## REDEFINING COLOR IN SYNESTHESIA

## A Dissertation

Presented to
The Faculty of the Department
of Psychology
University of Houston
$\qquad$

In Partial Fulfillment
Of the Requirements for the Degree of
Doctor of Philosophy
$\qquad$

By
Madeleine V. Z. Gorges
May, 2017

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#### Abstract

Grapheme-color synesthesia is a condition in which letters and numbers automatically trigger the sensation of specific colors in a person's mind. Previous research on synesthesia revealed that certain letters seem to be associated with certain colors at a rate greater than chance. The studies that report these trends analyze the synesthetes' data by categorizing synesthetes' reports of their colors into the 11 basic color terms. The purpose of this study was to determine whether this simplification of the data is an acceptable representation of the synesthetes' experience, or if perhaps those analyses are discarding valuable information about the specificity, idiosyncracity, and diversity of synesthetes' color sensations. In a task that directly tested how well synesthetes' colors match standard color labels, non-synesthete participants attempted to classify data from over 1,000 synesthetes, as well as a set of prototypical standard colors. The synesthetes' colors came from an online website which allows synesthetes to choose from over 16.7 million possible color selections for each of their letters. The results demonstrate that synesthetes' colors are difficult to classify under the 11 basic color terms, suggesting that synesthesia may not be merely a magnification of "normal" or prototypical cross-modal associations. Additional analyses using powerful methods such as k-means clustering further supported the difficulty isolating meaningful group trends in synesthetes' data. Implications for understanding how synesthesia may or may not relate to creative metaphor are discussed.


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## Dedication

This dissertation is dedicated to my husband, Jeffrey Gorges, to my parents, Tracy and Geraldine Warner, and to Kris and Tom Bassett. Thank you for your support throughout this process.

## Introduction

For a person with grapheme-color synesthesia, letters, numbers, and other symbols each have a unique color. The color exists only in the mind of the person (called a synesthete), and most synesthetes have normal color vision, such that they can tell what color ink text is printed in. However, any time they see, hear, or think about a specific letter or number they automatically experience the color associated with that letter in their mind. For some synesthetes, called associators, the color is only in their mind's eye. In contrast, projector synesthetes experience the color as if it were projected onto the surface of written text (Dixon, Smilek, \& Merikle, 2004). Synesthesia can be triggered by a much wider variety of stimuli, including sounds, tastes, smells, textures, etc., but this dissertation addresses only grapheme-color synesthesia, and within that only Roman letters, not numbers or other symbols.

Early research on synesthesia focused on verifying the condition. The most accepted validation of synesthesia involved tests to confirm that the colors associated with each stimulus remained the same over time. Synesthetes often report that their colors are extremely stable over long time periods (e.g. Hancock, 2013, p. 96; Johnson, Allison, \& Baron-Cohen, 2013), but more objective evidence was needed to confirm the anecdotal claims. As a clear example of the constancy of the condition, Simner and Logie (2008) gave a lexical-color synesthete a surprise retest 27 years after his original test and his responses were a $100 \%$ match (Simner \& Logie, 2008). The synesthete in this study was asked to report his associations by writing the names of his colors for each stimulus in a questionnaire. This format of testing was a very common practice in early synesthesia research (Johnson et al., 2013), however, it had some challenges, such as determining
whether a response of "darkish yellow" on the first test and "gold" on the follow-up test counted as a consistent response.

In efforts to make the testing more objective and replicable, several research groups refined their Tests of Genuineness (TOG) by presenting synesthetes with a range of color samples to choose from. Baron-Cohen and colleagues first conducted a TOG with 309 color swatches (Baron-Cohen, 1996; Baron-Cohen, Harrison, Goldstein, \& Wyke, 1993; Baron-Cohen, Wyke, \& Binnie, 1987), though they did not provide a key for which colors counted as perfect matches, close matches, and inconsistent matches (Johnson et al., 2013). In 2006, Asher and colleagues revised the TOG with a more systematic color swatch system (Asher, Aitken, Farooqi, Kurmani, \& Baron-cohen, 2006). They used 238 color swatches organized in grids based on color similarity (see Figure 1a). If a synesthete chose a color one step above, below, or to either side of their original choice they scored 3 points. Selections diagonal to the original choice or two steps above, below, or to the sides received 2 points. Selections outside of that range, but still within the same color group (red, yellow, blue, green, etc.) received 1 point. See Figure 1b for an illustration of the scoring.

Tests of Genuineness serve not only to verify synesthesia, but they also provide datasets for investigating many questions of interest. One of the questions that received the most attention in the synesthesia literature is whether there are regularities in the letter-color pairings across synesthetes. Numerous studies came out between 2005 and 2010 that discovered that synesthetes associated certain graphemes with the same color at a rate greater than chance (e.g. Barnett et al., 2008; Rich, Bradshaw, \& Mattingley, 2005; Simner et al., 2005; Simner \& Ward, 2008). In order to determine if synesthetes reported
the same color the researchers categorized the synesthetes' detailed and often unusual color descriptions into the 11 basic color categories as defined by Berlin and Kay (red, orange, yellow, green, blue, purple, pink, brown, grey, black, and white) (Berlin \& Kay, 1975). The most common approach in adult synesthetes was to allow them to either write their color names for each stimulus or select the color from a color palette, and then independent coders categorize each choice into one of the basic color terms (Day, 2004; Rich et al., 2005; Simner et al., 2005; Simner \& Ward, 2008). Simner and colleagues (2005) found several trends in the categorized synesthetes' color associations that occurred at a rate greater than chance. The most frequent color terms overall were brown, yellow, and grey, in that order. For the letters, 30 out of the 70 synesthetes' selections for letter A were categorized as red, over $50 \%$ of the Os were categorized as white, and over $40 \%$ of Ys were categorized as yellow. The remaining associations were all below $40 \%$, but every letter except K had at least 1 association above what would be expected by chance. Chance was determined for each color by calculating the percentage of the total dataset that coders categorized as that color. For example, $4 \%$ of all the colors were pink, so any letter that was pink at a rate above $4 \%$ was considered a greater than chance association. Many letters had multiple colors that exceeded the chance threshold, for example about $10 \%$ of the Js were purple, about $10 \%$ were orange, and about $15 \%$ were red (Simner et al., 2005, p. 1075).

Two other well-cited studies conducted similar tests of letter-color associations by simplifying synesthetes' responses into the 11 basic color categories, although they both had slightly different methods for determining the threshold for a "significant" association. Rich, Bradshaw, and Mattingley (2005) surveyed 150 grapheme-color
synesthetes who reported their colors on a questionnaire. The authors discuss how they resolved difficult color descriptions, such as "gold," "green and blue," or "red with greeny-blue flecks." Colors that could not be categorized, such as "transparent," were excluded from the analyses. Some of the findings match the Simner et al. (2005) study, such as an association between O and white for more than $50 \%$ of the Os, however, other associations differ. In the Rich et al. study, over $20 \%$ of the Ws were associated with green; in contrast, W was not associated with green at a rate above chance in the Simner, et al. study. A third study, which studied 43 synesthetes, confirms some overlapping finding and adds additional discrepancies (Barnett et al., 2008). See Table 1 for a comparison of the similarities and differences between the color-letter trends in these three studies.

Once the evidence of some significant trends in synesthetes' letter-color pairings came to light, the synesthesia literature saw a sharp increase in research dedicated to drawing some conclusions about why certain trends exists. Simner et al. (2005) attempted to explore several potential associations related to the frequency and order of the graphemes and color terms. They found that the order of the letters in the alphabet was not related to the colors in any way. However, the more frequent graphemes were associated with higher frequency color terms and the earliest learned color terms. A 2007 paper by Beeli, Esslen, and Jäncke (2007) came to a similar conclusion using a different method of examining the data. They had their participants select the color of their grapheme using an adjustable color-picker palette in the Adobe Photoshop computer program. This technique of color section provides 16.7 million potential pixels of color for participants to choose from. The researchers then examined the color choices using
the continuous color dimensions of Hue, Saturation, and Lightness, which together provide a recipe for the color selection. (See Figure 2 for an illustration of the difference between HSL and HSV (Hue, Saturation, and Value), which is another popular color format. See (Smith, 1978) for a discussion of the differences between the two.) By using these continuous variables, Beeli et al. (2007) were able to conduct correlation and regression analyses. They found a small positive correlation between letter frequency and saturation ( $\mathrm{r}=.15, \mathrm{p}<.001$ ), indicating that more frequent letters were brighter. The authors conclude that synesthetes' associations must be formed implicitly because the participants were not aware of the frequencies of the letters (Beeli, Esslen, \& Jäncke, 2007).

