM, the cardiovascular system of rats was exposed to a  
1957 blood level of celecoxib which was a thousand-fold higher than its IC<sub>50</sub>. While in healthy human  
1958 adults, the peak concentration of celecoxib in the plasma was also higher than 2  $\mu$ M after a single  
1959 oral dose of 200 mg celecoxib [140]. The high blood concentration and low IC<sub>50</sub> on COX-2  
1960 activity ensured its therapeutic effect in patients with arthritis, but also result in the increased risk  
1961 of cardiovascular events [113, 118]. In contrast, the blood concentrations of **6a1** were only  
1962 marginal (< 0.015  $\mu$ M) and also much lower than its IC<sub>50</sub> on COX-2. The extensive first-pass  
1963 metabolism is likely responsible for the low oral bioavailability of **6a1**, although a limited  
1964 absorption of **6a1** in the gastrointestinal tract may also be a factor. However, the predicted cLogP  
1965 value and the permeability of **6a1** shown in Caco-2 cell monolayer suggests that the alternative

1966 explanation is unlikely. Beside the study in Caco-2 cell monolayer, we also conducted the PK  
1967 study of **6a3** at the same oral dose and compared the result with that in **6a1** PK study. **6a3** shares  
1968 the same molecular weight with **6a1**, and they have very similar structure and physicochemical  
1969 properties. However, in the *in vitro* characterizations, **6a3** was identified as a relatively poor  
1970 substrate of phase II metabolism enzymes in both human and rats. The oral bioavailability of **6a3**  
1971 was much higher than that of **6a1**, implying that the different first-pass metabolism rates  
1972 accounted for the difference in pharmacokinetic properties between the two. Later, in the  
1973 perfused rat colon model, the first-pass metabolism and excretion of **6a1** in SD rats were revealed  
1974 with more details (See below).

1975

1976

1977

1978 **Chapter 5 The Efficacy of the New Compounds in**  
1979 **Inhibiting COX-2 Activity in the Inflamed Rat Colon; the**  
1980 **Absorption, Metabolism and Excretion of 6a1 during the *In***  
1981 ***Situ* Perfusion**

1982 **5.1 Abstract**

1983 In the 2,4,6-trinitrobenzenesulfonic acid (TNBS)-treated rat colon with COX-2 overexpression,  
1984 perfusion with 1 or 10  $\mu\text{M}$  celecoxib in HBSS significantly decreased the half-life of  $\text{PGE}_2$   
1985 attenuation ( $P < 0.05$  and  $P < 0.01$ , respectively), showing the inhibitory effects of celecoxib on  
1986 colonic COX-2. However, 0.1  $\mu\text{M}$  celecoxib in HBSS had no significant effects. When the  
1987 inflamed rat colon was perfused with 10  $\mu\text{M}$  **6a1**, **6a2**, or **6a3** respectively, the half-life of  $\text{PGE}_2$   
1988 attenuation in the perfusate was not significantly altered. However, after the solubility of **6a1** in  
1989 HBSS at room temperature was increased to 70  $\mu\text{M}$  with the help of 4 mM  $\beta$ -cyclodextrin ( $\beta$ -CD),  
1990 the half-life of  $\text{PGE}_2$  attenuation was significantly decreased, and the efficacy of 70  $\mu\text{M}$  **6a1** was  
1991 comparable to that of 1  $\mu\text{M}$  celecoxib.

1992 The blood sample analysis by UPLC-MS/MS revealed that when the inflamed rat colon was  
1993 perfused with 1 or 10  $\mu\text{M}$  celecoxib, the blood concentration of celecoxib kept increasing during  
1994 the perfusion and reached concentrations that were at least 30 folds higher than its  $\text{IC}_{50}$  on human  
1995 COX-2 activity. In contrast, after the infusion with 70  $\mu\text{M}$  **6a1** in the inflamed rat colon began,  
1996 the blood concentration of **6a1** soon reached a stable plateau and never exceeds 0.1  $\mu\text{M}$ , which  
1997 was much lower than its  $\text{IC}_{50}$  on human COX-2. By comparing **6a1** concentrations in the input  
1998 and output perfusate, we figured out that the rat colon was efficient in absorbing **6a1** but limited  
1999 in metabolizing **6a1**. UPLC-UV analysis of the bile samples showed that the majority of **6a1**  
2000 absorbed in the colon was recovered as glucuronide in bile. We estimate the first-pass metabolism

2001 and excretion of **6a1** in the liver successfully prevented more than 99% of absorbed **6a1** from  
2002 entering the systemic circulation.

2003

## 2004 **5.2 Introduction**

2005 We synthesized a series of new compounds and demonstrated their efficacies for inhibiting  
2006 recombinant human COX-2 activity or the production of prostaglandins in cell lines. Also, the  
2007 phase II metabolism of the new compounds were characterized by *in vitro* tools such as liver or  
2008 colon microsomes/S9 factions and Caco-2 monolayer model. The results from pharmacokinetics  
2009 study in SD rats demonstrated that compared with celecoxib and **6a3**, the oral bioavailability of  
2010 **6a1** was very low, probably due to the extensive first-pass effects. **6a1** has been selected as the  
2011 lead compound for the following studies.

2012 In this section, we want to validate the efficacies of the new compounds in inhibiting colonic  
2013 COX-2 in a relevant rat model. However, in healthy rats, COX-2 is not expressed in the normal  
2014 colon tissue. Thus, an acute inflammatory bowel disease (IBD) model induced by TNBS in rat is  
2015 employed. In this model, substantial expression of COX-2 protein can be detected in the inflamed  
2016 rat colon several hours after TNBS administration to the colon from the anus. From 12 to 24  
2017 hours after TNBS induction, the COX-2 expression level in the colon is relatively stable and this  
2018 period of time is selected for the experiment. The inflamed colon will be perfused with HBSS  
2019 containing vector (0.1% DMSO) or different drugs. As the indicators of colonic COX-2 activity,  
2020 the concentrations of prostaglandins in the perfusate will be determined by UPLC-MS/MS  
2021 analysis. Celecoxib is employed as a positive control to validate this model. The inflamed rat  
2022 colon will be perfused with different concentrations of celecoxib for accessing its inhibitory  
2023 effect on the colonic COX-2 activity. After that, the new compounds will be tested for their  
2024 efficacies in inhibiting colonic COX-2 activity.

2025 Meanwhile, the *in situ* colon perfusion in rat can help us investigate the absorption, metabolism  
2026 and excretion of the new compounds, especially **6a1**. The absorption of **6a1** in colon can be  
2027 determined by comparing the concentration differences between the input and output perfusate.

2028 To characterize its metabolism and excretion in the liver, the bile samples of rats are collected  
2029 from the cannulated bile ducts during the *in situ* colon perfusion. Also, blood samples are  
2030 collected from the tail tip of rats hourly for determining **6a1** concentrations in the systemic  
2031 circulation during the perfusion. The perfusion study on **6a1** in the inflamed rat colon will reveal  
2032 its efficacy in inhibiting colonic COX-2, as well as the extensive first-pass metabolism and  
2033 excretion it undergoes. It will be demonstrated that **6a1** is a locally bioavailable COX-2 inhibitor  
2034 in colon, while its systemic bioavailability is extremely low. In the future, new chemical entities  
2035 sharing similar pharmacological and pharmaceutical properties with **6a1** may be developed as  
2036 safe agents for CRC chemoprevention or to treat other COX-2-related colonic diseases.

## 2037 **5.3 Materials and Methods**

### 2038 **5.3.1 Materials**

2039 All the materials were analytical grade or better. Celecoxib was purchased from LC laboratory  
2040 (Woburn, MA).  $\beta$ -glucuronidase, TNBS,  $\beta$ -CD, formic acid,  $\text{KH}_2\text{PO}_4$ ,  $\text{K}_2\text{HPO}_4$ , DMSO, NaCl,  
2041 and HCl were purchased from Sigma-Aldrich (St Louis, MO). Ethanol absolute (suitable for use  
2042 as excipient) was purchased from EMD Chemicals (Darmstadt, Germany).  $\text{PGE}_2$ ,  $\text{PGD}_2$ , 6-keto-  
2043  $\text{PGF}_{1\alpha}$ ,  $\text{TXB}_2$ , and  $\text{PGE}_2$ - $d_4$  were purchased from Cayman Chemicals (Ann Arbor, MI).  
2044 Acetonitrile,  $\text{H}_2\text{O}$ , and methanol (MS grade) were purchased from EMD Millipore (Billerica,  
2045 MA).

### 2046 **5.3.2 Animals**

2047 Male SD rats (approximately 250g) were purchased from Harlan Laboratory (Indianapolis, IN).  
2048 They had been kept in the animal facilities for at least one week before any experiment.

### 2049 **5.3.3 TNBS-induced IBD model in SD rats**

2050 The enema for colonic COX-2 induction was prepared by mixing equal volumes of 1 M TNBS  
2051 aqueous solution and 100% ethanol. After the rats were anaesthetized by isoflurane, a  
2052 polyurethane catheter (OD 2 mm) was gently inserted from the anus into the colon until the tip of  
2053 catheter was 8 cm apart from the anus, reaching the splenic flexure. Then, 0.2 mL of the enema  
2054 was injected into the rat colon by a syringe connected to the catheter. After that, the rats were  
2055 kept for 1 minute in the Trendelenburg position before they were recovered from anesthesia.  
2056 Then, the rats were kept in the cages with access to food and water as usual before we conducted  
2057 the perfusion studies.

#### 2058 **5.3.4 Preparation of the perfusate**

2059 The perfusate containing 0.1, 1, and 10  $\mu\text{M}$  celecoxib was prepared by adding the stock solutions  
2060 of celecoxib in DMSO to HBSS. The perfusate containing 10  $\mu\text{M}$  **6a1**, **6a2**, and **6a3** was prepared  
2061 by adding the stock solution of each compound in DMSO to HBSS. The final contents of DMSO  
2062 in all the perfusate were uniformly 0.1%. The perfusate was sonicated to help the solute dissolve.

2063 Before high concentration solutions were prepared, the solubility of **6a1**, **6a2** and **6a3** in HBSS  
2064 was assessed as follows: 1 mg **6a1**, **6a2** or **6a3** was added to 10 mL HBSS. The suspensions were  
2065 sonicated in a 25 °C water bath for 30 minutes and then centrifuged at 15,000  $\times$  g for 10 minutes,  
2066 and the supernatants were analyzed by UPLC-UV.

2067 The perfusate with a high **6a1** concentration was prepared as follows: to HBSS containing 4 mM  
2068  $\beta$ -CD, the stock solution of **6a1** in DMSO was added to form a 200  $\mu\text{M}$  suspension. The  
2069 suspension was sonicated thoroughly and then centrifuged at 15,000  $\times$  g for 10 minutes. The  
2070 concentration of **6a1** in the supernatant was determined as 70  $\mu\text{M}$  by UPLC-UV.

#### 2071 **5.3.5 *In situ* single-pass perfusion in inflamed rat colon**

2072 The rat surgical procedures were approved by University of Houston Institutional Animal Care  
2073 and Use Committee. 12 hours after TNBS was administrated to the rat colon, the rats were

2074 anaesthetized by an i.p. injection of 1.2 g/kg urethane and then put on a heating blanket to  
2075 maintain body temperature. Then, the rat abdominal cavity was opened by incising the midline of  
2076 abdomen and the cut was approximately 4 cm long. The cecum was carefully removed from the  
2077 abdominal cavity, and an inlet cannula was inserted into the colon at 2 cm below the junction of  
2078 the cecum and colon. After the inlet cannula was secured with a sterilized black suture, the colon  
2079 was flushed with saline pre-warmed to 37 °C. After the fecal residues in the colon was removed.  
2080 The outlet cannula was inserted into the anus. For the perfusion with 70 µM **6a1**, in addition to  
2081 the colon cannulation, the rat bile duct located near the duodenum was also cut and cannulated  
2082 with polyethylene-10 tubing. After all the surgical procedures, the cecum and colon were  
2083 carefully placed back into the abdominal cavity without any crimping or kinking. During the  
2084 perfusion, the incised rat abdomen was covered by a paper towel soaked with saline to keep the  
2085 abdominal cavity moist.

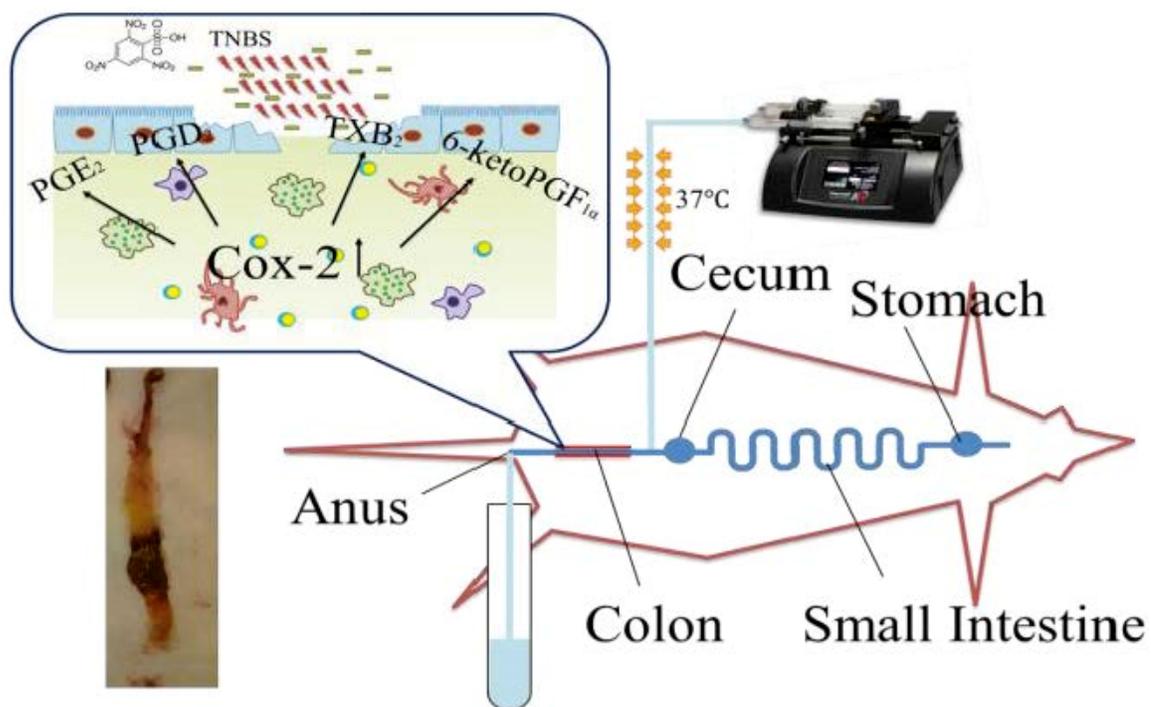
2086 The *in situ* perfusion system is shown in Figure 19. To maintain the temperature of the perfusate,  
2087 the tubings connected to the inlet cannula were kept warm by a 37 °C circulating water bath. The  
2088 perfusion was conducted by using an infusion pump (Harvard Apparatus, Cambridge, MA),  
2089 which provided a constant perfusion rate of 0.5 mL/min. In the first hour, the cannulated rat colon  
2090 was perfused with blank HBSS. After the washout hour to remove all the residual PGs, blank  
2091 HBSS was substituted by HBSS containing different drugs or vector (0.1% DMSO) and the rat  
2092 colon was perfused for another 3 hours. The outlet perfusate was continuously collected from the  
2093 rat anus. In every 20 minutes, approximately 10 mL perfusate was collected in a 15mL plastic  
2094 tube and then spiked with 2.5 ng/mL PGE<sub>2</sub>-d<sub>4</sub>. For PGE<sub>2</sub> quantitation by UPLC-MS/MS, the  
2095 perfusate samples were usually processed and analyzed within 2 hours after the perfusion study  
2096 ended. In addition, when the rat colon was perfused with 70 µM **6a1** in HBSS, 1 mL was taken  
2097 out from the inlet perfusate, as well as the outlet perfusate collected in each 15 mL plastic tube.  
2098 These samples were added 250 µL of 94% acetonitrile/6% formic acid and stored at -20 °C before

2099 they were analyzed by UPLC-UV to quantify the amount of **6a1** absorbed from the colon during  
2100 the perfusion.