In a response to this study, Simner and Ward published a commentary paper in which they re-analyzed the data from Beeli, et al. and pointed out that universal color name categories are related to patterns in HSL color space. Therefore, Simner and Ward argue, "a saturation-based account of synesthesia is less predictive than an account based on frequency of color terms" (Simner \& Ward, 2008, p. 413). Regardless of whether or not the findings in these two studies are independent of each other, they both suggest that the letter color pairings that develop in synesthesia may be at least partially related to implicit statistical learning about the more frequent stimuli in the environment.

Other potential influences on the letter-color pairings include physical characteristics of the letters, such as shape and sound. Of these two possibilities, shape has received more continued attention because of early observations that for graphemecolor synesthetes the $/ \mathrm{k}$ / sounds in cat and kite have different colors if C and K are differently colored, whereas cat and city are the same color of the letter C (Simner, Hung,
\& Shillcock, 2011). However, see Day (2004) for a discussion of trends related to phonemes.

Several researchers examined the role of shape by reasoning that more similarly shaped letters might be more similarly colored. Several studies have found some evidence of this relationship, although they must be interpreted with caution. In particular, Brang and colleagues compared color similarity and shape similarity in synesthetes by using an already existing letter similarity matrix (Brang, Rouw, Ramachandran, \& Coulson, 2011). However, the color data collected from synesthetes was based on synesthetes taking an online test that presented them with capital letters. In contrast, the letter similarity matrix used lower-case letters (Courrieu, Farioli, \& Grainger, 2004). See Simpson and colleagues for a comparison of lower-case and upper-case letter-shape correlation matrices (Simpson, Mousikou, Montoya, \& Defior, 2013). Synesthetes ubiquitously report that the color of the letter is the same whether it is lower-case of upper-case, but the lowercase letters may sometimes be a little weaker (Witthoft \& Winawer, 2006). However, the study by Brang and colleagues is not the only study to examine the shape-color relationship. Several other studies report that letters that are similar in their upper-case form (such as E and F) tend to be similarly colored (Simner, 2013). In a 2012 paper, Watson, Atkins, and Enns report that the letter similarity, as determined by five different methods from the literature, relates specifically to the Hue of synesthetes' colors, not the Saturation or Luminance (Watson, Blair, Kozik, Akins, \& Enns, 2012). Thus, the Hue of the synesthetes' letters may relate to the visual characteristics, whereas the saturation may relate more to the frequency of the letter (as described above). Additional evidence for the role of letter shape in synesthesia comes
from bilingual synesthetes whose letters in their second alphabet often closely match similarly shaped letters in their first alphabet (Mills et al., 2002; Mroczko-Wasowicz \& Nikoli'c, 2013; Rich et al., 2005; Witthoft \& Winawer, 2006), This similarity seems to be more prevalent if the synesthetes acquired the second alphabet later in life (MroczkoWasowicz \& Nikoli'c, 2013; Simner et al., 2011).

A final hypothesis about why certain letters are certain colors at a rate greater than chance is that the color relates to the meaning of the stimulus. Evidence of a higher-level, semantic basis of color comes from studies in which participants were presented with ambiguous graphemes and the color changed depending on their interpretation. For example, one research team presented participants with a grapheme that could either be the letter S or the number 5, depending on the context (see Figure 3; Dixon, Smilek, Duffy, Zanna, \& Merikle, 2006; Myles, Dixon, Smilek, \& Merikle, 2003). These studies demonstrated that graphemes with the exact same shape could change color depending on the synesthetes' semantic access to the meaning of the symbol. Similarly, a study by Kim, Blake, and Kim (2013) rotated the $\mathrm{M} / \mathrm{W}$ grapheme so that the identification of the letter would switch after 90 degrees of rotation (Kim, Blake, \& Kim, 2013). These different methods of testing grapheme ambiguity converge in their observation that the color for the stimulus changed depending on how the stimulus was identified.

The second most popular question of interest in the synesthesia literature is, "To what extent does it use cross-modal mechanisms common to us all?" (Ward, Huckstep, \& Tsakanikos, 2006). In the early 2000s, Ramachandran, Hubbard, and colleagues published scientific articles as well as articles and books in the popular press suggesting that studying synesthesia would allow researchers to understand the neural basis of,
"abstract thought, metaphor, and perhaps even language" (Ramachandran \& Hubbard, 2003a). In their 2001 article titled, "Synesthesia-A window into Perception, Thought, and Language," Ramachandran and Hubbard claim that, "...we can think of metaphors as involving cross-activation of conceptual maps in a manner analogous to cross-activation of perceptual maps in synesthesia" (Ramachandran \& Hubbard, 2001, p. 17).

Ramachandran and Hubbard reason that metaphor and creativity involve making connections between superficially unrelated concepts. They also observed that synesthesia seems to be characterized by neural hyperconnectivity (e.g. Hänggi, Wotruba, \& Jäncke, 2011; Hubbard, Brang, \& Ramachandran, 2011; Ramachandran \& Hubbard, 2001a, 2001b; Rouw, 2013; Rouw \& Scholte, 2007). (For more recent contrasting views, see Hupé \& Dojat, 2015; Jäncke, Beeli, Eulig, \& Hänggi, 2009). Thus, Ramachandran and Hubbard reasoned, a hyperconnected brain has greater potential for making conceptual connections (Ramachandran \& Hubbard, 2003b).

But before looking for causal neural mechanisms of a condition it is crucial to describe behavioral and psychological manifestations of the condition accurately. The question remains, is synesthesia merely increased sensitivity to normal cross-modal associations? Is there a spectrum of synesthesia? Although many studies have suggested that synesthesia may underlie normal cross-modal associations, all of the studies in the synesthesia literature have established a threshold for categorizing participants as synesthetes or non-synesthetes, with no specification of the strength of the synesthetic association. In fact, when designing an online TOG called the Synesthesia Battery, Eagleman and colleagues (2007) found no overlap between a group of controls and the synesthetes on the color association tasks (Eagleman, Kagan, Nelson, Sagaram, \& Sarma,
2007). The constancy of the synesthetes colors clearly divides synesthetes and controls in myriad studies, with synesthetes accurately responding with the same stimulus-color associations at a rate of between 90 and $100 \%$ on surprise tests, while controls often score between 20 and $40 \%$ consistency with advanced notice and less time between test and retest (e.g. Baron-Cohen et al., 1987; Simner et al., 2005).

However, several studies report similarities between the responses from synesthetes and controls asked to choose a color that they think best matches the presented grapheme. Note that studies with controls always categorize responses into the 11 basic color terms. In the seminal 2005 study by Simner and colleagues already described in detail above, the researchers also collected data from two types of controls. One group was told they had to choose an association for each letter; the other group was asked only to indicate a color selection if it came to them easily. Both groups of controls did associate certain letters and colors at a rate greater than chance, and in some cases their selections matched the synesthetes'. The matching colors for the synesthetes and controls were B and blue, G and green, R and red, V and purple, X and black, Y and yellow, and Z and black (Simner et al., 2005). Rich and colleagues (2005) found the same overlap between synesthetes and controls, and their groups also agreed at a rate greater than chance with the association between $D$ and brown and $P$ and pink (Rich et al., 2005). However, there were more differences than similarities between the groups and one exceptional difference stands out: O is associated with white at a rate above $50 \%$ for synesthetes in several studies, whereas O is associated with orange at a rate of between 40 and $90 \%$ in control groups. Simner and colleagues also examined the effect of order and frequency of the graphemes and the color terms in the control groups. They found
that the order of the letters in the alphabet was related to the typicality of the color terms. The letter frequency was not significantly related to the color for the controls. Recall that for synesthetes the association was the opposite: the researchers found a significant effect of frequency, but not alphabet order. The researchers conclude that the "similarities between populations suggested that grapheme-color synesthesia, like the music-color variant, may stem from an exaggeration of mechanisms for cross-modal associations that are common to us all. Both populations are influenced by linguistic priming, in that colors tend to be paired with the initial letter of the color name (e.g., $b \rightarrow$ blue), although this effect was more dominant in non-synesthetes" (Simner et al., 2005, p. 1082). I highlight the full text of this quote because of the contradiction that arises: if synesthesia is an exaggeration of normal cross-modal processes, why do synesthetes show less of an association between b and blue than the "normal" population? In the discussion of their findings, Simner and colleagues waver between claims of mechanistic overlap and claims of fundamentally distinct patterns in the data, unable to reconcile the similarities and differences into a coherent theory and explain why the trends in synesthesia might diverge.