2101 In perfused rats with bile duct cannulations, the bile secreted in each hour was collected in an  
2102 Eppendorf tube. The bile secretion rates varied among different rats, and were also not constant at  
2103 different time points for the same rat. In general, the volumes of bile secreted in one hour were  
2104 usually between 0.4 ~ 1.1 mL. At 0.5, 1.5, 2.5 and 3.5 hours after the perfusion with HBSS  
2105 containing celecoxib or **6a1** began, the blood samples (~ 50  $\mu$ L) were collected in heparinized  
2106 Eppendorf tubes by cutting the rat tail tips. All the bile and blood samples were stored at -80 °C  
2107 immediately after collection.

2108

2109



2110

2111 **Figure 19. The *in situ* perfusion in TNBS-treated rat colon.**

2112 TNBS-induced acute inflammation in rat colon is a common model for IBD studies. In  
2113 the inflamed colon tissues, TNBS-induced overexpression of COX-2 results in the  
2114 abundant production of PGs. In the *in situ* production, the cannulated colon was perfused  
2115 with HBSS containing compounds of interest at different concentrations. The outlet  
2116 perfusate was collected from the anus. When the inflamed rat colon was perfused with  
2117 celecoxib or **6a1**, the bile from the cannulated bile duct and the blood samples from the  
2118 tail tip were also collected for studying drug absorption, metabolism and excretion.

2119

### 2120 **5.3.6 Solid-phase extraction (SPE) of the perfusate and bile samples**

2121 The SPE of the perfusate and bile samples collected in the *in situ* perfusion study were conducted  
2122 with Speedisk® Octadecyl C<sub>18</sub> 10µm columns (J.T. Baker, NJ) and a Speedisk® 48 Positive  
2123 Pressure Processor (J.T. Baker, Phillipsburg, NJ). The columns were prepared by washing with 3  
2124 mL acetonitrile and then 3 mL H<sub>2</sub>O before sample loadings.

2125 For the perfusate, from each 15 mL plastic tube, 3 mL perfusate was loaded to a C<sub>18</sub> column. The  
2126 columns were washed with 3 mL H<sub>2</sub>O to remove the inorganic salts in HBSS, and then the PGs  
2127 were eluted with 1 mL acetonitrile. The elute was dried by nitrogen at room temperature and  
2128 stored at -80 °C until further analysis. The standard curve for quantitating PGE<sub>2</sub> was prepared by  
2129 adding different concentrations of PGE<sub>2</sub> to HBSS. The standard samples were processed the same  
2130 as the perfusate collected in the perfusion study.

2131 The weights and volumes of the bile samples were recorded before they were processed. 20 µL  
2132 bile sample was first diluted in 180 µL 50 mM KPi (pH 7.4). After a vigorous vortex, the 200 µL  
2133 diluted bile sample was divided to two equal aliquots. One aliquot was mixed with 100 µL β-  
2134 glucuronidase (200 units/mL) in 50 mM KPi (pH=7.4), while the other was mixed with the same  
2135 agent but boiled before the mixing. After the samples were incubated at 37 °C for 1 hour, they  
2136 were loaded onto C<sub>18</sub> columns. The columns were washed with 3 mL H<sub>2</sub>O to remove the  
2137 inorganic salts in HBSS, and then the analyte(s) were eluted with 1 mL acetonitrile. The elute was  
2138 dried by nitrogen in room temperature and store at -20 °C.

2139 Also, to prepare the standard curve for quantitating **6a1** in bile, the blank bile was spiked with  
2140 different concentrations of **6a1**. The standard samples were processed the same as the bile  
2141 samples collected during the perfusion study.

### 2142 **5.3.7 Quantitation of 6a1 and 6a1-glucuronide in the perfusate and bile samples by** 2143 **UPLC-UV**

2144 To characterize the **6a1** absorption during the colon perfusion with 70  $\mu$ M **6a1**, the concentrations  
2145 of **6a1** in the input and output perfusate samples were determined. The standard curves were  
2146 prepared by adding different concentrations of **6a1** to HBSS containing 4 mM  $\beta$ -CD. 1 mL of  
2147 each standard sample was added 250  $\mu$ L of 94% acetonitrile/6% formic acid. After 15 minutes of  
2148 22,000  $\times$  g centrifuge, 10  $\mu$ L of supernatant from each sample was analyzed by UPLC-UV  
2149 analysis.

2150 The dried bile extracts, both hydrolyzed and unhydrolyzed, were reconstituted in 200  $\mu$ L 25%  
2151 acetonitrile containing 0.1% formic acid. After 15 minutes of 15,000  $\times$  g centrifugation, 10  $\mu$ L  
2152 supernatant was analyzed by UPLC-UV. The concentrations of **6a1**-glucuronide in the  
2153 unhydrolyzed bile samples were determined by quantitating **6a1** in the hydrolyzed ones  
2154 correspondingly.

2155 The UPLC-UV conditions were the same as those described in 4.3.6.

### 2156 **5.3.8 Quantitation of PGE<sub>2</sub> in the concentrated perfusate by UPLC-MS/MS**

2157 Before the UPLC-MS/MS, the dried perfusate and standard samples were reconstituted in 200  $\mu$ L  
2158 25% methanol containing 0.1% formic acid. 40  $\mu$ L of each sample was injected to determine the  
2159 concentration of PGE<sub>2</sub>. The conditions and parameters in the UPLC-MS/MS analysis of PGE<sub>2</sub>  
2160 were the same as those described in 3.3.6. Three PGs other than PGE<sub>2</sub> were also detected in the  
2161 concentrated perfusate by UPLC-MS/MS, although their concentrations were not used as the  
2162 indicator of colonic COX-2 activity. The parameters of these PGs in the MS analysis were shown  
2163 in Table 2.

### 2164 **5.3.9 Quantitation of celecoxib and 6a1 in the blood samples by UPLC-MS/MS**

2165 The blood concentrations of celecoxib and **6a1** in the systemic circulation were determined by  
2166 salting-out LLE and UPLC-MS/MS. Here, the procedures were the same with those described in  
2167 4.3.8. The conditions in UPLC-MS/MS were the same with those described in 4.3.9.

2168 **5.4 Results**

2169 **5.4.1 The PGs in the perfusate**

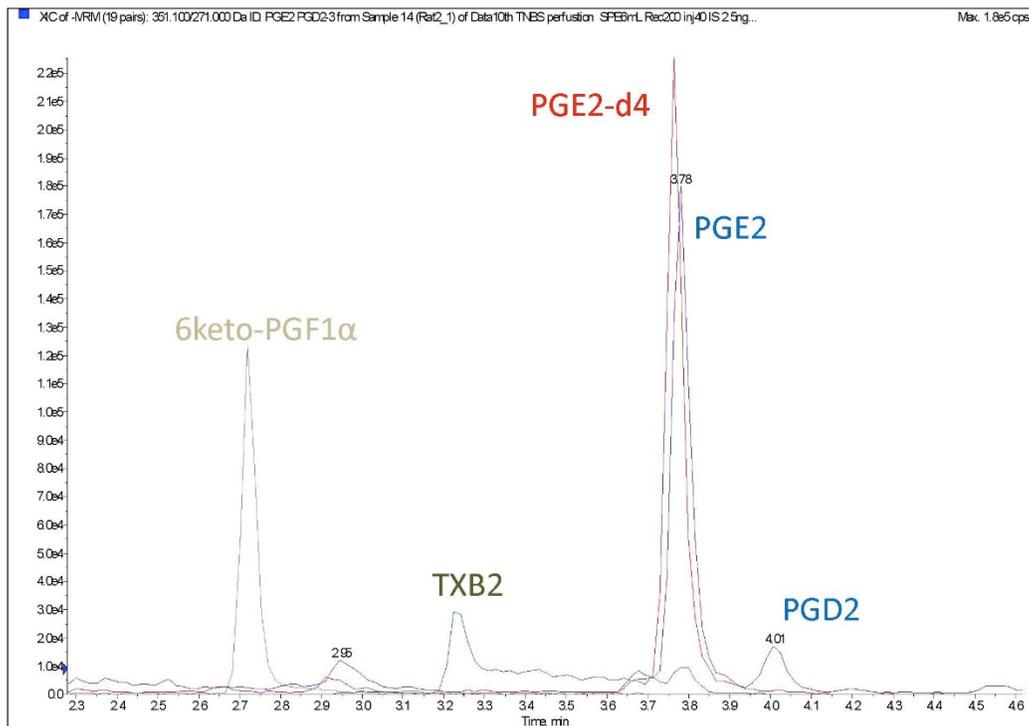
2170 After SPE, the perfusate was concentrated 15 fold and the inorganic salts in HBSS were removed  
2171 before further analysis. In the UPLC-MS/MS analysis of the concentrated perfusate from the  
2172 inflamed rat colon, four PGs could be detected as the major products derived from the COX-2  
2173 pathway: PGE<sub>2</sub>, PGD<sub>2</sub>, 6-keto-PGF<sub>1α</sub> and TXB<sub>2</sub>. Among these four PGs, PGE<sub>2</sub> was selected as the  
2174 indicator of colonic COX-2 activity because its signal was the most abundant among the four  
2175 (Figure 20). As the internal standard for PGE<sub>2</sub> quantitation, PGE<sub>2</sub>-d<sub>4</sub> have the same elution time  
2176 with PGE<sub>2</sub>.

2177

2178

2179

2180



2181

2182 **Figure 20. The PGs detected in the perfusate.**

2183 After 15-fold concentration by SPE, the PG concentrations in the perfusate was determined by

2184 LC-MS/MS. Among the four PGs derived from colonic COX-2, PGE<sub>2</sub> was found to be the most

2185 abundant signal. PGE<sub>2</sub>-d<sub>4</sub> was employed as the internal standard in the quantitation of PGE<sub>2</sub>.

2186

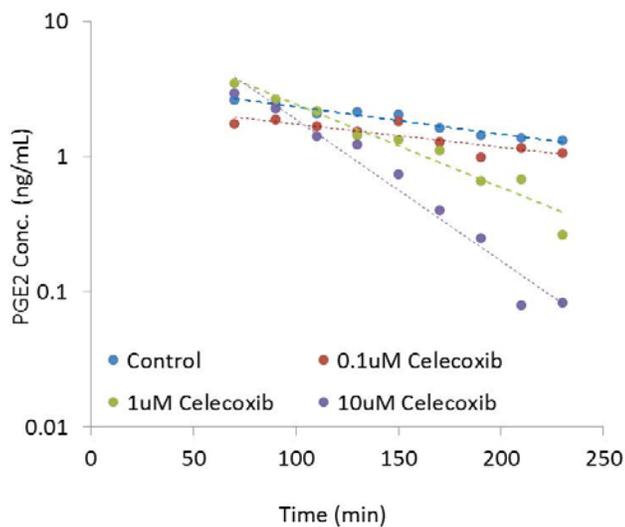
2187 **5.4.2 The effects of celecoxib on colonic COX-2 activity**

2188 In the control group perfused with HBSS containing 0.1%DMSO, the concentrations of PGE<sub>2</sub> in  
2189 the perfusate decreased during the perfusion period and its attenuation upon time could be  
2190 appropriately described as a first-order process. The rate constants and half-lives of PGE<sub>2</sub>  
2191 concentration attenuation were obtained by fitting the results to an exponential equation. In the  
2192 control group, the average half-life of PGE<sub>2</sub> attenuation was  $156 \pm 49$  minutes, while in the  
2193 groups perfused with 0.1, 1 and 10  $\mu$ M celecoxib the half-life was  $127 \pm 36$ ,  $70 \pm 19$ , and  $37 \pm 4$   
2194 minutes, respectively. 1 and 10  $\mu$ M celecoxib significantly decreased the half-life of PGE<sub>2</sub>  
2195 attenuation in the perfusate, showing the inhibitory effect of celecoxib on colonic COX-2 activity  
2196 (Figure 21, A). We also found that 0.1  $\mu$ M celecoxib slightly decreased the half-life of PGE<sub>2</sub>  
2197 attenuation, but not significantly compared with the control group. The inhibitory effect was  
2198 dependent on the celecoxib concentrations in the perfusate.

2199

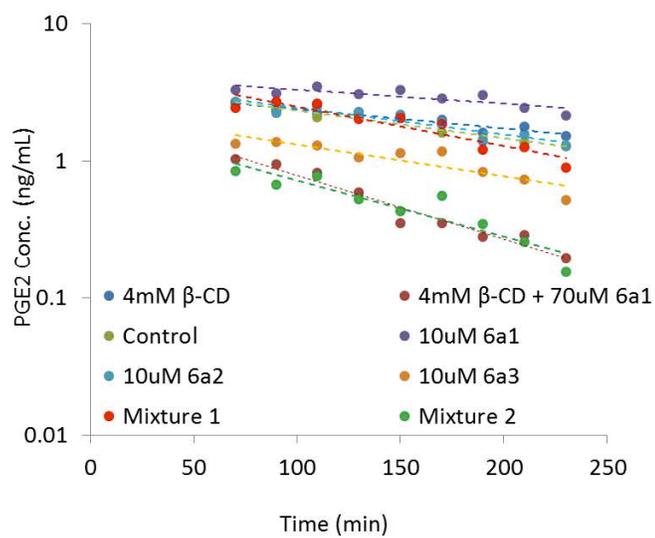
2200

2201 A



2202

2203 B



2204

2205 **Figure 21. The inhibitory effects of (A) celecoxib and (B) the new**  
2206 **compounds on colonic COX-2 activity.**

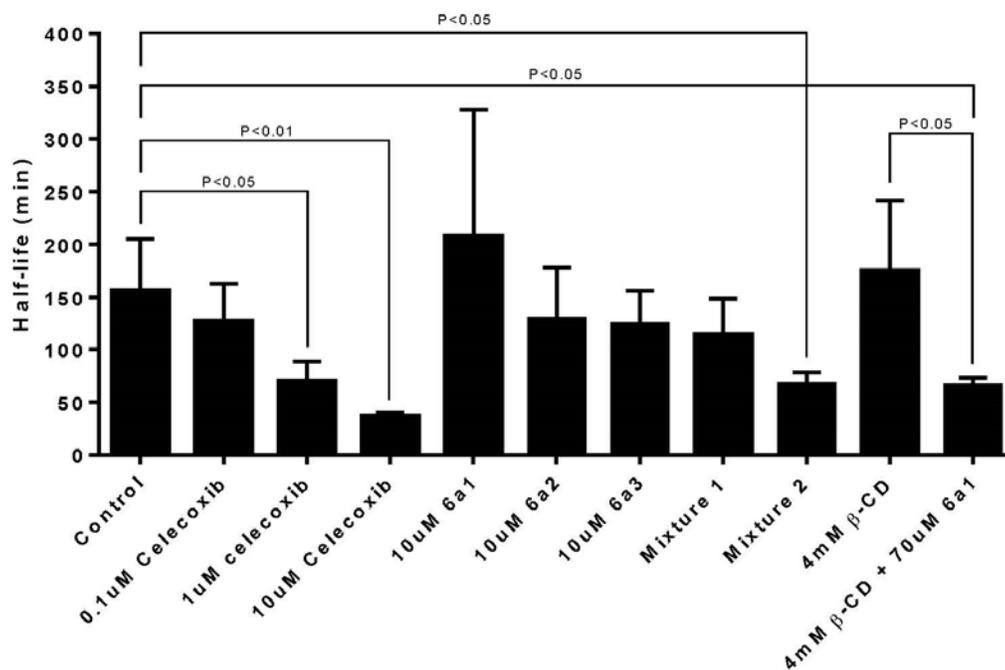
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### 2209 **5.4.3 The effects of the new compounds on colonic COX-2 activity**