Although it may be tempting to use synesthesia as a means to study normal development, perhaps a more likely hypothesis is that we all posses varying degrees of "normal" cross-modal abilities to some extent, and synesthetes do not lack the normal associations. Thus, their colors may be somewhat influenced by the same neural bases of metaphor that exist in the normal population, but that does not mean synesthesia is merely a salient, automatic form of metaphor. If synesthesia were an exaggeration of
metaphor, why do over $70 \%$ of synesthetes associate G with colors other than green (see Table 1)?

Creating metaphor is a flexible, fluid, process that involves making novel but meaningful associations. In contrast, synesthesia is a rigid, fixed, automatic link that does not necessarily have meaning to anyone other than the synesthete. Deroy and Spence (Deroy \& Spence, 2013a, 2013b) argue against the popular ideas that we are all born as synesthetes or that we all remain weakly synesthetes. They suggest that researchers are biased in their focus on finding similarities between synesthesia and normal cross-modal associations, "to the detriment of hypotheses looking for differences and possible distinctions" (Deroy \& Spence, 2013b, p.645). Deroy and Spence argue that the hypothesized link between synesthesia and other cross-modal associations has lead to confusion in the literature about key terms such as crossmodal correspondences, natural crossmodal mappings, metaphorical mappings, and multisensory development. The variety of labels and definitions of these terms lead to confusion when reviewing the relevant literature for the normal population.

Given the well-documented specificity of synesthetes' colors, researchers should consider carefully is whether the distinct idiosyncrasy of synesthetes' experience provides any clues about the neural mechanisms. Simner and colleagues (2005) found striking differences between how the synesthetes and controls described their colors. Synesthetes used an average of 45 words to describe all 26 letters, versus an average of 26.5 words to describe the 26 letters for controls. The synesthetes' responses that were categorized as "green" were comprised of 54 unique descriptions, ranging from "lettuce green" to "blackish green," whereas controls only reported five types of green. Several
researchers have anecdotally reported that synesthetes often express frustration if they cannot find the exact match for their color during tests of genuineness (e.g. Simner, 2005) and they may even claim that they have never seen the colors in the real world (Odgaard, Flowers, \& Bradman, 1999). Myriad other studies also provide fascinatingly unique descriptions of the detail in synesthetes' mental color experience. Eagleman and Goodale present several examples in a 2009 paper: "the color of ale with a bubbly, soft texture," "a deep burgundy red that is almost like a liquid," and "a baby blue and has plaid yet silky texture" (Eagleman \& Goodale, 2009, p. 298). Is it reasonable for researchers to categorize the color of a rich, almost reddish chocolate and the color of bubbling ale as the same "brown" experience?

Synesthetes' descriptions seem like detailed exemplars. In contrast, controls seem to rely on more prototypical associations. In a study of prototype versus exemplar based processing Laeng, Zarrinpar, and Kosslyn (2003) found that prototypical labels for pictures (e.g., "man") are processed in the left hemisphere, whereas specific exemplar labels (e.g. "Einstein) are processed in the right hemisphere (Laeng, Zarrinpar, \& Kosslyn, 2003). This leads to the prediction that synesthetes might rely more on the right hemisphere when performing a lexical task. However, the neuroimaging studies of synesthesia have revealed a variety of structural and functional differences between synesthetes and controls, including white matter differences in both left and right hemisphere fusiform gyri as well as the left superior parietal cortex, bilateral frontal cortex projections to the corpus callosum, and hippocampus (Rouw, 2013, p. 508). Thus, the neuroimaging studies have not honed in on one specific mechanism underlying synesthesia, and they leave room for the possibility of several sub-types of synesthesia
with different etiologies. While the scientific method of aggregating and averaging data is certainly necessary to find trends and see the overarching picture, understanding individual differences can also provide valuable clues about any neurological condition.

A recent critique of neuroscience more broadly emphasized the need for careful observation of behavior before using neuroscientific approaches to draw conclusions (Krakauer, Ghazanfar, Gomez-Marin, Maciver, \& Poeppel, 2017). In grapheme-color synesthesia, the "behavior" is the synesthetes' mental percept of color. Perhaps the simplification of synesthetes' wordy descriptions into 11 basic color categories eliminates too much information and thus cannot come close enough to describing and explaining the phenomenon.

## The Current Study

The primary goal of this study is to determine whether synesthetes' colors can be acceptably categorized under the 11 basic color terms. Given the idiosyncracity and specificity of synesthetes' descriptions of their colors, this study will examine qualities such as the saturation and value of the color choices, as well as clustering techniques and categorization by non-synesthetes, to evaluate how well the synesthetes' data matches prototypical basic colors.

A second goal of the current study is to use a large dataset of over 1,000 synesthetes to resolve discrepancies in the literature about specific letter-color pairings. To evaluate relationships between specific letter-color pairings we asked non-synesthetes to categorize the synesthetes' data into color names. Although we predict that the majority of the data will fall into the "Other" category, we may also find certain pairings at a rate above chance, aligning with some findings in the literature. These analyses will
provide a more definitive answer than the small-sample size studies of the past and will enable researchers to evaluate their hypotheses about the neural bases of the development of synesthesia based on representative, generalizable trends.

## Method

The synesthetes' data for the current study comes from an online synesthesia verification website (www.synesthete.org) called the Synesthesia Battery. This website was designed in 2006 by Eagleman and colleagues to overcome the constraints of laboratory visits in the collection of data from synesthetes (Eagleman et al., 2007). This study only includes a subset of the Synesthesia Battery because the purpose is to evaluate whether the data can be meaningfully categorized. Therefore, we designed a task in which non-synesthete participants viewed the data and determined if it could be easily categorized with a basic color label.

## Synesthesia Battery Participants

There are 32,998 verified grapheme-color synesthetes in the Synesthesia Battery dataset. The participants did not all provide honest information about their age, which ranged from 4 to $9 \mathrm{E}+45$ and included values such as "ou." Of those who reported their age, 18 was the mode, with 289 unique responses. For gender, of the participants who responded, $71.5 \%$ indicated female. Participants were also given the opportunity to report their native language. Due to the nature of the fill in the blank answer, there were over 340 different spellings of English. English-only native speakers comprised $70.4 \%$ of the participants who gave a response. This includes variants of English such as "broken English," "southern Ohio dialect," "the original one, from England," and "English walnut," as well as the more traditional "American English," "Australian English,"
"Canadian English," and "British English." Race and ethnicity of the participants are not currently available from the database.

## Synesthesia Battery Procedure

The Synesthesia Battery is open to anyone who has access to a computer and the Internet. Participants in the Synesthesia Battery are presented with graphemes, one at a time, and a color picker palette (see Figure 4). Participants adjust the color until it matches the color that they experience for the given grapheme, and then they submit the color and continue with the next grapheme. Each grapheme is presented three times in random order. One of the criteria for qualifying as a synesthete is the consistency of the color choice between the three instances of each grapheme. In the original design of the verification task, Eagleman and colleagues compared control participants' responses and synesthetes' responses and established a threshold for the synesthetes' consistency score (Eagleman et al., 2007).

The Synesthesia Battery includes a second task to verify the automaticity of the synesthetes' colors. In a speed congruency task, participants are presented with graphemes that either match or don't match the colors they selected in the previous color selection task. Participants indicate whether or not the color matches their synesthetic color, and the time it takes them to answer is recorded. This prevents users from "cheating" such as consulting a color-coded key. Eagleman and colleages (2007) found that the combination of the color consistency and speed congruency checks provide reliable verification of synesthesia (Carmichael, Down, Shillcock, Eagleman, \& Simner, 2015).

The Synesthesia Battery website programmer, Joshua Jackson, provided access to the filtered synesthetes' data. Only data from participants who passed the synesthesia criteria on the Synesthesia Battery were used in the labeling task for the current study.

## University of Houston Color Naming Task Participants

Two hundred seventy-four participants came to the Laboratory for the Neural Basis of Bilingualism to participate in the color labeling task. Data from 6 participants were discarded due to errors in recording the data or incomplete participation. Data from the remaining 268 participants were analyzed in this research. Participation was anonymous and participants did not provide any demographic information.

## University of Houston Color Naming Task Stimuli

Each participant viewed 208 colors, one at a time, on a computer screen. The colors consisted of data from the Synesthetesia Battery, as well as a set of basic colors selected by the researcher. The synesthetes' data in this task only included synesthetes that selected a color for every letter of the alphabet. Note that $79.0 \%$ of synesthetes in the Synesthesia Battery selected a color for every letter.

Each participant in the University of Houston study saw the colors from the alphabets of four unique synesthetes ( 26 letters X 4 synesthetes $=104$ synesthete colors) and 104 basic colors. Thus, at the completion of the study we had color labels for each letter of the alphabet from 1072 synesthetes' data.

The study was designed so that every participant in the lab would see the same 104 basic colors, serving as a check to make sure they agreed on the most prototypical forms of each color. However, partway through the study the researcher evaluated the agreement between participants on the basic colors and determined that it was necessary
to create a better set of basic colors (Figure 5). Therefore, the first 121 participants saw basic colors that were pulled from six different sources (see Table 2) and the remaining 153 participants saw basic colors that came from the Stanford Vis Lab's online color dictionary ("Color Dictionary," 2016). The color dictionary uses data from over 200,000 online users in a color naming survey conduced by Randall Munroe (Munroe, 2010). (See Figure 4 for a comparison of the first and second basic color sets and the color label agreement between participants.)

## University of Houston Color Naming Task Procedure

Participants were welcomed to the lab and gave informed consent before participating in the research. They were told that the study was about color perception and that they would see squares of color and be asked to choose the best label for each color. The choices were:

1 Red
2 Orange
3 Yellow

4 Green
5 Blue

6 Purple
7 Pink

8 Brown
9 Grey
0 Black
w White
q In between/questionable
Participants used the computer keyboard to select the number or letter next to what they thought best described the color. If they selected anything other than "In between/questionable" they then saw a new screen with the same color and the prompt, "How well does the color match the color name you chose?" The options were:

1 Perfect
2 Good

3 Okay
4 Poor
5 I chose the wrong color
After participants indicated their response the screen went blank for 1000 ms before the presentation of the next color. See example stimuli in Figure 6.

After completing all trials on the computer participants filled out a brief exit questionnaire that asked how difficult they found the task and if they had any other comments. See the Appendix for the full questionnaire.

## Results

## Basic Colors Versus Synesthetes' Colors

Data analysis involved the use of many R packages, including plyr, dplyr, scales, and matlab, as well as material from an online course (Anderson, Kross, \& Peng, 2016; Bengtsson, 2016; Wickham, 2016; Wickham, Francois, \& RStudio, 2016; Wickham \& RStudio, 2016). Because the first set of basic colors presented in the task at the University of Houston were later determined to be poor examples of the basic colors (see Figure 5), all analyses including standard colors will only include the second set of
standard colors for the remainder of the paper. One hundred and fifty one participants labeled the second set of standard colors. Their average accuracy was $93.93 \%$ with a range of $78.10 \%$ to $99.05 \%$. The average rating of how well the presented basic colors matched the label the participant chose was 1.57 . Recall that the rating scale ranged from 1 ("Perfect") to 4 ("Poor"). Very few participants chose "In between/questionable" for the basic colors. The average number of "In between/questionable responses per participant was 2.28 and the mode was 0 .

In comparison to the basic colors, the synesthetes' colors contained significantly more "In between/questionable" responses. The average number of "In between/questionable" selections for the synesthetes' colors per participant was 8.76, which was significantly greater than for the standard colors $(t=-12.84, p<.0001)$. Figure 7 shows a scatterplot depicting the colors that were classified as "In between/questionable." The rating for the synesthetes' colors was significantly worse than for the basic colors, with an average rating of $1.88(\mathrm{t}=-14.04, \mathrm{p}<.0001)$. The number of brown, grey, black, and white letters in the synesthetes' alphabets also provides information about how colorful the synesthetes' alphabets are. Five percent of the synesthetes color choices were labeled as brown, $5.32 \%$ grey, $4.93 \%$ black, and $5.17 \%$ white. When combined, brown, grey, black, white, and other comprised $28.97 \%$ of the synesthetes' colors. There was also a significant difference in reaction time after removing outliers greater than 1.5 interquartile ranges above the third quartile of the data. The difference in reaction time indicates the participants took significantly longer to respond to the synesthetes' colors compared to the basic colors, $t(143)=-14.183, p$
$<.0001$. The mean reaction time for synesthetes' colors was 3.73 seconds and the mean for the standard colors was 3.23 seconds.

## K-means Clustering

Before computing the k-means cluster analyses, the original color data was transformed from RGB space to CIELAB space using the colorspace package in R (Ihaka et al., 2016; Team, 2015). The first k-means clustering analysis was a test of the basic colors. Using the kmeansruns function in the fpc package in R (Hennig, 2015), we gave the program a range of potential clusters for the 104 basic colors from the second set. We set the range of clusters from 2 to 26 and the program calculated the number of clusters that best fit the data. Contrary to our expectation that the basic colors would cluster into 11 groups because of the 11 color names represented, the optimal number of clusters ranged from 23 to 26 . Therefore we plotted the between sums of squares divided by the total sums of squares to see if there is an inflection point at which the improvement from adding additional clusters flattens out. See Figure 8 for a plot of the (between SS / total SS) for the standard colors. As seen in the plot, after 11 clusters the slope of the improvement flattens at about $99 \%$, which is likely a ceiling effect. See Table 3 for the comparison of the clustering and the color names with 11 clusters. Data points labeled in the standard key as orange, brown, blue, and purple were each included in two clusters. The remaining colors were perfectly clustered.

K-means analyses of the data from synesthetes shows worse between sums of squares divided by total sums of squares. When given a range of 2 to 26 clusters, the optimal number of clusters was consistently 6 , with a (between SS / total SS) of $80.6 \%$. See Figure 8 for a comparison of the curve of the (between SS / total SS) for the standard
data versus the synesthetes' data. See Figure 9 for visualizations of the 3D clusters. When comparing the color labels to the cluster assignment for the synesthetes' data the boundaries are not clean-cut, however there are some trends. For example, cluster 1 contains data points with every color label, but $40 \%$ of the points were labeled as black. Cluster 2 also included data points for every color label, and the most well represented color labels were blue (23\%), white (22\%), and grey (17\%). The cluster that best represented one color name was the cluster in which $97 \%$ of the data points were labeled as green. See Table 3 for the full comparison of color labels and clusters for the synesthetes' data.

A final interesting question that could be addressed with k -means clustering is whether the letters fall into clusters in color space. As can be seen in Table 4 and Figure 10 no cluster contains a majority of any letter, and all clusters contain at least one instance of every letter, created using the treemap package in R (Tennekes \& Ellis, 2017).

## Letter Trends

Contrary to our hypothesis, the "In between/questionable" selection was not the modal choice for the synesthetes data. Even when examining the data grouped by letters, several letters revealed associations with standard color labels at a rate greater than chance, aligning with findings commonly reported in the literature. The letter A was labeled red for $49.1 \%$ of synesthetes. The letter B was blue for $37.0 \%$ of synesthetes. The letter C was yellow for $34.4 \%$ of synesthetes. Other supported trends include white for I and $\mathrm{O}(30.5 \%$ and $29.0 \%$, respectively), and black for X and $\mathrm{Z}(21.5 \%$ and $21.3 \%$ respectively). Table 1 reports the current findings in comparison with the findings from three previous studies in the literature. Given the much larger sample size of this study,
the current findings provide a more reasonable estimate of true trends. See Figure 11 for plots of every letter. Chi-squared analyses revealed for every letter there was a statistically significant difference between the frequencies of colors in the 12 color categories (the 11 basic colors and "In between/questionable"). The chi-squared statistics ranged from 221.29 (letter K) to 2477.20 (letter C).