2210 After the inhibitory effects of celecoxib on colonic COX-2 were confirmed, the *in situ* perfusion  
2211 experiments with HBSS containing the new compounds were conducted (Figure 21, B). In the  
2212 groups perfused with the new compounds, perfusion with 10  $\mu$ M **6a1**, **6a2** or **6a3** respectively did  
2213 not significantly alter the half-lives of PGE<sub>2</sub> concentration attenuation. When **6a1**, **6a2**, **6b2** and  
2214 **6c2** were combined in the perfusate (mixture 1, 10  $\mu$ M for each), there was mild but still not  
2215 statistically significant inhibitory effects (P=0.17 with the control group). However, the inhibitory  
2216 effect of a more comprehensive mixture of **6a1**, **6a2**, **6a3**, **6b2**, **6b3**, **6c2** and **6c3** (mixture 2, 10  
2217  $\mu$ M for each) was found to be similar to that of 1 $\mu$ M celecoxib. Considering that the new  
2218 compounds were approximately 10~50 fold less potent than celecoxib, we realized that the  
2219 compound concentrations in the perfusate were critical for exhibiting their efficacy to inhibit  
2220 colonic COX-2 in the inflamed rat colon model. However, due to the hydrophobic properties of  
2221 the new compounds, a concentration higher than 15  $\mu$ M in HBSS could hardly be achieved by  
2222 simply increasing the amount of solute in the solution. The solubilities of **6a1**, **6a2** and **6a3** in  
2223 HBSS at 25 °C were determined to be approximately 13.2, 15.0, and 10.9  $\mu$ M, respectively.  
2224 Therefore,  $\beta$ -cyclodextrin ( $\beta$ -CD), an excipient often used in pharmaceutical development, was  
2225 employed to increase the solubility of the new compounds in HBSS [158, 159]. After sonication  
2226 and subsequent centrifuge to remove undissolved substances, the solubility of **6a1** in HBSS was  
2227 successfully increased to 70  $\mu$ M in the presence of 4 mM  $\beta$ -CD. The osmotic pressure of the  
2228 solution was determined to be 290 mOsm/kg, which was physiologically appropriate for *in situ*  
2229 perfusion studies in rat colon. Perfusion with  $\beta$ -CD-formulated 70  $\mu$ M **6a1** in the inflamed rat  
2230 colon successfully and significantly decreased the half-life of PGE<sub>2</sub> attenuation to 66  $\pm$  7 min,  
2231 which was comparable to 1  $\mu$ M celecoxib (Figure 21, B). The perfusion with 4 mM  $\beta$ -CD alone  
2232 did not have any effects on PGE<sub>2</sub> production in the inflamed rat colon. The results of the efficacy  
2233 study in the inflamed rat colon were summarized and compared in Figure 22.

2234



2235

2236 **Figure 22. The half-lives of PGE<sub>2</sub> attenuation in different groups of rats.**

2237 In the *in situ* perfusion study, the inflamed rat colon was perfused with HBSS containing different

2238 compounds. The half-lives of PGE<sub>2</sub> attenuation in different groups of rats are shown. 4-6 rats in

2239 each group. P values calculated by T-test.

2240 **5.4.4 The absorption, metabolism and excretion of 6a1 in rats during the *in situ***  
2241 **perfusion**

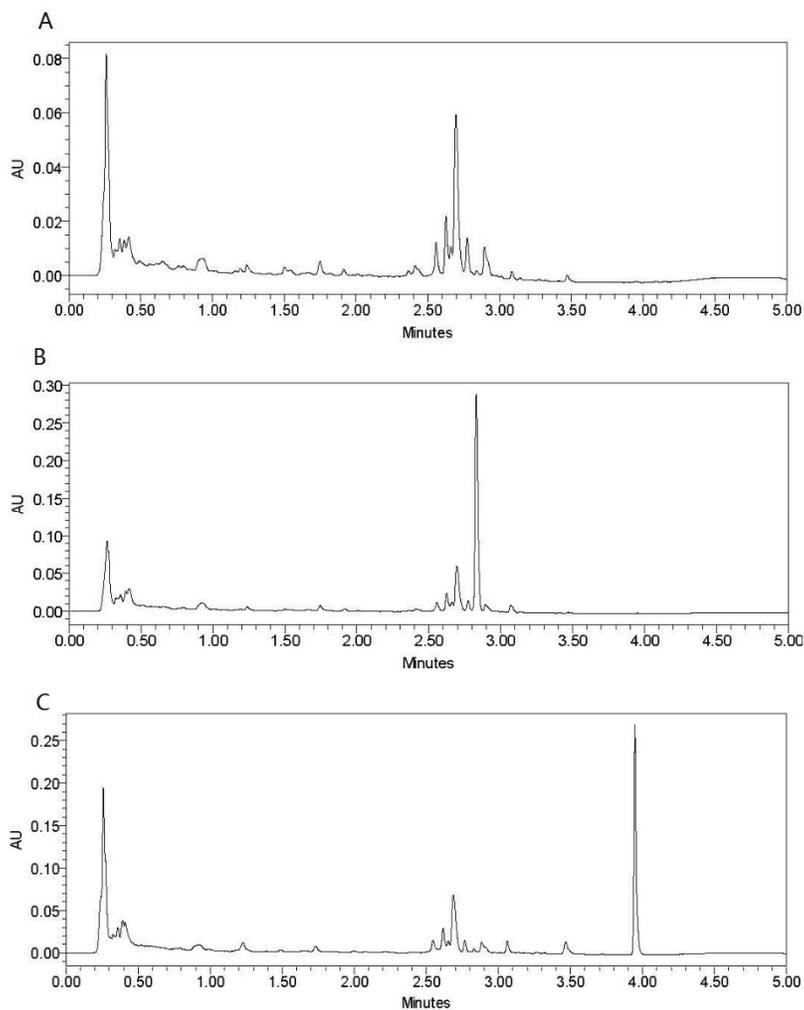
2242 The absorption, metabolism and excretion of **6a1** in rats were investigated by quantitating **6a1**  
2243 and/ or its metabolites in perfusate and bile samples by UPLC-UV analysis. By comparing the  
2244 **6a1** concentrations in the input (70  $\mu$ M) and output perfusate, the amounts of **6a1** absorbed from  
2245 the colon were calculated for each hour during the perfusion period. Approximately 13% of the  
2246 total **6a1** perfused was absorbed in the colon, illustrating that  $\beta$ -CD-formulated **6a1** could rapidly  
2247 penetrate the colonic epithelium. No **6a1** glucuronide and sulfate could be detected in the UPLC-  
2248 UV analysis of the output perfusate.

2249 In the first hour, the inflamed rat colon was perfused with blank HBSS and no **6a1** or its  
2250 metabolites were detected in blank bile extract (Figure 23, A). In the analysis of bile samples  
2251 collected after the perfusion with 70  $\mu$ M **6a1** began, a huge peak was eluted between 2.8 and 2.9  
2252 minutes, which was not observed in the analysis of blank bile extract (Figure 23, B). After the  
2253 extract was hydrolyzed by  $\beta$ -glucuronidase, the peak disappeared and a new peak of **6a1** was  
2254 shown (Figure 23, C), illustrating that **6a1** could be recovered as glucuronide in bile. **6a1**-  
2255 glucuronide concentrations in unhydrolyzed bile samples were correspondingly determined by  
2256 quantitating **6a1** in hydrolyzed bile samples using a standard curve.

2257 Based on **6a1**-glucuronide concentrations and the volumes of the bile samples, the amounts of  
2258 **6a1** excreted from liver were calculated and compared with the absorption of **6a1** in rat colon  
2259 (Figure 24). During the 2<sup>nd</sup> to 4<sup>th</sup> hour of perfusion, approximately 75% (on average) of the total  
2260 **6a1** absorbed in colon could be recovered from bile as glucuronide. In the 2<sup>nd</sup> hour, the amount of  
2261 **6a1** absorbed from colon was found to be much higher than the amount of **6a1**-glucuronide  
2262 excreted from liver. In the 3<sup>rd</sup> and 4<sup>th</sup> hours, the amount absorbed was found to be approximately  
2263 equal to that of excretion. The result illustrated that after the absorption, metabolism, and

2264 excretion rates reached the steady state, the first-pass effects in liver prevented almost 100% of  
2265 **6a1** from entering the systemic circulation.

2266



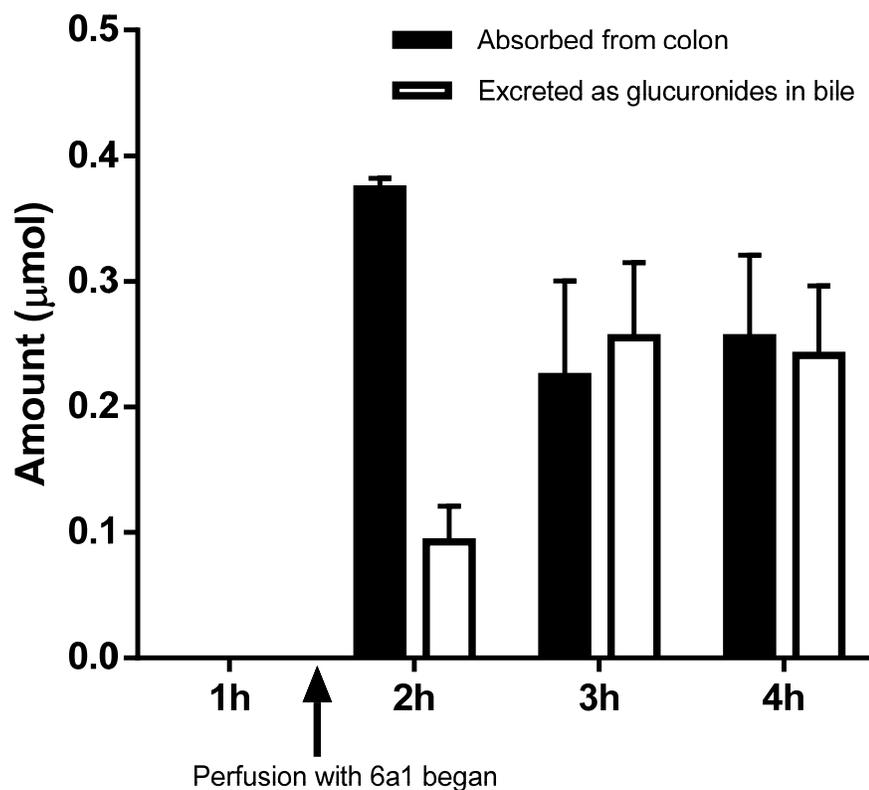
2267

2268 **Figure 23. Excretion of 6a1-glucuronide in bile.**

2269 The UPLC-UV chromatography of (A) the extract of blank bile (collected during the perfusion  
 2270 with blank HBSS) (B) the extract of a bile sample collected when the inflamed rat colon was  
 2271 perfused with 70 μM **6a1** (C) the extract of the same bile sample in B but after hydrolysis by β-  
 2272 glucuronidase.

2273

2274



2275

2276 **Figure 24. The absorption and excretion of 6a1 in colon-perfused SD**  
2277 **rats.**

2278 In the 1st hour, the inflamed rat colon was perfused with blank HBSS. From the 2nd hour,  
2279 perfusion with 70  $\mu\text{M}$  **6a1** began. The amount of **6a1** absorbed in each hour was determined by  
2280 comparing the **6a1** concentrations in the inlet and outlet perfusate. The amount of **6a1** excreted in  
2281 bile as glucuronide in each hour was determined as described.

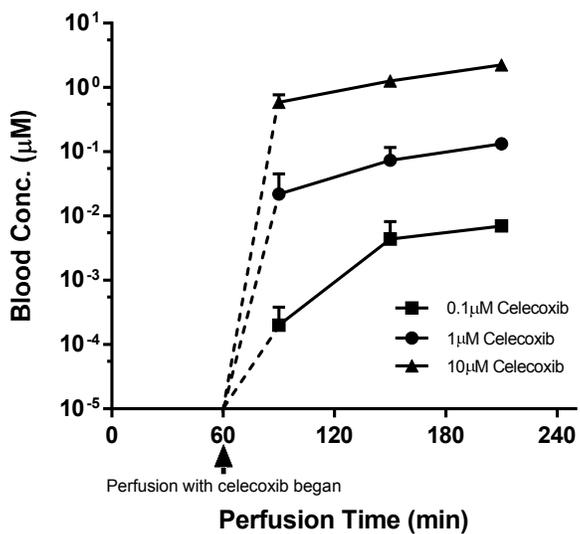
2282

2283 **5.4.5 Celecoxib and 6a1 concentrations in the systemic circulation during *in situ***  
2284 **perfusion**

2285 Quantitation of celecoxib in the blood samples collected from rat tail revealed that celecoxib were  
2286 accumulated in the systemic circulation during the perfusion of rat colon with 0.1, 1, and 10  $\mu\text{M}$   
2287 celecoxib in HBSS (Figure 25, A). Considering the strong potency of celecoxib in inhibiting  
2288 COX-2 activity, the blood concentration of celecoxib (0.15 $\mu\text{M}$ ) was 10~50 fold greater than its  
2289  $\text{IC}_{50}$  value when the rat colon was perfused with 1 $\mu\text{M}$  celecoxib which was the lowest  
2290 concentration required to inhibit colonic COX-2 in our study. In contrast, when the inflamed  
2291 colon was perfused with 10  $\mu\text{M}$  or 70  $\mu\text{M}$  ( $\beta$ -CD-formulated) **6a1**, the **6a1** blood concentration  
2292 achieved a plateau soon after the perfusion began (Figure 25, B), and no phase II metabolites of  
2293 **6a1** were detected in the circulation.  $\beta$ -CD enhanced the solubility and absorption of **6a1**, and  
2294 also increased its blood concentration. The abundance of **6a1**-glucuronide in bile and the scarcity  
2295 of **6a1** in the circulation indicated that only less than 0.5% of **6a1** absorbed in the colon escaped  
2296 first-pass metabolism. When the rat colon was perfused with 70  $\mu\text{M}$  **6a1**, the blood concentration  
2297 of **6a1** (0.08  $\mu\text{M}$ ) was much lower than its  $\text{IC}_{50}$  on COX-2 activity. The perfusion with 1  $\mu\text{M}$   
2298 celecoxib or 70  $\mu\text{M}$  **6a1** equivalently inhibited COX-2 activity in the inflamed rat colon, but the  
2299 latter possessed a much lower systemic exposure potentially giving a better safety index for the  
2300 cardiovascular system.