Although this study does replicate most accepted letter-color associations from the literature, additional analyses may help researchers address why B is not blue for $63 \%$ of synesthetes. It is possible that within grapheme-color synesthetes there are certain subgroups. To investigate whether some synesthetes largely match the modal responses while others might not match at all, for each synesthete we counted the number of letters that match the modal response. The maximum number of letters that matched the mode was 15 for two synesthetes ( $0.1 \%$ ). The greatest number of modal matches was $7(15 \%$ of the synesthetes). See Table 5 for complete results. We also examined whether certain synesthetes might be more influenced by semantic factors, such as the first letter of color words ( R and red, B and blue, etc.). Only 3 synesthetes' data matched all six of the most frequent color words $(\mathrm{R}=$ red, $\mathrm{O}=$ orange, $\mathrm{Y}=$ yellow, $\mathrm{G}=$ green, $\mathrm{B}=$ blue, and $\mathrm{P}=$ pink or purple). See Table 6 for the complete results.

## Conclusion

The results of this study reveal that synesthetes' colors do differ from what is traditionally considered a standard or prototypical color. Participants in this study were more likely to label synesthetes' colors as "In between/questionable," they rated synesthetes' colors as poorer examples of a typical color, and they responded slower to synesthetes' colors than to the set of standard colors. In addition, the synesthetes' colors
did not cluster as well in color space, and clustering did not reveal clear patterns with letters. These findings support the suggestion that synesthetes' experiences are not a typical, metaphorical association. Through several forms of analysis this study demonstrates that the 11 basic colors do not fully capture the synesthetes' experiences.

On the other hand, even with the "In between/questionable" option included as a possible response, participants in this study still classified the majority of the synesthetes data points as a basic color (though with reduced ratings of how well they matched an ideal form of that label). Therefore this study did replicate findings of associations between some typical colors and letters at a rate greater than chance. This suggests that continued investigations focused on understanding what influences the letter-color link in those cases may increase our understanding of the neural mechanisms of synesthesia. A recent study Witthoft, Winawer, and Eagleman used data from over 6,000 synesthetes through the Synesthesia Battery and made a compelling case for the influence of colored alphabet toys in $6 \%$ of a the participants (Witthoft, Winawer, \& Eagleman, 2015). The approach to this investigation could be used as a model for studies interested in other potential influences on trends in the letter-color associations. However, these should not be the only types of questions we ask, as for each letter at least $60 \%$ of the synesthetes did not experience the modal association.

An ideal next step would be to examine individual differences. A limitation of the Synesthesia Battery dataset used for the current study was the absence of meaningful predictors to use in models of individual differences. Although the dependent variable of color can be described on continuous scales, the deeper questions of interest in understanding the etiology of synesthesia all relate to what external or internal factors
predict variation in color associations. During the Spring 2017 semester we have collected data from more than 40 control participants and two synesthetes at the University of Houston. One of the most important questions we are asking both the controls and the new synesthetes is a follow-up to the Vividness of Visual Imagery Questionnaire (VVIQ; See Campos, 2011; Marks, 1973), which is already included in the Synesthesia Battery. The VVIQ describes scenes such as a lake in the wilderness or a rainbow in the sky and asks participants how vividly they can picture the scene. The follow-up question we are adding is whether they think of specific scenes from their memory (exemplars) or more general ideas about the scene (prototypes). So far the majority of the participants indicate that they use both, but with continued data collection we may be able to determine if scores on the VVIQ are influenced by the type of imagery (exemplar vs. prototype), rather than indicating a continuous scale of the strength of the imagery. The hypothesis is that the suggested relationship between synesthesia and higher VVIQ scores (Barnett \& Newell, 2008) may be due to a greater reliance on exemplar based processing.

In addition to the VVIQ, future investigations will also collect data on a variety of convergent and divergent creativity assessments. Measures related to creativity in synesthetes are of particular interest because, as emphasized in the introduction, one of the main goals of this line of research is to tease apart the suggested link between synesthesia and creativity (e.g. Domingo, Lalwani, Boucher, \& Tartar, 2010; Domino, 1989; Meier \& Rothen, 2013; Mulvenna, 2007, 2012, 2013; Rothen \& Meier, 2010; Sitton \& Pierce, 2004; Ward et al., 2006; Ward, Thompson-Lake, Ely, \& Kaminski, 2008). Much of the research about this topic has focused narrowly on quantifying the
overlap between synesthesia and expected or typical cross-modal trends, such as high notes and lighter colors, or harsh sounds with jagged shapes (Ramachandran \& Hubbard, 2001b, 2003b; Ward et al., 2006). However, studies that compare synesthetes and controls on letter-color associations report that controls make the expected associations (e.g. $b$ and blue, $r$ and red, etc.) at a greater rate than the synesthetes. In addition, recent research in creativity has found that creativity is related to unusual experiences throughout life (Damian \& Simonton, 2014), and exposing people to unexpected events can improve their performance on creativity measures (Ritter et al., 2012). Thus, perhaps the link between synesthesia and creativity comes not from facilitation of normal associations, but rather from acceptance or tolerance of unusual associations. There are two ways this hypothesis could be tested in the future. One would be to compare synesthetes and controls on a task involving normal associations, such as the "bouba/kiki" demonstration made famous by Ramachandran (Ramachandran \& Hubbard, 2001b). As described by Dr. Arturo Hernandez in personal communication, if coupled with neuroimaging this type of task would help elucidate the overlap between the neural underpinnings of metaphor and synesthesia in synesthetes. Another task that would test whether or not the unusualness of synesthetes' experience may relate to creativity would be to expose people to events that match synesthetes' experience as closely as possible and examine if the exposure boosts scores on a variety of creativity measures.

In combination with studies of metaphor in synesthetes and exemplar vs. prototype processing, these future directions will continue to build on the foundation laid by the current study. The goal of the study was to shed light on the idiosyncracity of synesthetes' colors and to describe the synesthetes' experience quantitatively without
sacrificing the detail that is lost in many studies. This study has revealed that claims such as "A is red for synesthetes" do not accurately capture the diversity of the synesthetic experience, and by considering the specificity of synesthetes' percepts as an important defining characteristic we may be able to reframe hypotheses about the links between synesthesia and creativity.

Table 1. Letter-color trends

| Letter | Barnett et al. (2008) $N=43$ | Rich et al. (2005) $\mathbf{N}=150$ | Simner et al. (2005) $\mathbf{N}=70$ | Current Study $N=1072$ |
| :---: | :---: | :---: | :---: | :---: |
| A | Red (32\%) | Red (36\%) | Red (42\%) | Red (49\%) |
| B | Brown (28\%) | Blue (32\%) | Blue (32\%) | Blue (37\%) |
| C | Yellow (33\%) | Yellow (33\%) | Yellow (27\%) | Yellow (34\%) |
| D | Brown (33\%) | Brown (47\%) | Brown (34\%) | Blue (20\%) <br> Green (20\%) |
| E | Green (39\%) | Green (25\%) | Green (28\%) | Green (27\%) |
| F | Green (39\%) | Green (20\%) | Green (27\%) | Green (26\%) |
| G | Brown (22\%) | Green (29\%) | Brown (33\%) | Green (37\%) |
| H | Grey (20\%) | Brown (17\%) | Green (26\%) | Orange (16\%) |
| I | White (52\%) | White(48\%) | White (34\%) | White (31\%) |
| J | Blue (15\%) | Orange (16\%) | Red (15\%) | Green (15\%) |
| K | Blue (20\%) | Blue, Green (13\% ea.) | No color above chance |  |
| L | Yellow (23\%) | Yellow (26\%) | Grey (17\%) | Yellow (23\%) |
| M | Blue (26\%) | Red (25\%) | Brown (28\%) | Red (26\%) |
| N | Blue (27\%) | Green (25\%) | Brown (28\%) | Red (26\%) |
| O | White (76\%) | White (56\%) | White (52\%) | White (29\%) |
| P | Green (20\%) | Pink (13\%) | Blue (25\%) |  |
| Q | Purple (26\%) | Yellow (13\%) | Purple (25\%) | Purple (20\%) |


| R | Red (30\%) | Red (36\%) | Red (38\%) | Red (33\%) |
| :---: | :--- | :--- | :--- | :--- |
| S | Pink (15\%) <br> Yellow (28\%) | Yellow (30\%) | Yellow (37\%) | Yellow (20\%) <br> Red (19\%) |
| T | Green (24\%) | Blue, Green (15\% ea.) | Black (18\%) | Green (22\%) <br> Blue (18\%) |
| U | Brown (30\%) | Brown (22\%) | Grey (24\%) | Yellow (17\%) |
| (14\% ea.) | Prange, Yellow | Purple (18\%) | Purple (18\%) | Purple (18\%) |
| W | Blue (18\%) | Brown (15\%) | Blue (15\%) | Blue (21\%) |
| X | Black (24\%) | Black (30\%) | Black (20\%) | Black (22\%) |
| Y | Yellow (50\%) | Yellow (45\%) | Yellow (42\%) | Yellow (38.5\%) |
| Z | Metallic (25\%) | Black (30\%) | Black (25\%) | Black (21\%) |