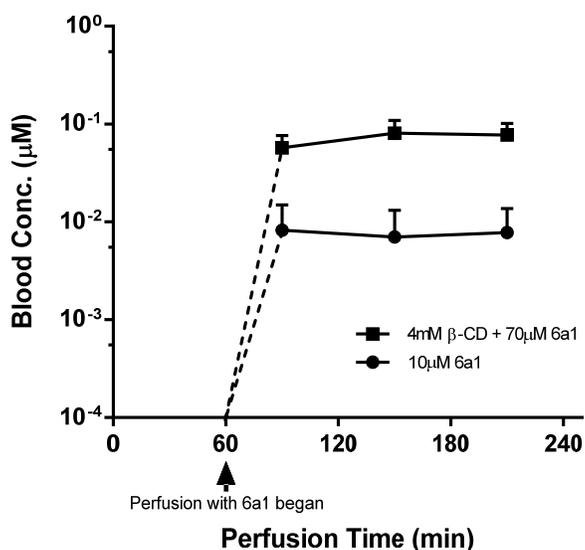
2301

2302 A



2303

2304 B



2305

2306 **Figure 25. The blood concentration of celecoxib and 6a1 in the systemic**  
2307 **circulation during the *in situ* perfusion.**

2308 In the 1<sup>st</sup> hour, the inflamed rat colon was perfused with blank HBSS. From the 2<sup>nd</sup> hour,  
2309 perfusion with celecoxib or **6a1** began. At 1.5, 2.5 and 3.5 h, the blood samples were collected  
2310 from the rat tail tip and the concentrations of celecoxib or **6a1** were determined.

## 2311 **5.5 Discussion**

2312 The long-term goal in this project is to develop novel COX-2 inhibitors as effective and safe  
2313 agents in CRC chemoprevention. In the future, after substantial *in vitro* studies, eventually one or  
2314 several promising new compounds will be tested for their efficacies to prevent CRC in animal  
2315 models.

2316 At the current stage of this project, after the *in vitro* characterizations, the inhibitory effects of the  
2317 new compounds on colonic COX-2 activity was further investigated in rats to fully support the  
2318 concept of locally bioavailable COX-2 inhibitors. However, in healthy rats, no COX-2 protein  
2319 was expressed in normal colonic tissues [160]. In this chapter, TNBS was used to induce acute  
2320 inflammation in rat colon, in which a high level of COX-2 expression can be detected several  
2321 hours after induction [161].

2322 In pharmaceutical sciences, the *in situ* perfusion studies were often conducted to investigate the  
2323 drug absorption, metabolism and excretion in the intestine of experimental animals or human  
2324 [162]. In this project, for the first time, the *in situ* perfusion was performed in the inflamed rat  
2325 colon to test the pharmacological effects of certain compounds. During the perfusion, the colonic  
2326 COX-2-derived PGs were released into the perfusate from the inflamed colon. The induced COX-  
2327 2 activity was sufficient to produce enough PGs, to allow precise quantitation by LC-MS/MS  
2328 after the perfusate was concentrated 15-fold by SPE.

2329 Before evaluating the new compounds, celecoxib was tested for its inhibitory effects on colonic  
2330 COX-2 activity in this model as the positive control. When the inflamed rat colon was perfused  
2331 with blank HBSS, the PGE<sub>2</sub> concentration in the perfusate was shown to decrease over time. The  
2332 attenuation of PGE<sub>2</sub> in the perfusate could be appropriately described as a first-order process.  
2333 When the inflamed rat colon was perfused with HBSS containing different concentrations of  
2334 celecoxib, the PGE<sub>2</sub> concentrations in the perfusate was not influenced immediately, but the

2335 attenuation half-life was significantly shortened by 1 and 10  $\mu\text{M}$  celecoxib in the perfusate. The  
2336 effect of celecoxib on COX-2 activity in the inflamed rat colon was dependent on its  
2337 concentration in the perfusate. Although the  $\text{IC}_{50}$  of celecoxib was only 3 nM in cell-base assays,  
2338 0.1  $\mu\text{M}$  celecoxib in the perfusate was still not sufficient to significantly alter the half-life of  
2339  $\text{PGE}_2$  attenuation.

2340 Considering the divergence in celecoxib potency between the cell-based assays and the *in situ*  
2341 colon perfusion, it was not surprising for the researchers to see that 10  $\mu\text{M}$  **6a1**, **6a2** or **6a3** in the  
2342 perfusate did not have significant impacts on the half-life of  $\text{PGE}_2$  attenuation. It was recognized  
2343 that the **6a1**, **6a2** or **6a3** concentrations in the perfusate must be much higher than 10  $\mu\text{M}$  to show  
2344 their efficacies. However, the concentrations of the new compounds were limited by their  
2345 solubility in HBSS. With the help of 4 mM  $\beta\text{-CD}$ , a concentration of 70  $\mu\text{M}$  was achieved for **6a1**  
2346 in HBSS and it significantly decreased the half-life of  $\text{PGE}_2$  attenuation. The efficacy of 70  $\mu\text{M}$   
2347 **6a1** was shown to be similar to that of 1  $\mu\text{M}$  celecoxib, in accordance with the results in cell-  
2348 based assays in which **6a1** was much less potent than celecoxib.

2349 Simultaneously, the *in situ* perfusion in inflamed rat colon also provided an opportunity for  
2350 investigating the absorption, metabolism and excretion of the new compounds in rats. When the  
2351 rats were perfused with  $\beta\text{-CD}$ -formulated **6a1**, the **6a1** concentrations in the inlet (70  $\mu\text{M}$ ) and  
2352 outlet perfusates were compared, and the results showed that on average 13% of **6a1** in the inlet  
2353 perfusate was absorbed in rat colon. No phase II metabolites were detected in the outlet perfusate  
2354 by UPLC-UV analysis, illustrating that **6a1** were not efficiently metabolized and/or excreted in  
2355 the colon. When the bile extracts were analyzed by UPLC-UV, a large amount of **6a1** was  
2356 recovered as **6a1**-glucuronide, showing that **6a1** is an excellent substrate of Ugt<sub>s</sub> in the rat liver.  
2357 In the 3<sup>rd</sup> and 4<sup>th</sup> hours of perfusion, the absorbed and excreted amounts of **6a1** were similar to  
2358 each other, revealing that the metabolism and excretion of **6a1** in liver were efficient enough to  
2359 eliminate almost all the **6a1** absorbed before they entered the systemic circulation. These results

2360 were in accordance with the high glucuronidation rate of **6a1** by rat liver microsomes *in vitro*, and  
2361 also further explained the poor oral bioavailability of **6a1** in the PK study.

2362 When celecoxib was perfused in the rat colon, the blood concentrations of celecoxib in the  
2363 systemic circulation was always increasing during the perfusion. Celecoxib was accumulated in  
2364 the blood because its elimination rate was lower than its absorption rate. Thus the blood  
2365 concentration of celecoxib was 10-fold higher than its  $IC_{50}$  even when only 0.1  $\mu$ M celecoxib was  
2366 in the perfusate. In contrast, when the rat colon was perfused with either 10 or 70  $\mu$ M **6a1**, the  
2367 **6a1** blood concentrations were relatively stable throughout the perfusion period. More  
2368 importantly, the **6a1** blood concentrations were much lower than its  $IC_{50}$ . 1  $\mu$ M celecoxib and 70  
2369  $\mu$ M **6a1** in the perfusate had similar inhibitory effects on COX-2 activity in the inflamed colon.  
2370 However, the blood concentrations of celecoxib were 30-fold higher than its  $IC_{50}$ . Thus,  
2371 compared with **6a1**, celecoxib was much more likely to cause severe adverse effects to the  
2372 cardiovascular systems. The locally bioavailable COX-2 inhibitors like **6a1** are less likely to  
2373 show the cardiovascular toxicity since its systemic exposure is less.

2374 Although the *in situ* perfusion study has demonstrated **6a1** can inhibit colonic COX-2 activity,  
2375 more efforts are still required to confirm its efficacy in CRC chemoprevention. In the future, the  
2376 efficacy of a locally bioavailable COX-2 inhibitor in CRC chemoprevention will be investigated  
2377 in the rat model and compared with that of celecoxib. This will be a multiple-dose study for both  
2378 celecoxib and the locally bioavailable COX-2 inhibitors. The concentration in the systemic  
2379 circulation will be compared between the groups in which similar efficacies are observed for the  
2380 two agents. Also, factors other than  $IC_{50}$  values must be brought into consideration. For example,  
2381 celecoxib is a drug with extensive plasma protein binding (97%), and the free fraction of  
2382 celecoxib is more relevant to the inhibition of COX-2 activity in the vascular endothelium [163].  
2383 Thus the protein binding of the locally bioavailable COX-2 inhibitors should also be investigated  
2384 for a more reasonable comparison.

2385 Meanwhile, in the future of this project, based on the current studies, we are going to design new-  
2386 generation locally bioavailable COX-2 inhibitors. They will inherit the metabolic properties of  
2387 **6a1**. However, the next-generation inhibitors will be more potent in inhibiting COX-2 activity  
2388 than **6a1**. Compared with **6a1**, a lower dose will be required for the next-generation inhibitors to  
2389 inhibit the colonic COX-2 activity. When a lower dose is administrated, less amount of the  
2390 inhibitor can enter the systemic circulation and the blood concentration can be accordingly  
2391 decreased, which will further improve the cardiovascular safety.

2392

## 2393 **Chapter 6** **Summary**

2394 The selective COX-2 inhibitors, especially celecoxib and rofecoxib, were blockbuster drugs in the  
2395 market. Due to the important role of COX-2 in CRC development, the effectiveness of celecoxib  
2396 and rofecoxib in CRC therapy and chemoprevention was confirmed in clinical trials. However,  
2397 these drugs were not used in CRC chemoprevention because of their severe cardiovascular  
2398 toxicity. The long-term goal in the projects is to develop new selective COX-2 inhibitors which  
2399 can be used as safe agents in CRC chemoprevention. Inspired by the experience in studying the oral  
2400 bioavailability of phenolic drugs and natural products, we wanted to develop selective COX-2  
2401 inhibitors devoid of systemic bioavailability. Thus at the current stage, the objective in this  
2402 project is to develop new compounds which inhibit colonic COX-2 activity but are not systemic  
2403 bioavailable.

2404 The approach to reduce the systemic bioavailability is taking advantage of phase II metabolism.  
2405 Thus, we selected celecoxib as the template and its structure was modified to be substrate of  
2406 phase II metabolism enzymes. To keep the inhibitory effects on COX-2, we consulted the  
2407 previous SAR studies of celecoxib and designed a series of new compounds with phenolic groups  
2408 appropriately incorporated in their structures. Eight compounds were successfully synthesized  
2409 and purified. Their structures were verified by NMR. The inhibitory effects of the new  
2410 compounds on COX-2 activity were first assessed in two cell-based assays. We found that the  
2411  $IC_{50}$  values determined in the cell-based assays may be biased, especially when the cells were  
2412 incubated with the compound for a long period time and metabolism occurred. We also  
2413 confirmed the inhibitory effects of the new compounds on recombinant human COX-2 protein. In  
2414 general, the new compounds are COX-2 inhibitors with  $IC_{50}$  values lower than 0.2  $\mu$ M, although  
2415 they are much less potent than celecoxib.

2416 Then we studied the metabolic properties of the new compounds. *In vitro* characterization were  
2417 conducted by employing liver or colon subcellular fractions including microsomes and S9  
2418 fractions prepared from rat. The phase II metabolism rates of the new compounds were found to  
2419 be dependent on the phenolic group species in their structures. Also, the conjugation rates of the  
2420 new compounds by rat liver subcellular fractions were compared with those by rat colon  
2421 subcellular fractions. When selecting the lead compound, the new compounds which were  
2422 conjugated rapidly in rat liver but slowly in rat colon were favored. **6a1** was selected as the lead  
2423 compound for the following studies in rats. Meanwhile, to see whether the results from rats could  
2424 be extrapolated to human, we also employed human liver and intestine subcellular fractions to  
2425 conjugate the new compounds *in vitro*. In the phase II metabolism of these new compounds, the  
2426 most notable species difference between rats and human was that in human, the colon S9  
2427 fractions produced sulfates much more efficiently than liver S9 fractions. When **6a1** was applied  
2428 to the Caco-2 monolayer model, the predominant metabolite was **6a1**-sulfate, indicating that a lot  
2429 of **6a1**-sulfate may be generated *in vivo* in human colon. In the future, when the studies in rats are  
2430 translated to human, the phenolic group species in the structure should be modified to avoid the  
2431 extensive sulfation in human colon. For example, the neighboring position of –OH of **6a1** will be  
2432 substituted with various groups (e.g., alkyl groups, ether groups, halogen atoms or other  
2433 functional groups) and the effects of such substitutions on the phase II metabolism rates will be  
2434 determined. The aim will be to find a substitution which can largely decrease the sulfation rate of  
2435 **6a1** in human intestine/colon without impairing its glucuronidation rate in human liver. The  
2436 subcellular fractions prepared from human tissues will be employed in the *in vitro* screening for  
2437 such a substitution.

2438 We also verified the inhibitory effect of **6a1** on COX-2 activity in inflamed rat colon. The  
2439 cannulated rat colon was perfused with HBSS containing compounds of interest at different  
2440 concentrations after the acute inflammation is induced in rat colon by TNBS. In the perfusate, the

2441 PGE<sub>2</sub> concentration was quantitated as the indicator of colonic COX-2 activity. The inhibitory  
2442 effects of celecoxib on colonic COX-2 in this model were shown to be dependent on its  
2443 concentrations in the perfusate. 1 and 10 μM celecoxib significantly accelerated PGE<sub>2</sub> attenuation,  
2444 while 70 μM **6a1** had similar effects with 1 μM celecoxib. During the perfusion of celecoxib, its  
2445 blood concentrations in the systemic circulation was always increasing and could be tens of fold  
2446 higher than its IC<sub>50</sub> on COX-2 activity. In contrast, the blood concentration of **6a1** was much  
2447 lower than its IC<sub>50</sub> during the perfusion of 70 μM **6a1**. The analysis of bile samples of rats  
2448 illustrated that the extensive glucuronidation and excretion in rat liver prevented almost all the  
2449 absorbed **6a1** from entering the systemic circulation.

2450 So far, we have provided support for the concept of locally bioavailable COX-2 inhibitors. Also,  
2451 the results have illustrated that the bioavailability of these compounds can be manipulated by  
2452 altering the phenolic groups in their structures. In the future, compared with **6a1**, the next-  
2453 generation locally bioavailable COX-2 inhibitors require improved potency to inhibit COX-2  
2454 activity and even lower oral bioavailability. The lead compounds among the next-generation  
2455 locally bioavailable COX-2 inhibitors will be further investigated for their efficacies in CRC  
2456 chemoprevention in relevant animal models. Eventually, we wish that the locally bioavailable  
2457 COX-2 inhibitors can be developed as a class of safe and effective agents which can be used in  
2458 CRC chemoprevention in human.