## Table 2.

a) Set 1 Basic Colors

| RGB Values |  | Color Name |  |  |
| :--- | :--- | :--- | :--- | :--- |
| 229 | 0 | 0 | Red | (Munroe, 2010) |
| 249 | 115 | 6 | Orange | (Munroe, 2010) |
| 255 | 255 | 20 | Yellow | (Munroe, 2010) |
| 21 | 176 | 26 | Green | (Munroe, 2010) |
| 3 | 67 | 223 | Blue | (Munroe, 2010) |
| 126 | 30 | 156 | Purple | (Munroe, 2010) |
| 255 | 129 | 192 | Pink | (Munroe, 2010) |
| 146 | 149 | 145 | Grey | (Munroe, 2010) |
| 0 | 0 | 0 | Black | (Munroe, 2010) |
| 255 | 255 | 255 | White | (Munroe, 2010) |
| 101 | 55 | 0 | Brown | (Munroe, 2010) |
| 227 | 151 | 66 | Orange | (Benavente, Vanrell, \& Baldrich, 2006) |
| 131 | 87 | 65 | Brown | (Benavente, Vanrell, \& Baldrich, 2006) |
| 128 | 90 | 64 | Brown | (Benavente, Vanrell, \& Baldrich, 2006) |
| 116 | 92 | 69 | Brown | (Benavente, Vanrell, \& Baldrich, 2006) |
| 239 | 220 | 105 | Yellow | (Benavente, Vanrell, \& Baldrich, 2006) |
| 82 | 125 | 67 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 63 | 102 | 74 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 52 | 121 | 73 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 55 | 125 | 93 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 69 | 147 | 105 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 86 | 165 | 117 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 46 | 125 | 98 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| 14 | 125 | 101 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
|  |  |  |  |  |


| 68 | 126 | 110 | Green | (Benavente, Vanrell, \& Baldrich, 2006) |
| :---: | :---: | :---: | :---: | :---: |
| 44 | 162 | 203 | Blue | (Benavente, Vanrell, \& Baldrich, 2006) |
| 138 | 190 | 215 | Blue | (Benavente, Vanrell, \& Baldrich, 2006) |
| 23 | 143 | 191 | Blue | (Benavente, Vanrell, \& Baldrich, 2006) |
| 58 | 162 | 212 | Blue | (Benavente, Vanrell, \& Baldrich, 2006) |
| 156 | 193 | 223 | Blue | (Benavente, Vanrell, \& Baldrich, 2006) |
| 215 | 216 | 226 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 112 | 93 | 135 | Purple | (Benavente, Vanrell, \& Baldrich, 2006) |
| 170 | 162 | 207 | Purple | (Benavente, Vanrell, \& Baldrich, 2006) |
| 224 | 112 | 164 | Pink | (Benavente, Vanrell, \& Baldrich, 2006) |
| 230 | 137 | 160 | Pink | (Benavente, Vanrell, \& Baldrich, 2006) |
| 255 | 247 | 245 | White | (Benavente, Vanrell, \& Baldrich, 2006) |
| 221 | 222 | 233 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 211 | 212 | 223 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 208 | 209 | 220 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 204 | 205 | 216 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 200 | 201 | 210 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 195 | 196 | 205 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 190 | 191 | 200 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 187 | 189 | 198 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 183 | 183 | 193 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 175 | 179 | 188 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 171 | 173 | 182 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 165 | 168 | 177 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 158 | 164 | 174 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 152 | 160 | 170 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| 148 | 154 | 164 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |


| 133 | 140 | 149 | Grey | (Benavente, Vanrell, \& Baldrich, 2006) |
| :---: | :---: | :---: | :---: | :---: |
| 67 | 72 | 76 | Black | (Benavente, Vanrell, \& Baldrich, 2006) |
| 212 | 70 | 65 | Red | (Benavente, Vanrell, \& Baldrich, 2006) |
| 213 | 70 | 66 | Red | (Benavente, Vanrell, \& Baldrich, 2006) |
| 207 | 71 | 77 | Red | (Benavente, Vanrell, \& Baldrich, 2006) |
| 209 | 64 | 56 | Red | (Benavente, Vanrell, \& Baldrich, 2006) |
| 202 | 64 | 59 | Red | (Benavente, Vanrell, \& Baldrich, 2006) |
| 0 | 255 | 0 | Green | *https://en.wikipedia.org/wiki/List_of_colors:_G-M |
| 127.5 | 127.5 | 0.5 | Green | *https://en.wikipedia.org/wiki/List_of_colors:_G-M |
| 0 | 0 | 1 | Black | *https://en.wikipedia.org/wiki/List_of_colors:_A-F |
| 127.5 | 0 | 0.5 | Red | https://en.wikipedia.org/wiki/List_of_colors:_N-Z |
| 128 | 0 | 0 | Red | https://en.wikipedia.org/wiki/List_of_colors:_G-M |
| 255 | 0 | 0 | Red | https://en.wikipedia.org/wiki/List_of_colors:_G-M |
| 0 | 127.5 | 0.5 | Green | https://en.wikipedia.org/wiki/List_of_colors:_G-M |
| 127 | 0 | 1 | Red | https://en.wikipedia.org/wiki/List_of_colors:_N-Z |
| 192 | 203 | 1 | Yellow | https://en.wikipedia.org/wiki/List_of_colors:_N-Z |
| 20 | 147 | 1 | Green | https://en.wikipedia.org/wiki/List_of_colors:_G-M |
| 140 | 0 | 1 | Red | https://en.wikipedia.org/wiki/List_of_colors:_N-Z |
| 165 | 0 | 1 | Red | https://en.wikipedia.org/wiki/List_of_colors:_N-Z |
| 165 | 42 | 42 | Red | https://en.wikipedia.org/wiki/List_of_colors:_N-Z |
| 90 | 147 | 60 | Green | Pilot study conducted at UH |
| 216 | 4 | 33 | Red | Pilot study conducted at UH |
| 0 | 0 | 255 | Blue | Pilot study conducted at UH |
| 0 | 0 | 150 | Blue | Pilot study conducted at UH |
| 255 | 75 | 0 | Orange | Pilot study conducted at UH |
| 255 | 255 | 0 | Yellow | Pilot study conducted at UH |
| 0 | 0 | 0 | Black | Pilot study conducted at UH |


| 64 | 64 | 64 | Grey | Pilot study conducted at UH |
| :---: | :---: | :---: | :---: | :---: |
| 20 | 20 | 20 | Black | Pilot study conducted at UH |
| 240 | 240 | 240 | White | Pilot study conducted at UH |
| 255 | 255 | 240 | White | Pilot study conducted at UH |
| 231 | 210 | 91 | Yellow | (Boynton \& Olson, 1987) |
| 228 | 165 | 192 | Pink | (Boynton \& Olson, 1987) |
| 116 | 159 | 201 | Blue | (Boynton \& Olson, 1987) |
| 109 | 183 | 131 | Green | (Boynton \& Olson, 1987) |
| 223 | 123 | 79 | Orange | (Boynton \& Olson, 1987) |
| 224 | 118 | 49 | Orange | (Boynton \& Olson, 1987) |
| 93 | 156 | 184 | Blue | (Boynton \& Olson, 1987) |
| 80 | 174 | 108 | Green | (Boynton \& Olson, 1987) |
| 151 | 151 | 149 | Grey | (Boynton \& Olson, 1987) |
| 207 | 116 | 50 | Orange | (Boynton \& Olson, 1987) |
| 90 | 162 | 83 | Green | (Boynton \& Olson, 1987) |
| 97 | 162 | 55 | Green | (Boynton \& Olson, 1987) |
| 64 | 128 | 158 | Blue | (Boynton \& Olson, 1987) |
| 125 | 125 | 123 | Grey | (Boynton \& Olson, 1987) |
| 63 | 106 | 151 | Blue | (Boynton \& Olson, 1987) |
| 106 | 92 | 149 | Purple | (Boynton \& Olson, 1987) |
| 28 | 132 | 81 | Green | (Boynton \& Olson, 1987) |
| 55 | 129 | 51 | Green | (Boynton \& Olson, 1987) |
| 56 | 114 | 53 | Green | (Boynton \& Olson, 1987) |
| 97 | 97 | 97 | Grey | (Boynton \& Olson, 1987) |
| 104 | 78 | 126 | Purple | (Boynton \& Olson, 1987) |
| 118 | 90 | 74 | Brown | (Boynton \& Olson, 1987) |
| 27 | 27 | 27 | Black | ("Color Dictionary," 2016) |


| 255 | 245 | 0 | Yellow | ("Color Dictionary, 2016") |
| :--- | :--- | :--- | :--- | :--- |
| 255 | 170 | 209 | Pink | ("Color Dictionary, 2016") |
| 255 | 252 | 255 | White | ("Color Dictionary, 2016") |

*Note. The RGB values for all colors from Wikipedia were incorrectly copied into the
final stimulus presentation list. The values presented here are what participants saw. The key name (column 4) is coded to the color that best matches the presented RGB value.
b) Set 2 Basic Colors

| RGB Values |  |  | Color Name |
| :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | Black |
| 0 | 90 | 202 | Blue |
| 0 | 57 | 240 | Blue |
| 0 | 53 | 249 | Blue |
| 0 | 55 | 231 | Blue |
| 0 | 89 | 255 | Blue |
| 0 | 67 | 214 | Blue |
| 0 | 70 | 205 | Blue |
| 0 | 0 | 252 | Blue |
| 0 | 86 | 219 | Blue |
| 0 | 69 | 255 | Blue |
| 21 | 15 | 255 | Blue |
| 0 | 64 | 223 | Blue |
| 0 | 65 | 255 | Blue |
| 3 | 67 | 223 | Blue |
| 0 | 77 | 246 | Blue |
| 0 | 33 | 234 | Blue |


| 0 | 61 | 231 | Blue |
| :---: | :---: | :---: | :---: |
| 0 | 74 | 255 | Blue |
| 110 | 75 | 34 | Brown |
| 119 | 72 | 0 | Brown |
| 104 | 61 | 13 | Brown |
| 118 | 72 | 13 | Brown |
| 116 | 72 | 34 | Brown |
| 101 | 55 | 0 | Brown |
| 117 | 72 | 25 | Brown |
| 115 | 72 | 43 | Brown |
| 35 | 152 | 13 | Green |
| 83 | 157 | 30 | Green |
| 21 | 176 | 26 | Green |
| 0 | 169 | 46 | Green |
| 42 | 166 | 58 | Green |
| 0 | 154 | 0 | Green |
| 28 | 152 | 32 | Green |
| 33 | 167 | 8 | Green |
| 0 | 169 | 6 | Green |
| 49 | 166 | 46 | Green |
| 0 | 168 | 58 | Green |
| 12 | 168 | 46 | Green |
| 49 | 181 | 47 | Green |
| 0 | 154 | 12 | Green |
| 0 | 154 | 45 | Green |
| 0 | 169 | 31 | Green |
| 0 | 162 | 46 | Green |


| 25 | 167 | 31 | Green |
| :---: | :---: | :---: | :---: |
| 2 | 183 | 46 | Green |
| 0 | 154 | 32 | Green |
| 18 | 152 | 45 | Green |
| 40 | 152 | 0 | Green |
| 54 | 166 | 32 | Green |
| 132 | 132 | 132 | Grey |
| 123 | 119 | 111 | Grey |
| 146 | 149 | 145 | Grey |
| 171 | 171 | 171 | Grey |
| 158 | 158 | 158 | Grey |
| 249 | 115 | 6 | Orange |
| 255 | 139 | 33 | Orange |
| 255 | 139 | 9 | Orange |
| 251 | 120 | 0 | Orange |
| 255 | 134 | 49 | Orange |
| 254 | 143 | 6 | Orange |
| 255 | 148 | 204 | Pink |
| 255 | 124 | 200 | Pink |
| 255 | 122 | 182 | Pink |
| 250 | 108 | 169 | Pink |
| 255 | 129 | 191 | Pink |
| 255 | 143 | 214 | Pink |
| 255 | 170 | 209 | Pink |
| 255 | 129 | 192 | Pink |
| 255 | 123 | 191 | Pink |
| 255 | 152 | 195 | Pink |


| 255 | 147 | 195 | Pink |
| :---: | :---: | :---: | :---: |
| 140 | 59 | 160 | Purple |
| 138 | 54 | 177 | Purple |
| 132 | 30 | 164 | Purple |
| 126 | 0 | 150 | Purple |
| 126 | 30 | 156 | Purple |
| 135 | 17 | 172 | Purple |
| 146 | 46 | 177 | Purple |
| 135 | 60 | 169 | Purple |
| 124 | 40 | 164 | Purple |
| 120 | 0 | 158 | Purple |
| 143 | 53 | 169 | Purple |
| 133 | 65 | 160 | Purple |
| 127 | 32 | 172 | Purple |
| 130 | 38 | 155 | Purple |
| 140 | 13 | 164 | Purple |
| 238 | 13 | 14 | Red |
| 244 | 0 | 0 | Red |
| 229 | 0 | 0 | Red |
| 255 | 22 | 40 | Red |
| 255 | 21 | 19 | Red |
| 255 | 21 | 15 | Red |
| 255 | 255 | 255 | White |
| 251 | 248 | 0 | Yellow |
| 250 | 248 | 28 | Yellow |
| 255 | 245 | 0 | Yellow |
| 255 | 255 | 20 | Yellow |


| 249 | 248 | 53 | Yellow |
| :--- | :--- | :--- | :--- |
| 233 | 253 | 0 | Yellow |
| 255 | 245 | 30 | Yellow |
| 255 | 245 | 54 | Yellow |
| 242 | 251 | 0 | Yellow |
| 241 | 252 | 26 | Yellow |

Note. All colors in Set 2 are from the Stanford Color Dictionary ("Color Dictionary," 2016)

Table 3.
a) Color labels across 11 clusters in 104 standard colors. Clusters labeled with numbers across top row.

|  | 1 | 2 | 3 | $\mathbf{4}$ | 5 | $\mathbf{6}$ | 7 | 8 | 9 | $\mathbf{1 0}$ | 11 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Red | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |
| Orange | 0 | 0 | 0 | 5 | 0 | 1 | 0 | 0 | 0 | 0 | 0 |
| Yellow | 10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Green | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 23 | 0 | 0 | 0 |
| Blue | 0 | 0 | 16 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 |
| Purple | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 |  | 0 | 0 |
| Pink | 0 | 0 | 0 | 0 | 0 | 0 | 11 | 0 | 0 | 0 | 0 |
| Brown | 0 | 0 | 0 | 2 | 0 |  | 0 | 0 | 0 | 0 | 0 |
| Grey | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 0 |
| Black | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| White | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |

b) 27,872 synesthete colors. Clusters labeled with numbers across top row.