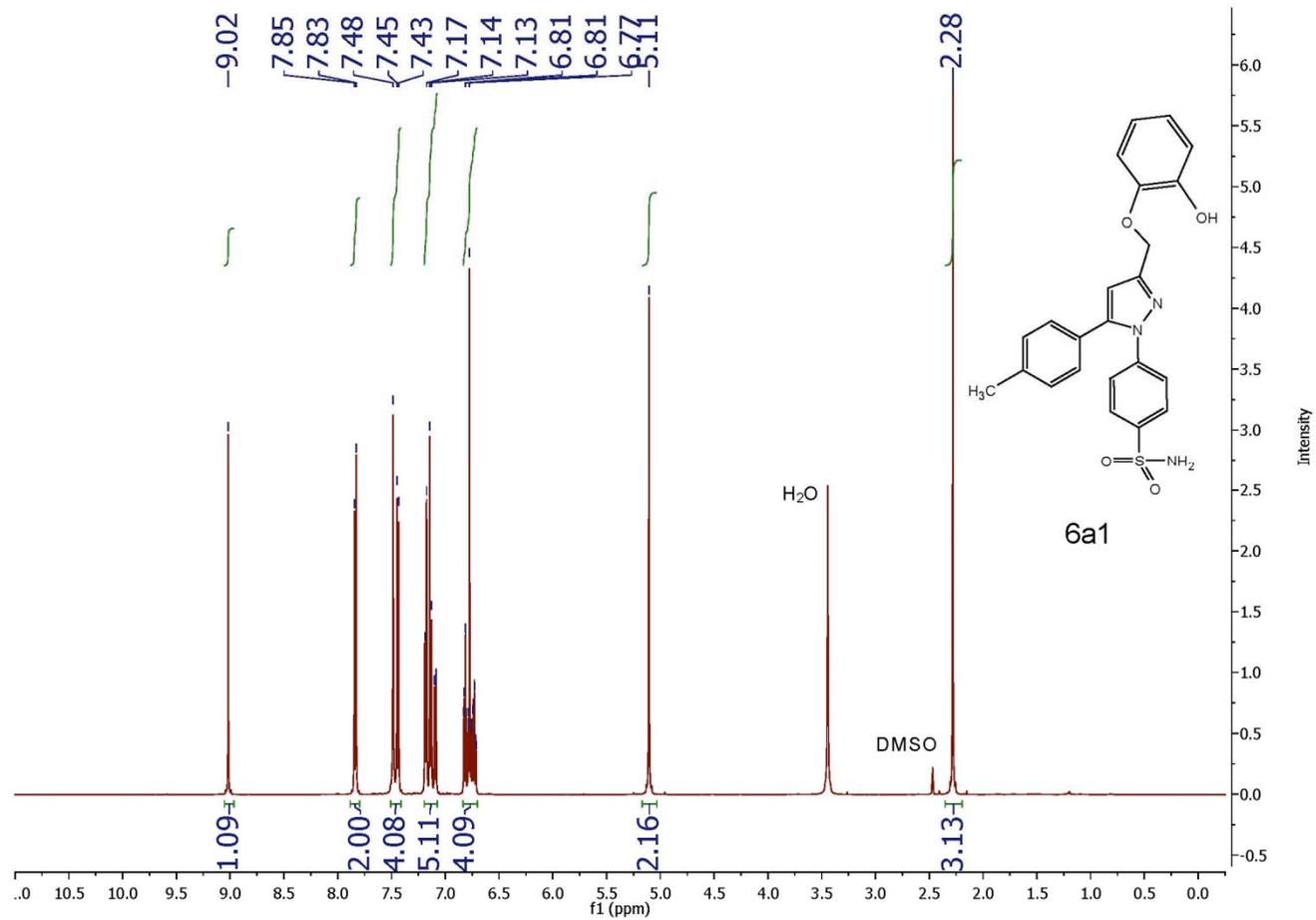
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## APPENDIX A: NMR SPECTRA OF THE FINAL PRODUCTS IN THE CHEMICAL SYNTHESIS

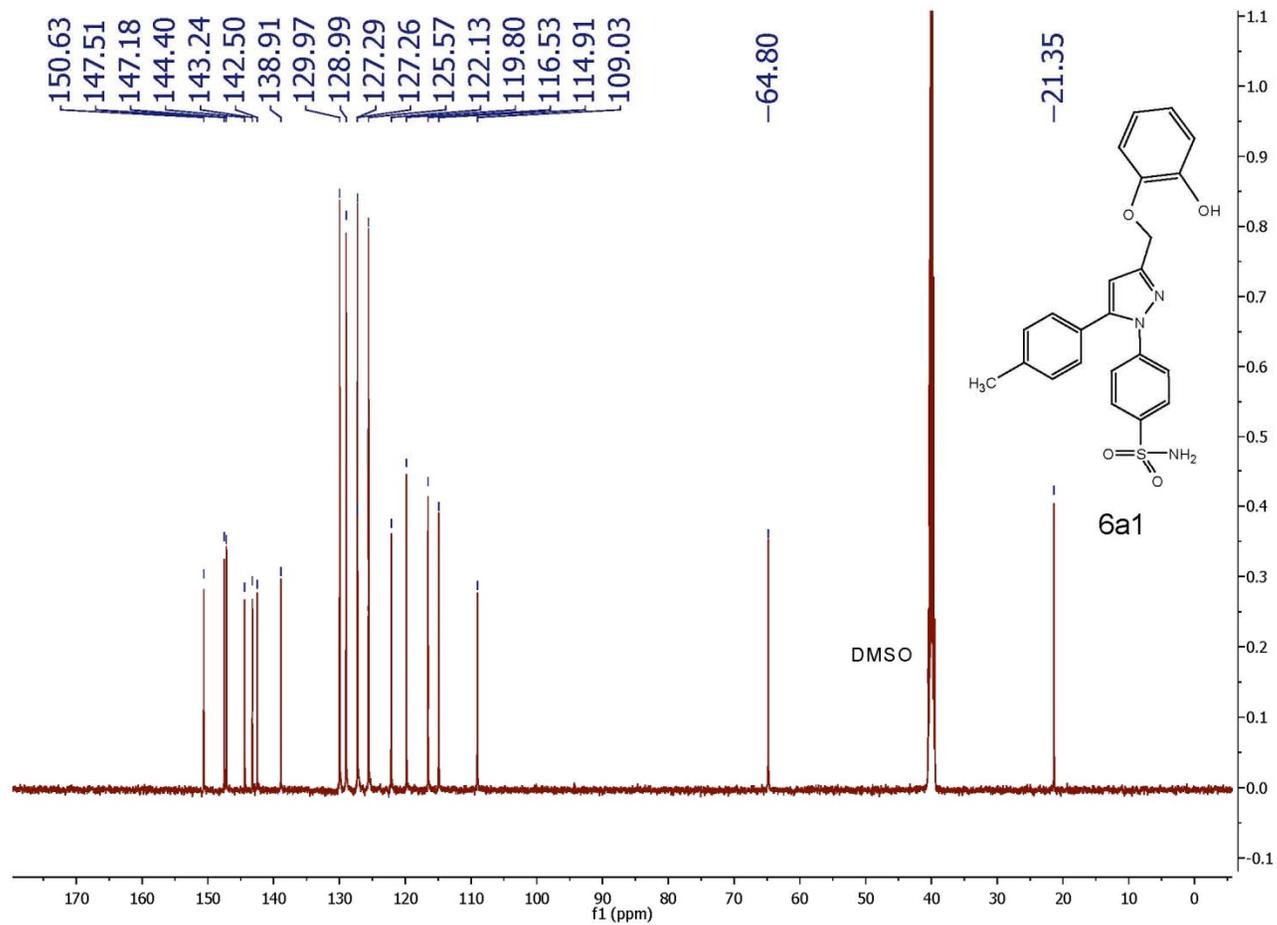


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<sup>1</sup>H-NMR spectra of **6a1**



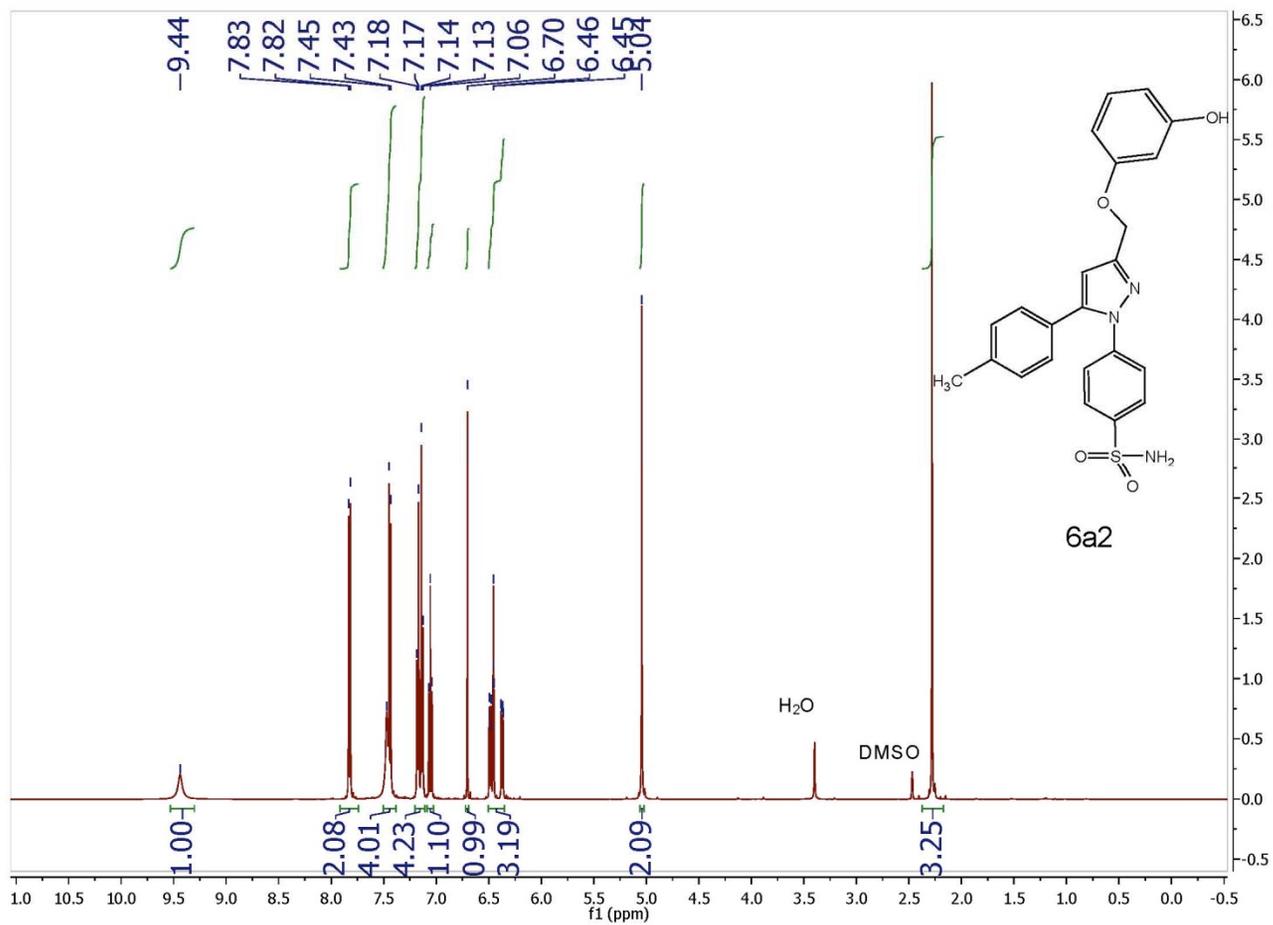
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$^{13}\text{C}$ -NMR spectra of **6a1**



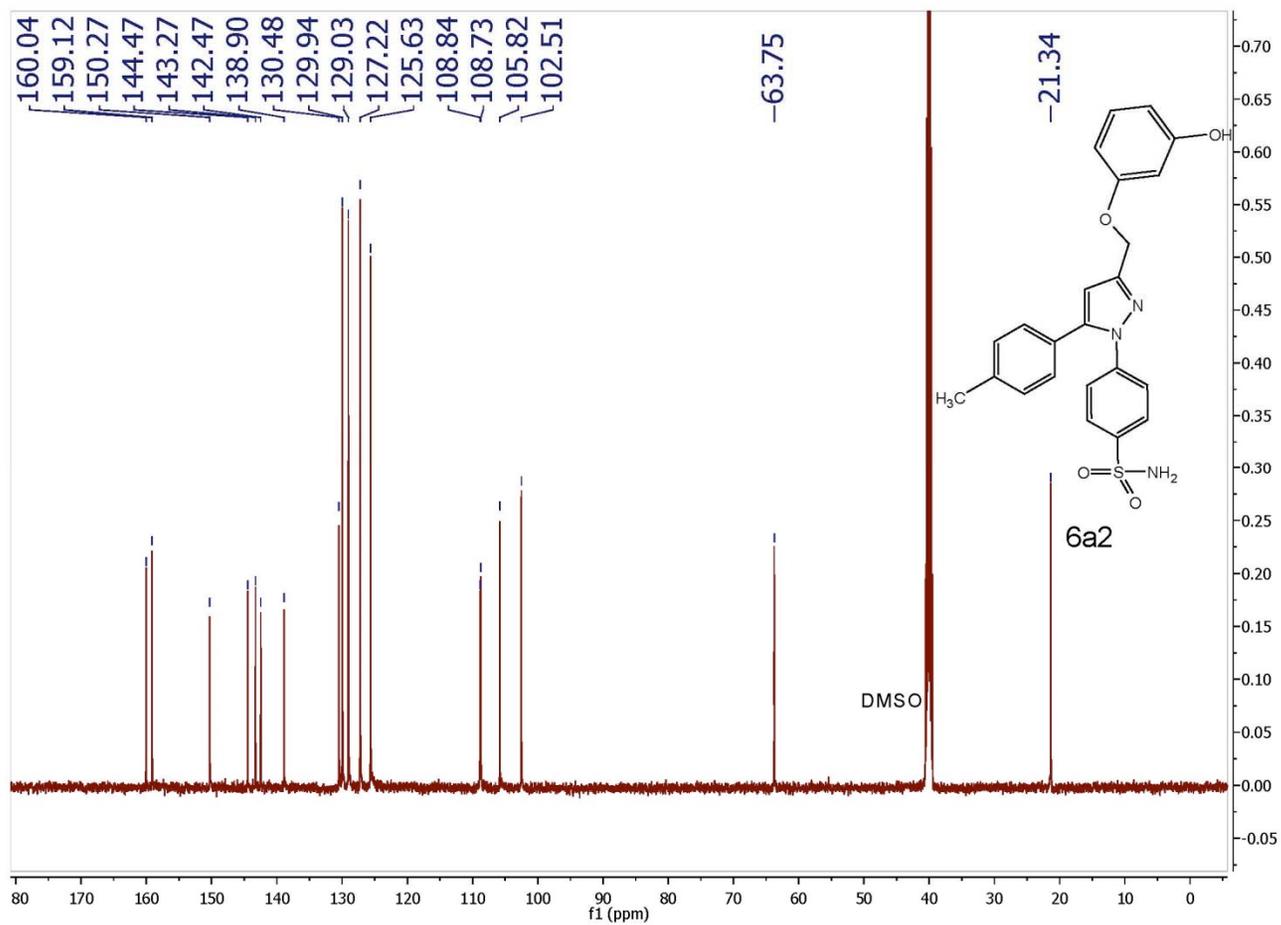
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<sup>1</sup>H-NMR spectra of **6a2**



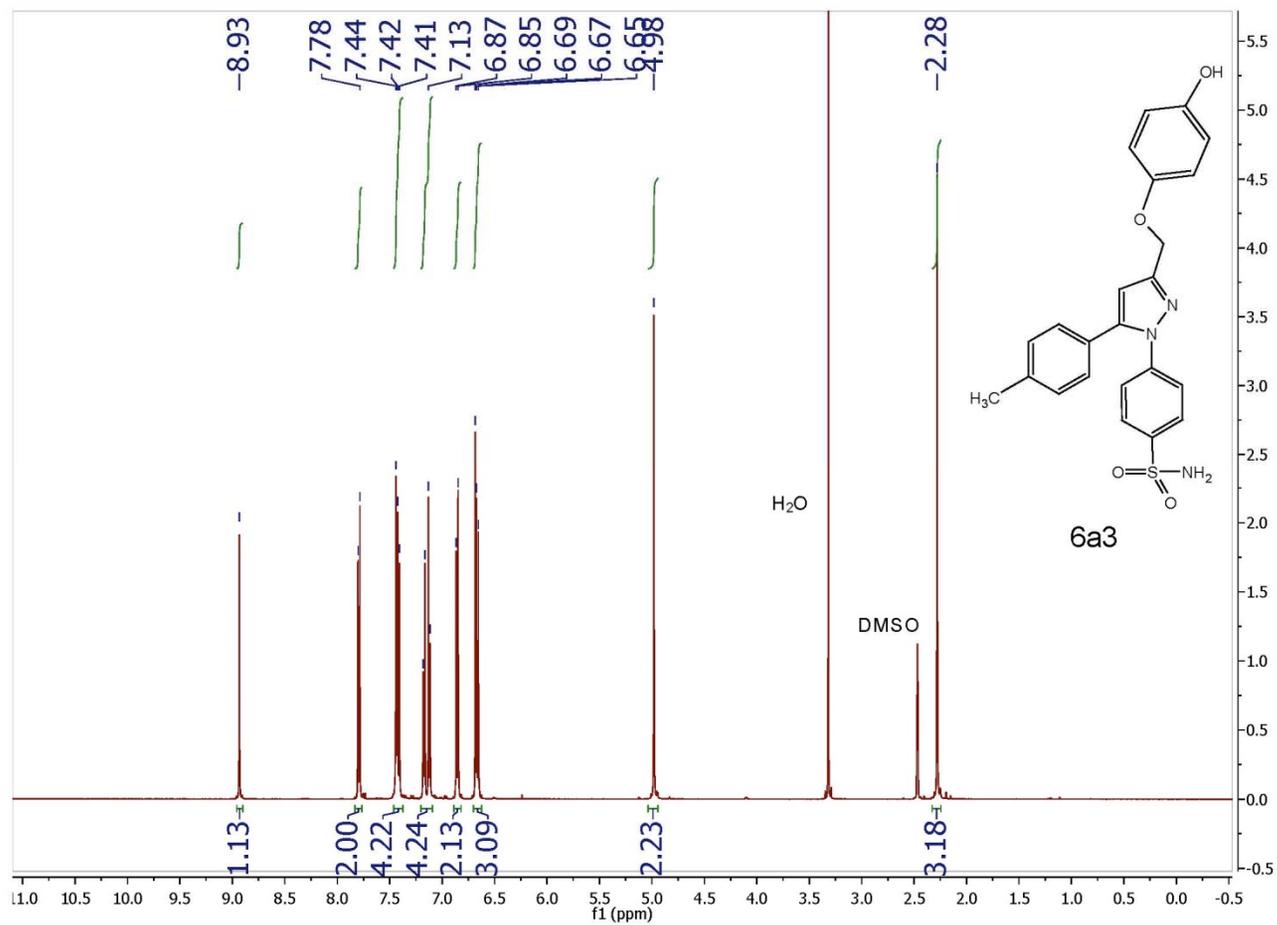
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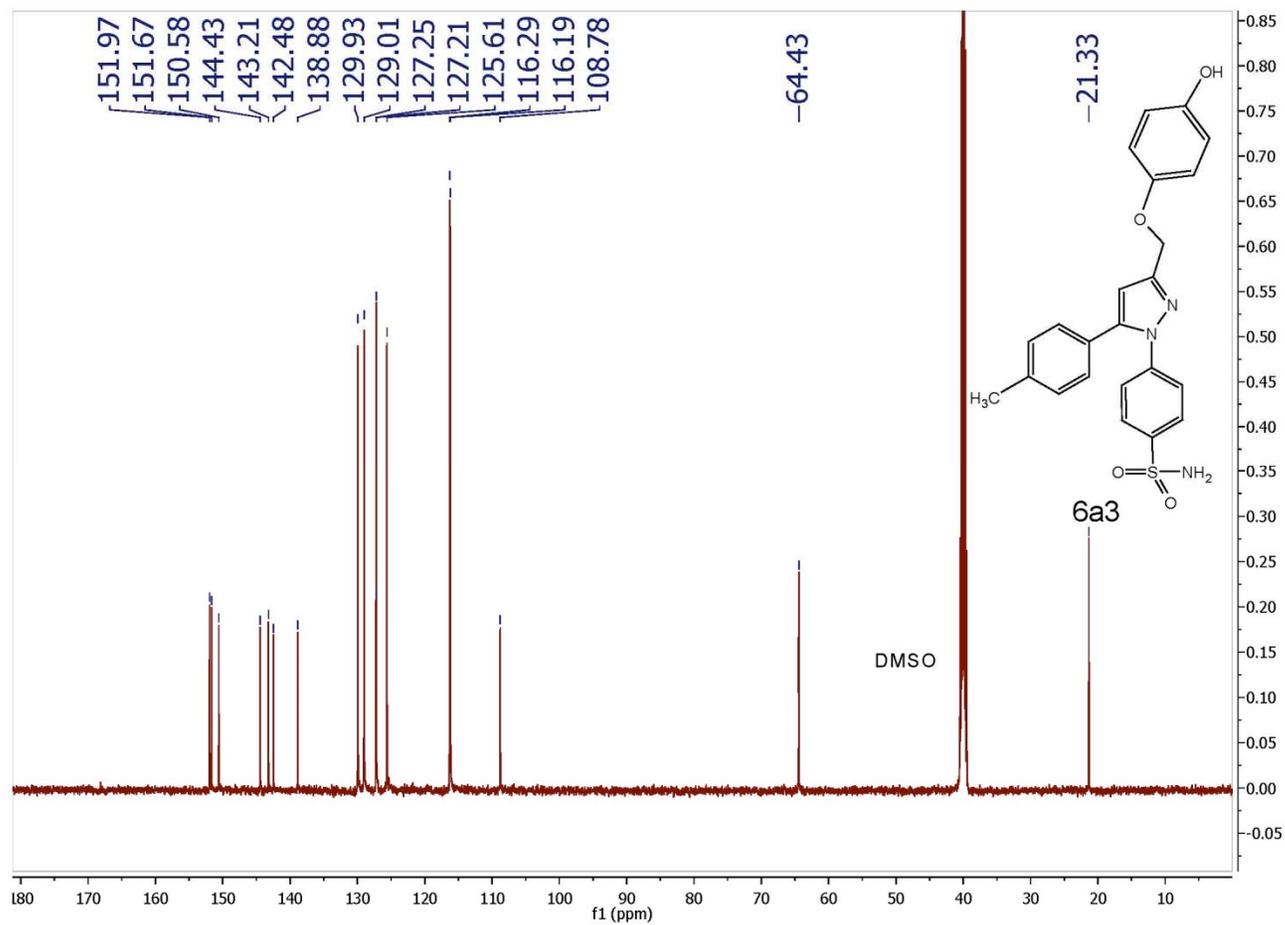
<sup>13</sup>C-NMR spectra of **6a2**



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<sup>1</sup>H-NMR spectra of **6a3**

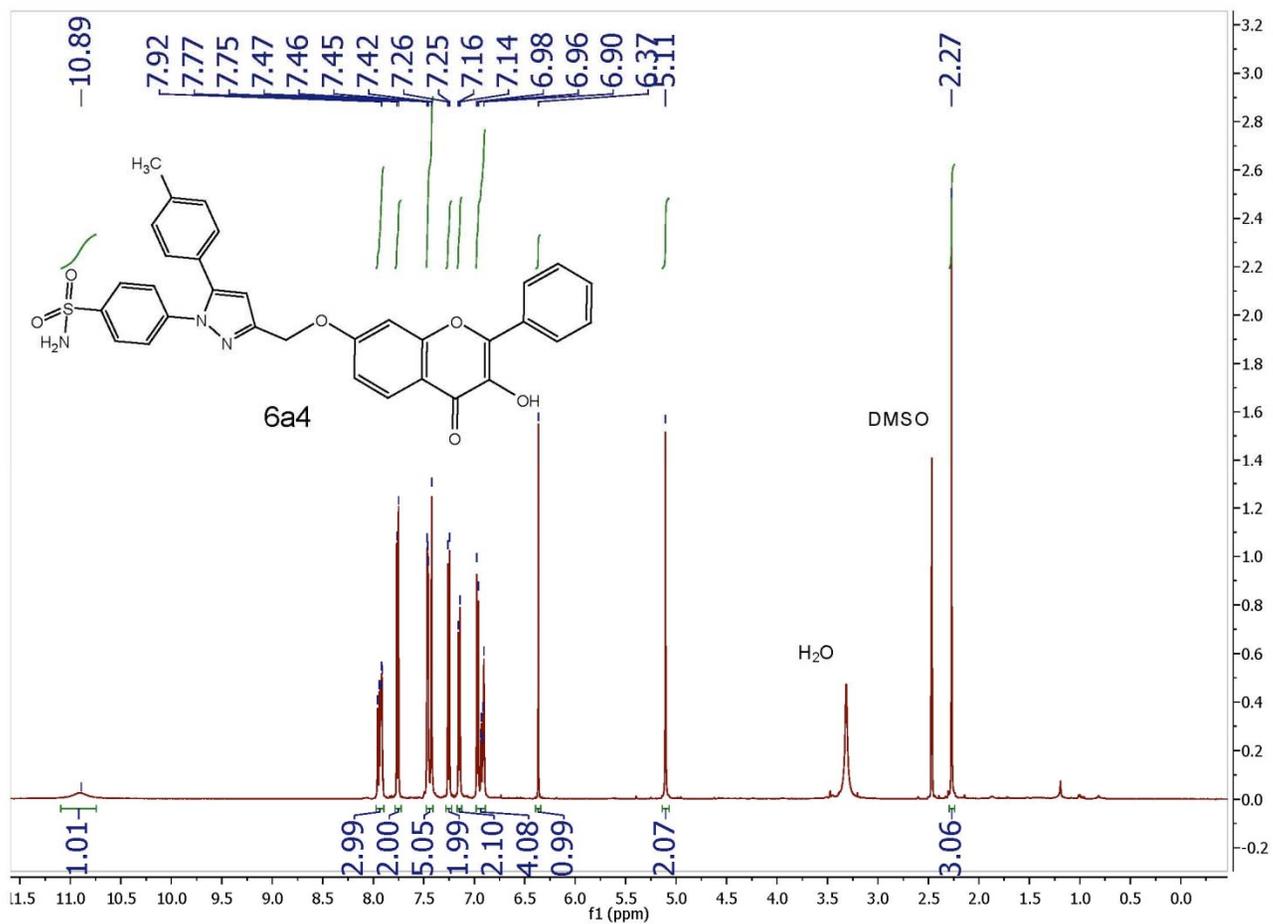


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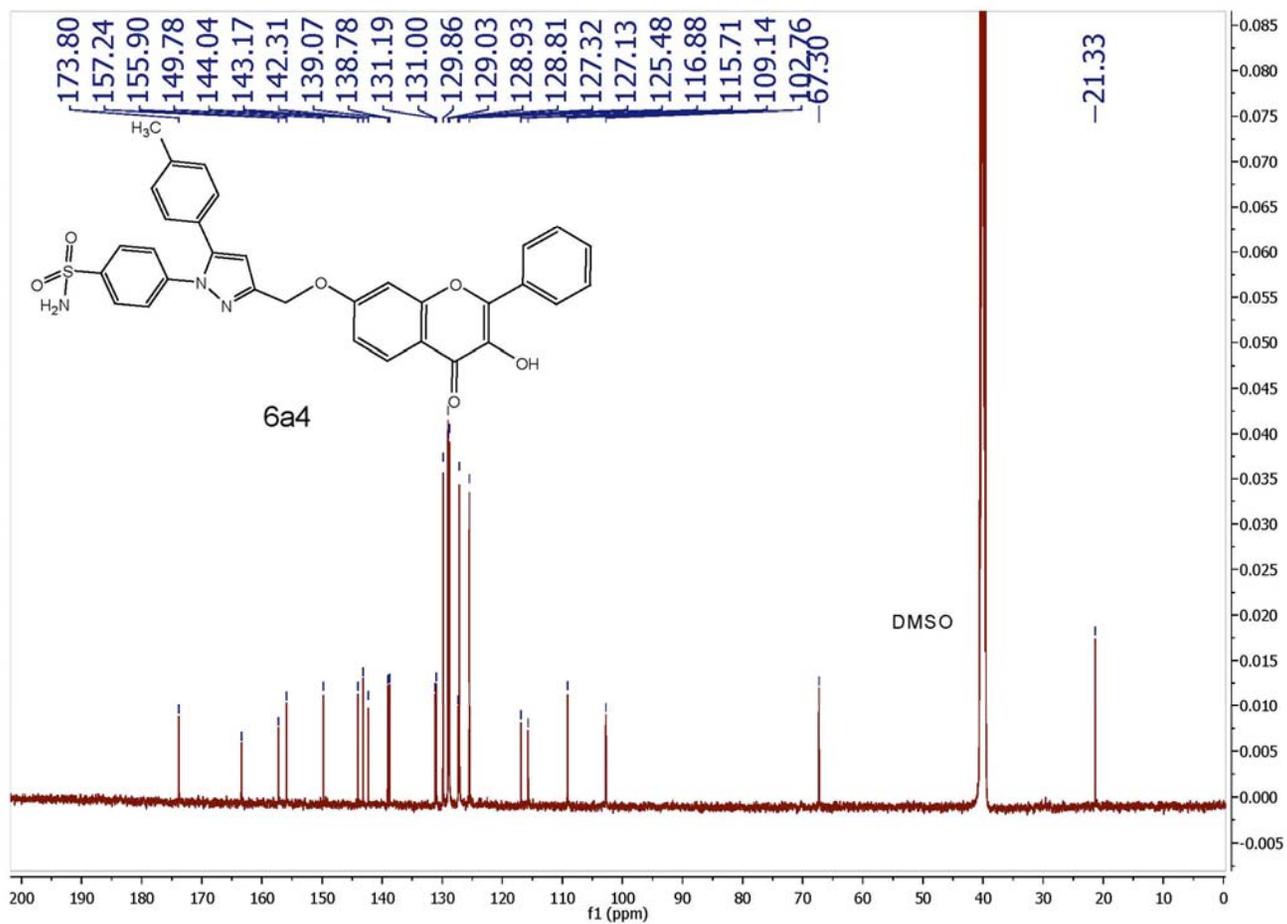
$^{13}\text{C}$ -NMR spectra of **6a3**



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<sup>1</sup>H-NMR spectra of **6a4**

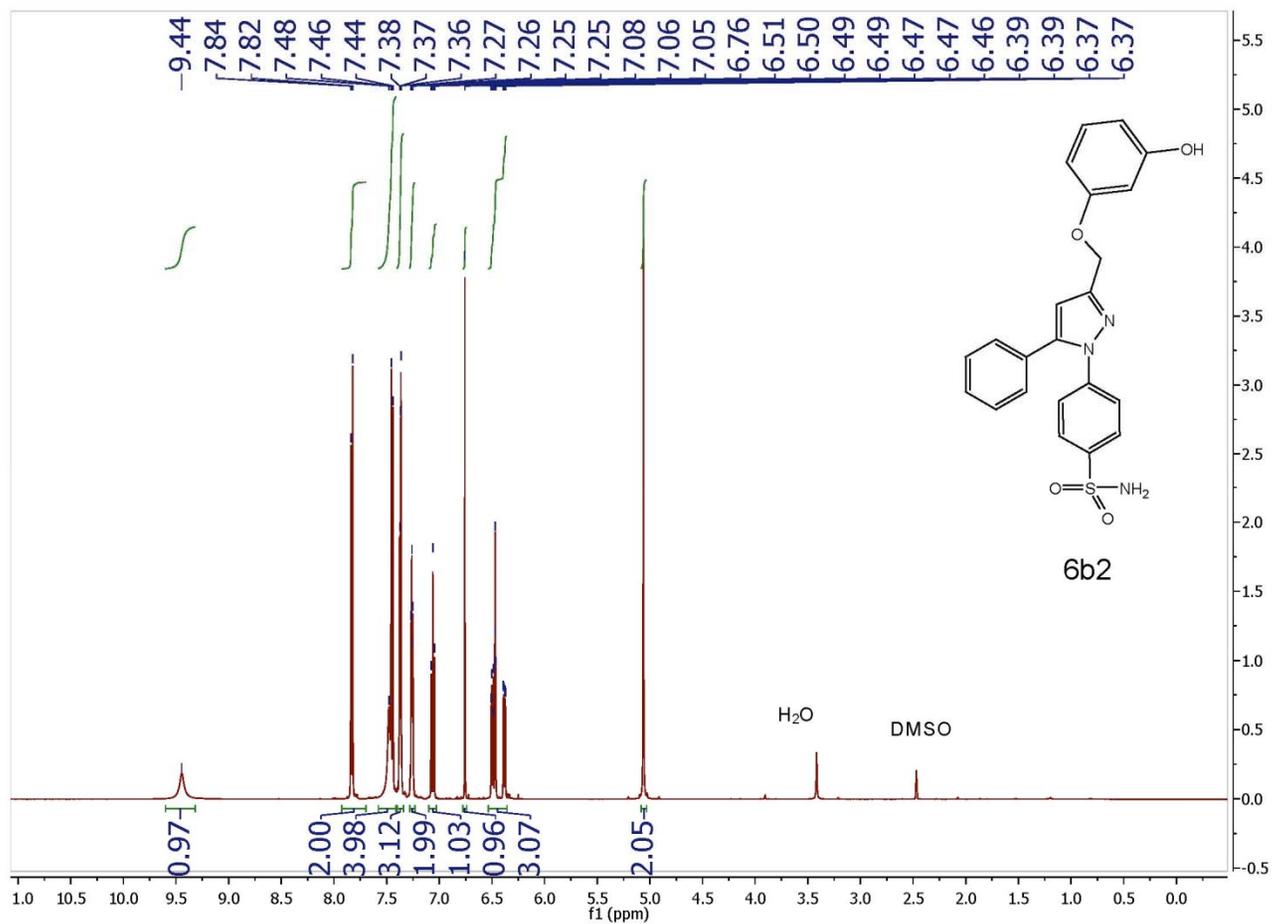


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<sup>13</sup>C-NMR spectra of **6a4**

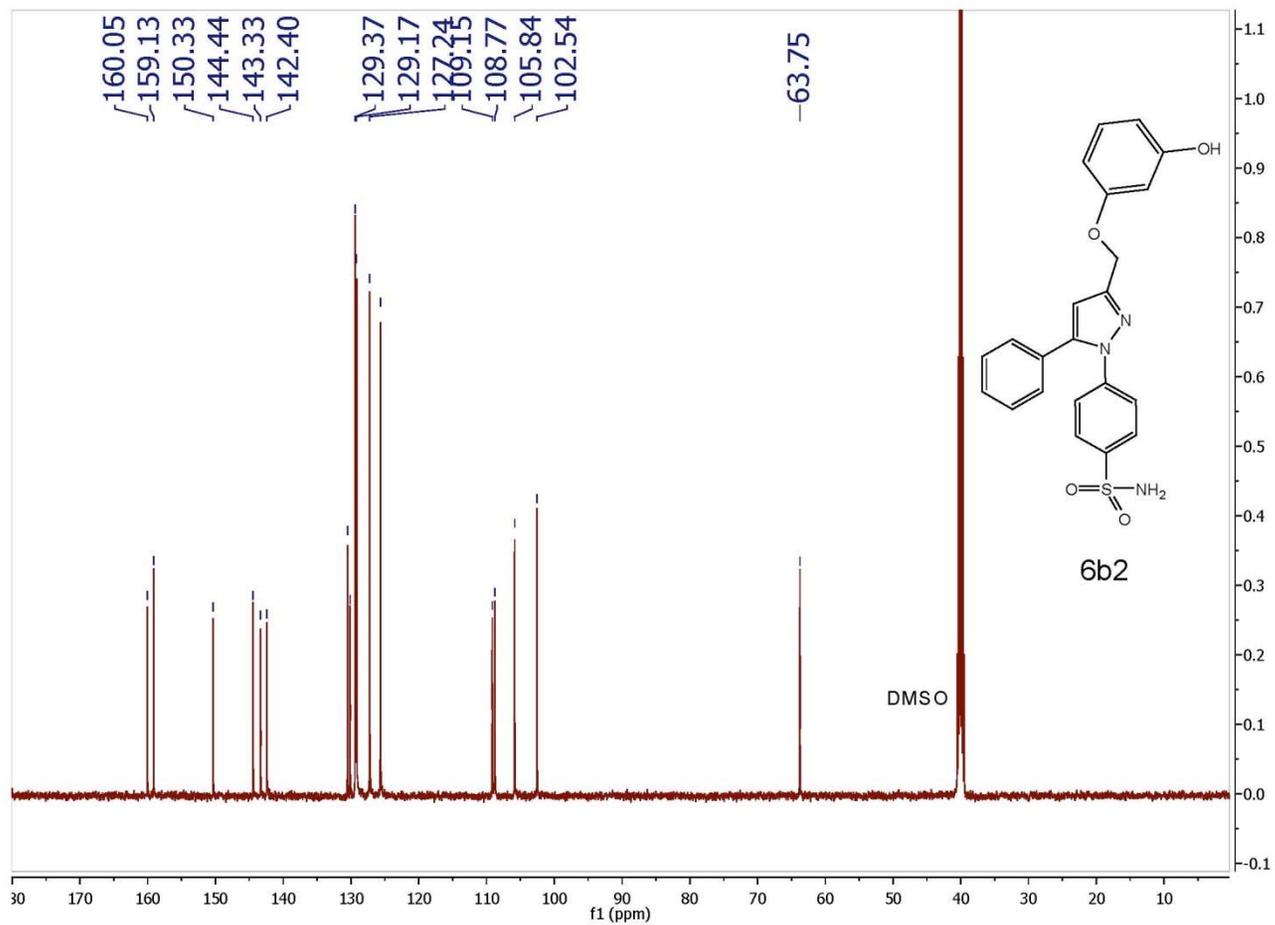


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<sup>1</sup>H-NMR spectra of **6b2**

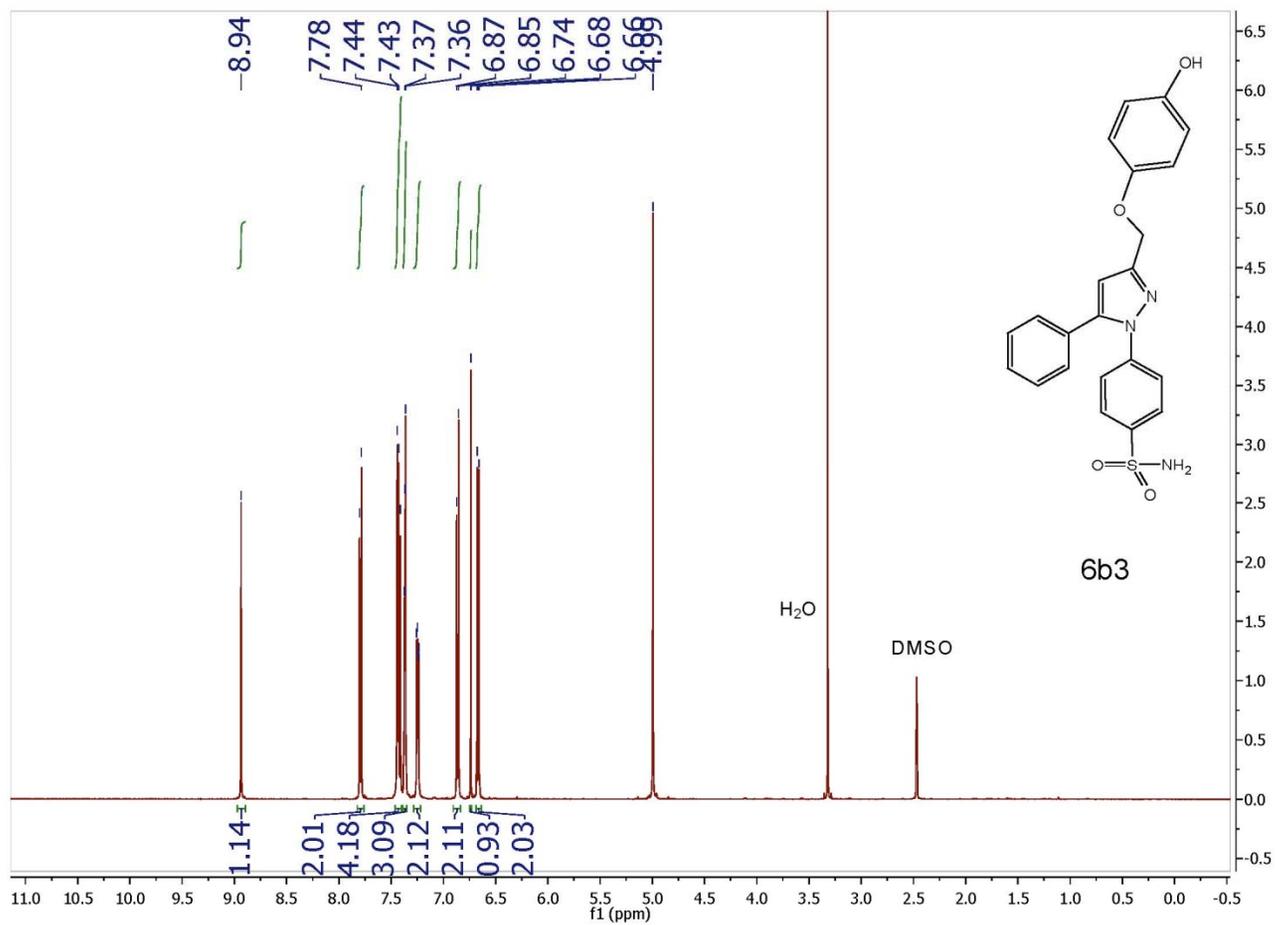


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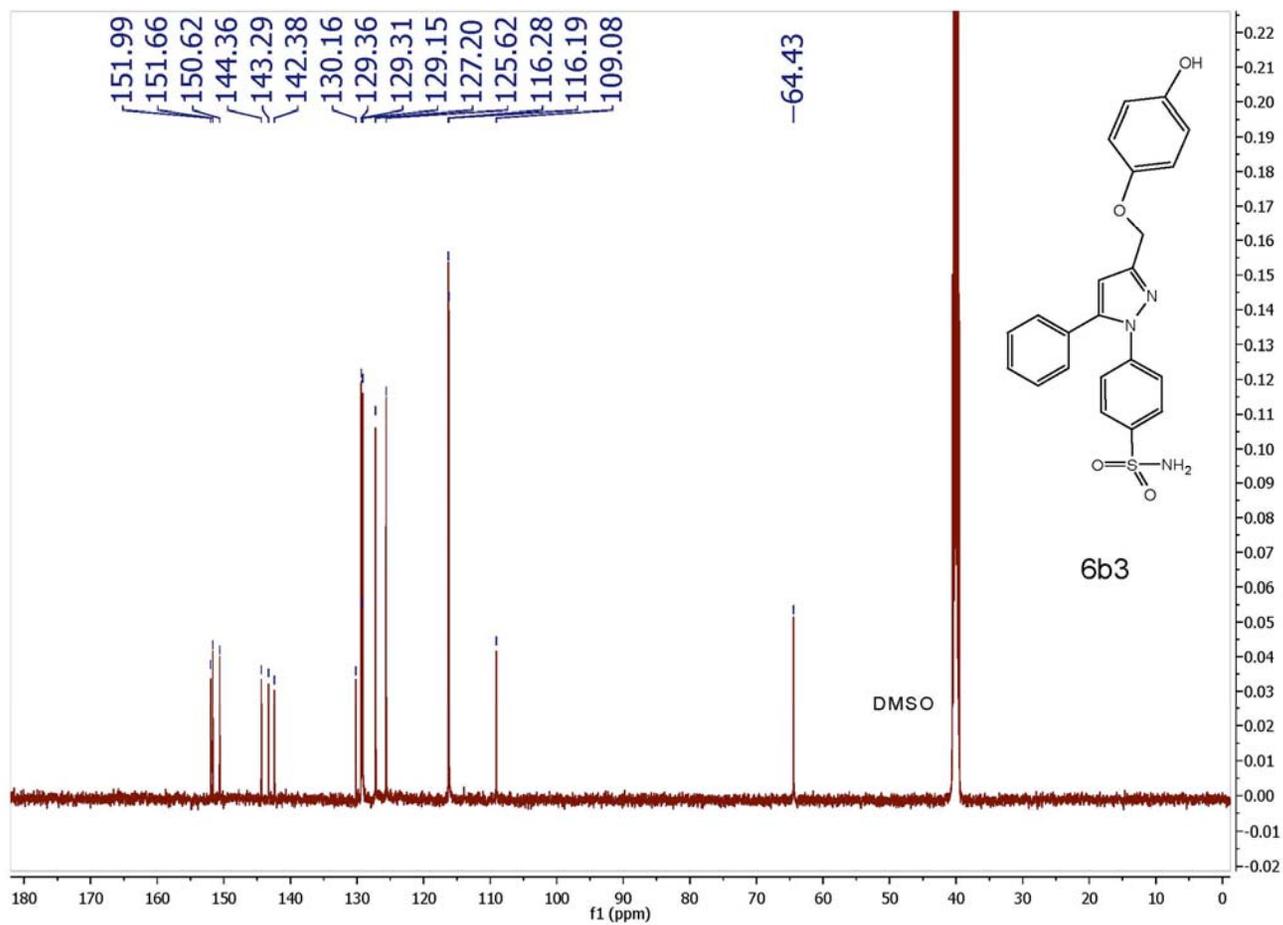
$^{13}\text{C}$ -NMR spectra of **6b2**



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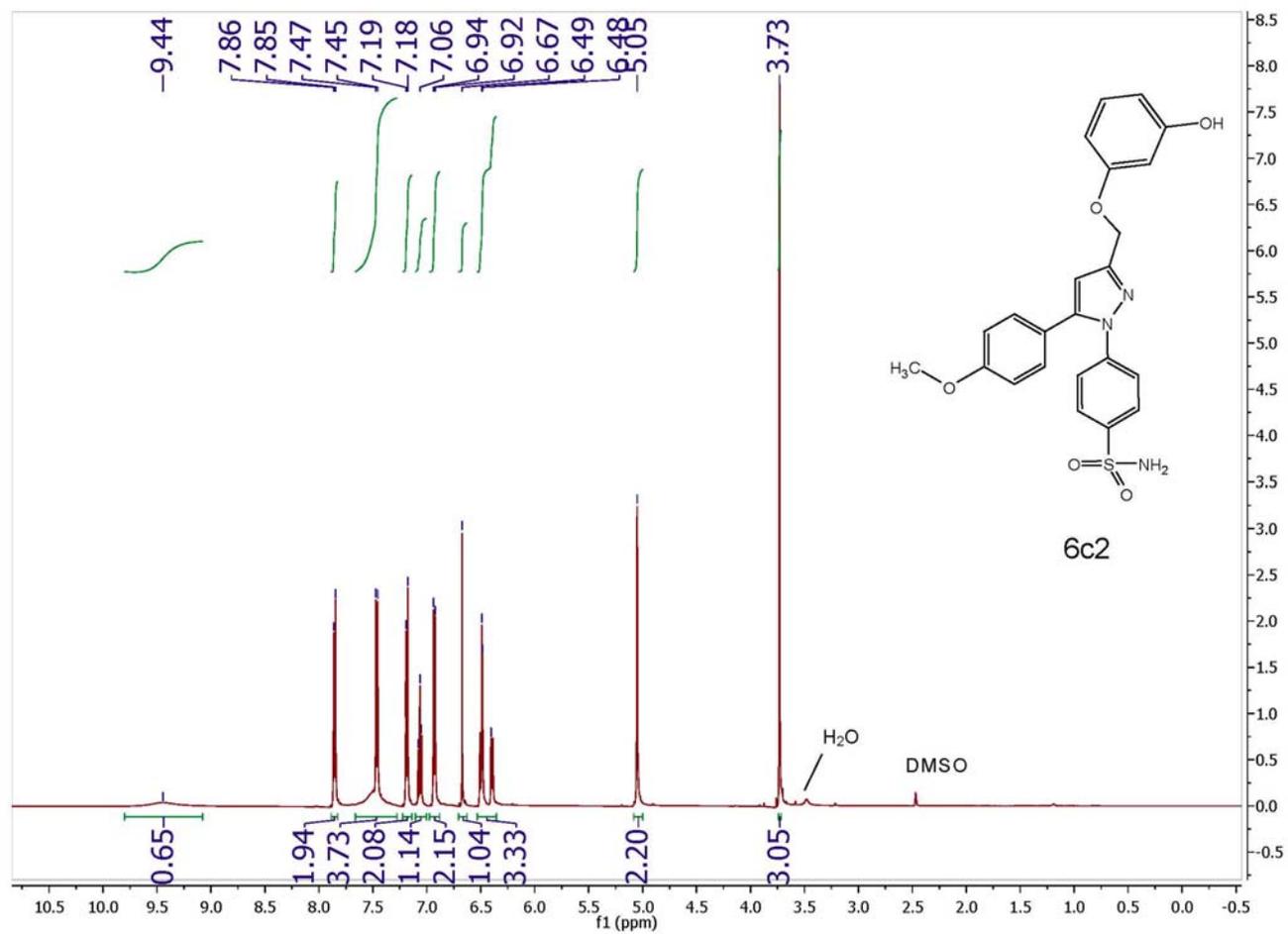
<sup>1</sup>H-NMR spectra of **6b3**



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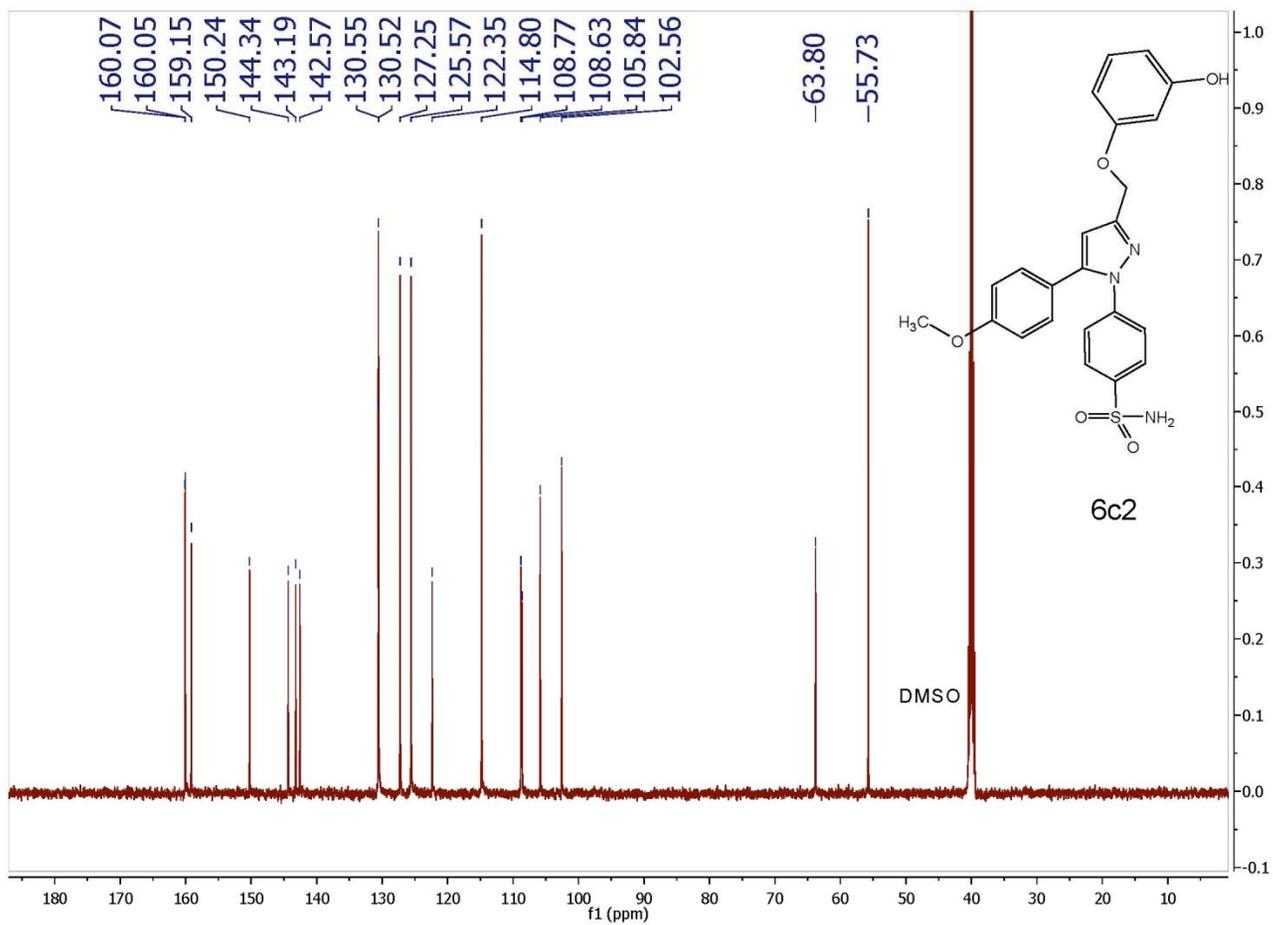
$^{13}\text{C}$ -NMR spectra of **6b3**



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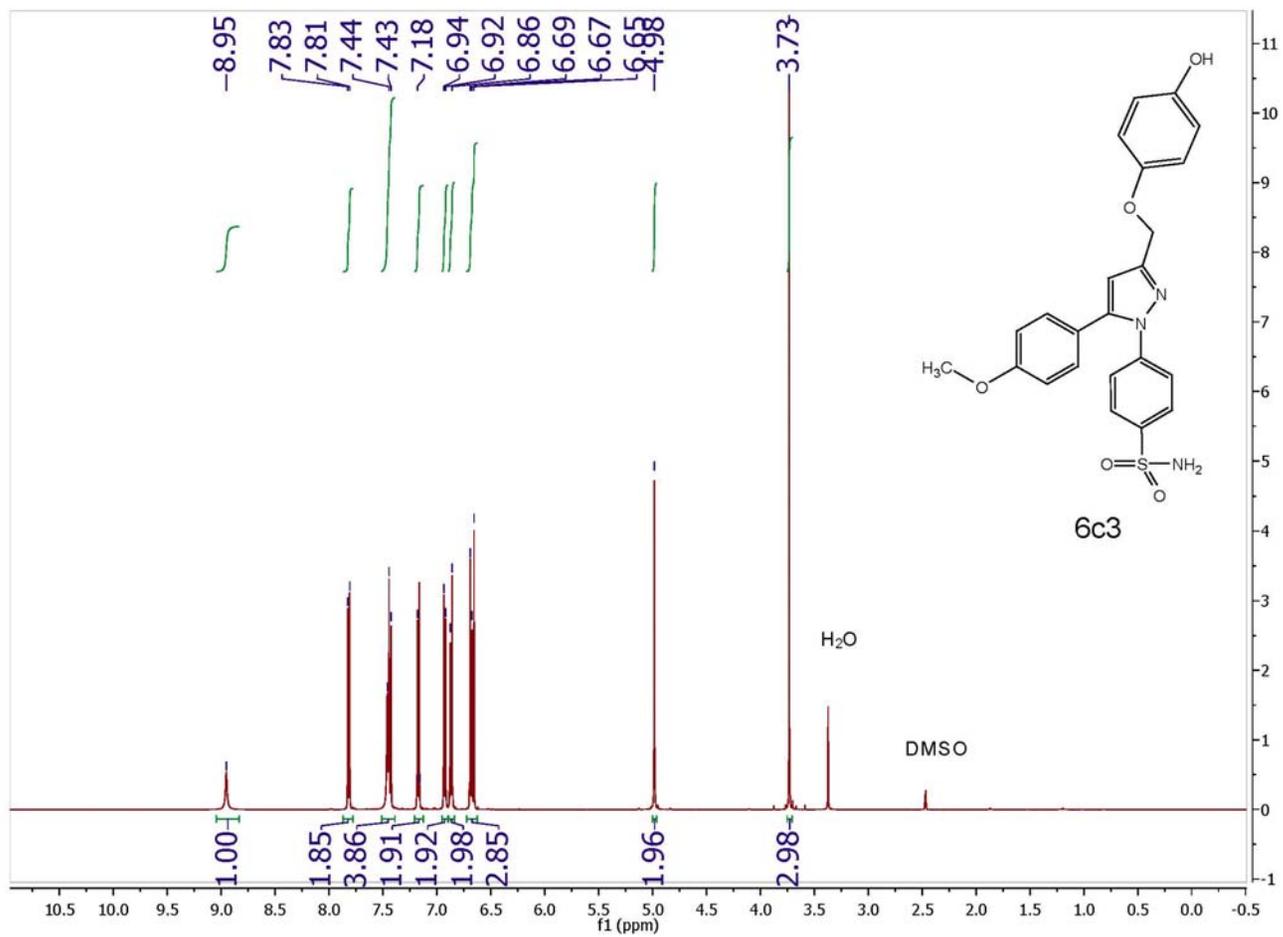
$^1\text{H-NMR}$  spectra of **6c2**



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$^{13}\text{C}$ -NMR spectra of **6c2**

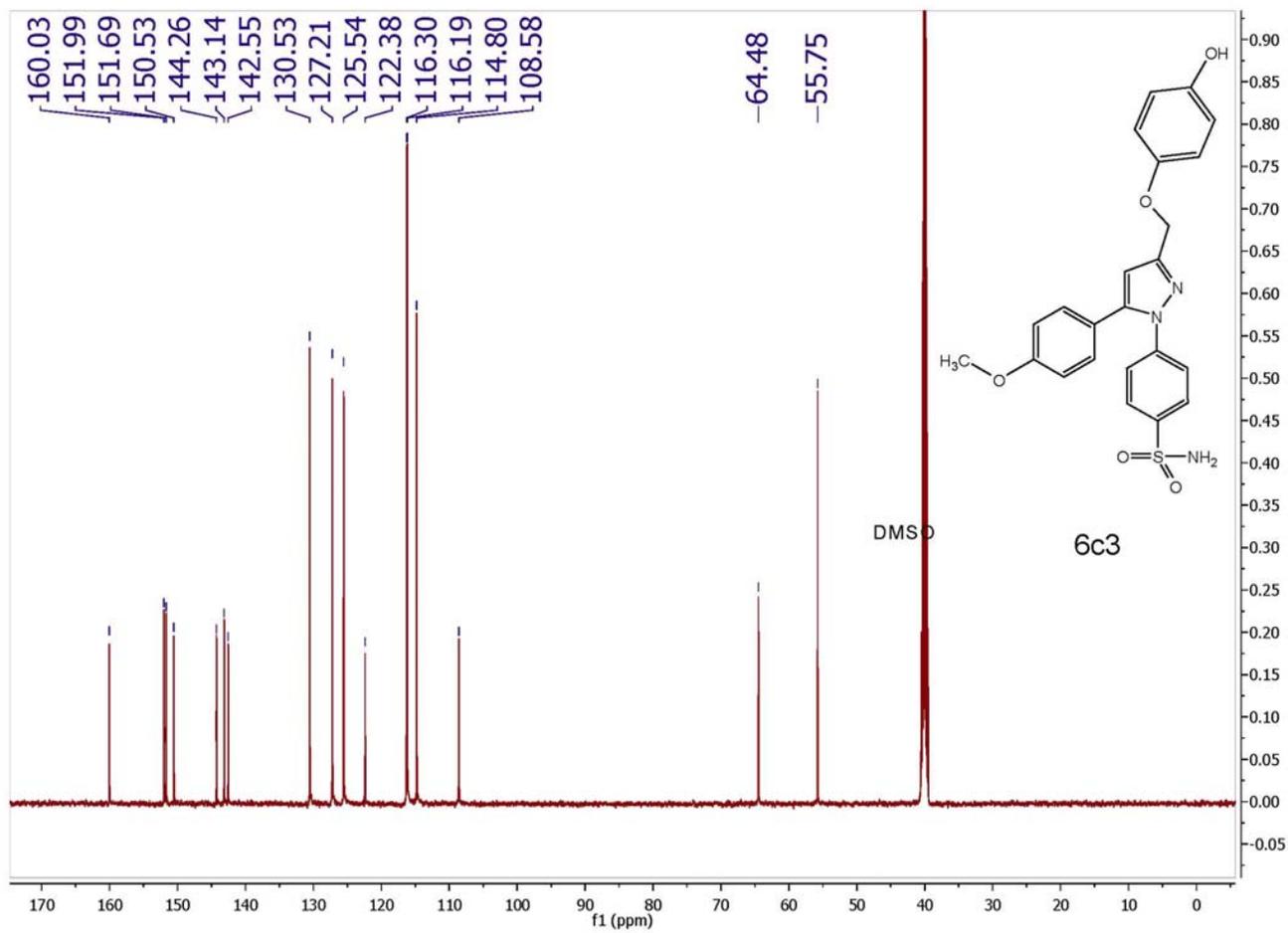


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$^1\text{H-NMR}$  spectra of **6c3**



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$^{13}\text{C}$ -NMR spectra of **6c3**

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