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Red | 27 | 2 | 3 | 10 | 7 | 1 | 618 | 98 | 2130 | 4 | 7 |
| Orange | 1719 | 1 | 1 | 94 | 3 | 3 | 165 | 4 | 203 | 6 | 3 |
| Yellow | 415 | 3 | 1 | 2890 | 1 | 14 | 26 | 7 | 2 | 164 | 0 |
| Green | 65 | 185 | 0 | 405 | 46 | 2274 | 63 | 749 | 0 | 54 | 20 |
| Blue | 1 | 945 | 2 | 3 | 716 | 13 | 0 | 446 | 3 | 177 | 1494 |


| Purple | 2 | 53 | 721 | 0 | 1030 | 1 | 25 | 232 | 2 | 58 | 159 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Pink | 23 | 4 | 742 | 0 | 27 | 1 | 82 | 6 | 160 | 178 | 0 |
| Brown | 148 | 7 | 1 | 9 | 10 | 0 | 861 | 351 | 2 | 11 | 1 |
| Grey | 3 | 379 | 1 | 0 | 562 | 1 | 17 | 252 | 0 | 267 | 0 |
| Black | 2 | 1 | 1 | 0 | 6 | 0 | 2 | 1350 | 0 | 11 | 1 |
| White | 1 | 15 | 0 | 2 | 0 | 0 | 0 | 4 | 0 | 1418 | 0 |
| Other | 337 | 233 | 120 | 408 | 192 | 34 | 328 | 168 | 139 | 355 | 62 |

Table 4. Six clusters by letter name for synesthetes' data.

| Letter | Cluster 1 | Cluster 2 | Cluster 3 | Cluster 4 | Cluster 5 | Cluster 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| A | 154 | 169 | 583 | 32 | 79 | 55 |
| B | 154 | 236 | 130 | 102 | 372 | 78 |
| C | 247 | 535 | 86 | 29 | 106 | 69 |
| D | 162 | 246 | 106 | 153 | 215 | 190 |
| E | 218 | 284 | 91 | 71 | 152 | 236 |
| F | 209 | 250 | 87 | 102 | 205 | 219 |
| G | 138 | 221 | 89 | 131 | 144 | 349 |
| H | 316 | 356 | 105 | 106 | 80 | 109 |
| I | 577 | 205 | 42 | 123 | 87 | 38 |
| J | 223 | 249 | 128 | 89 | 261 | 122 |
| K | 185 | 271 | 147 | 113 | 264 | 92 |
| L | 322 | 325 | 69 | 77 | 170 | 109 |
| M | 113 | 170 | 328 | 102 | 290 | 69 |
| N | 183 | 335 | 143 | 115 | 174 | 122 |
| O | 560 | 156 | 50 | 176 | 113 | 17 |
| P | 200 | 196 | 119 | 89 | 336 | 132 |
| Q | 314 | 158 | 74 | 157 | 296 | 73 |
| R | 75 | 143 | 400 | 132 | 208 | 114 |
| S | 226 | 302 | 222 | 63 | 158 | 101 |
| T | 166 | 236 | 121 | 185 | 165 | 199 |
| U | 408 | 285 | 42 | 121 | 168 | 48 |
| V | 253 | 218 | 79 | 134 | 241 | 147 |
| W | 290 | 174 | 70 | 181 | 263 | 94 |
| X | 287 | 136 | 83 | 382 | 150 | 34 |
| Y | 293 | 548 | 42 | 67 | 81 | 41 |


| Z | 199 | 184 | 89 | 354 | 164 | 82 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Table 5. Number of matches with the modal color for each letter

| Modal Matches | Number of Synesthetes |
| :---: | :---: |
| 0 | 4 |
| 1 | 13 |
| 2 | 35 |
| 3 | 73 |
| 4 | 105 |
| 5 | 129 |
| 6 | 154 |
| 7 | 171 |
| 8 | 154 |
| 9 | 81 |
| 10 | 62 |
| 11 | 52 |
| 12 | 26 |
| 13 | 9 |
| 14 | 2 |
| 15 | 2 |
| 16 | 0 |

Table 6. Number of matches with the first letter of basic color words

| Color Word Matches | Number of Synesthestes |
| :--- | :--- |
| 0 | 404 |
| 1 | 477 |
| 2 | 359 |
| 3 | 205 |
| 4 | 39 |
| 5 | 12 |
| 6 | 3 |
| 7 |  |

Note. Seven modal color words are $\mathrm{R}=\mathrm{Red}, \mathrm{O}=$ Orange, $\mathrm{Y}=\mathrm{Yellow}, \mathrm{G}=\mathrm{Green}, \mathrm{B}=$ Blue, and $\mathrm{P}=$ Pink or Purple, and $\mathrm{V}=$ Purple. This table counts how many matches the synesthetes had, but does not specify which ones.

Figure 1. Example color swatches and scoring from Asher et al. (2006).

|  |  |  | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 1 | 1 | 1 | 2 | 1 | 1 | 1 |
| 298 | 311 | 325 | 1 | 1 | 2 | 3 | 2 | 1 | 1 |
|  |  |  | 1 | 2 | 3 | $\begin{array}{\|l\|} \hline \text { EXACT } \\ 3 \\ \text { MATCH } \end{array}$ | 3 | 2 | 1 |
|  |  |  | 1 | 1 | 2 | 3 | 2 | 1 | 1 |
| 300 | 313 | 327 | 1 | 1 | 1 | 2 | 1 | 1 | 1 |
|  |  |  | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

Figure 2. HSL and HSV color spaces.
a) HSL and HSV models copyright Jacob Rus (Rus, 2010).

b) Example of changes in Saturation and Value.

Adjust S and V with $\mathrm{H}=360$


Figure 3. From Dixon et al. (2006), p. 247.

## Ambiguous Trials



Figure 4. Example trial in the color selection task in the Synesthesia Battery (Eagleman et al., 2007)


Figure 5. Comparison between the first and second sets of basic colors. The number of colors in each basic color category were determined based on literature demonstrating that green and blue cover a wider range of the visual spectrum and they are much easier for participants to agree on when labeling colors (Boynton \& Olson, 1987, 1990; Paggetti, Bartoli, \& Menegaz, 2011). Figure created in Matlab (Mathworks, 2014). Code for plot written by Jeffrey Gorges.



Figure 6. Example of one trial in the color labeling task


Figure 7. All of the data points participants labeled as "In between/questionable" shown from the S and V axis (top) as well as the H and V axis (bottom).


Synesthetes' Data Labeled In between/questionable


Figure 8.
a) Fit of k-means clusters as represented by $\mathrm{SS}_{\mathrm{b}} / \mathrm{SS}_{\mathrm{w}}$

b) Calinski-Harabasz criterion value for synesthetes (top) and controls (bottom).

Maximum value is best fit of clusters.
Improvement in Clustering for Synesthetes Colors



Figure 9. Cluster Analyses
Visualizations of k-means cluster analyses. The computer program (MATLAB or R)
chooses points as centroids for each cluster. In these plots the entire cluster is colored the same color as its centroid. The 55,744 data points come from colors presented to participants in the color naming task.
a) RGB space comparison between 11 and 6 clusters colored the centroid color.

b) 6 Clusters in CIELAB space colored the centroid color.

c) 6 Clusters in CIELAB space with data points colored by participants' color labels.







Figure 10. Letter clustering

Letter Clusters in CIELAB Space


Figure 11. Results by letter.
Letter A

Categorized Synesthetes' Colors for the Letter A


3D Scatterplot of Letter A in RGB Space
$\infty$


## 2D View of Synesthetes' A's in HSV Space



Hue

Letter B


2D View of Synesthetes' B's in HSV Space


Hue

Letter C


2D View of Synesthetes' C's in HSV Space


Hue

Letter D

Categorized Synesthetes' Colors for the Letter D


3D Scatterplot of Letter D in RGB Space
$\infty$

2D View of Synesthetes' D's in HSV Space


Hue

Letter E

Categorized Synesthetes' Colors for the Letter E


2D View of Synesthetes' E's in HSV Space


Hue

Letter F


2D View of Synesthetes' F's in HSV Space


Hue

Letter G

Categorized Synesthetes' Colors for the Letter G


3D Scatterplot of Letter G in RGB Space
$\infty$


R

2D View of Synesthetes' G's in HSV Space


Hue

Letter H

Categorized Synesthetes' Colors for the Letter H


3D Scatterplot of Letter H in RGB Space
$\infty$


2D View of Synesthetes' H's in HSV Space


Hue

Letter I


2D View of Synesthetes' l's in HSV Space


Hue

Letter J


Color Label from UH Participants
๓


## 2D View of Synesthetes' J's in HSV Space



Hue

Letter K

Categorized Synesthetes' Colors for the Letter K


2D View of Synesthetes' K's in HSV Space


Hue

Letter L


## 2D View of Synesthetes' L's in HSV Space



Hue


## 2D View of Synesthetes' M's in HSV Space



Hue

Categorized Synesthetes' Colors for the Letter N


3D Scatterplot of Letter N in RGB Space
$\infty$


R

## 2D View of Synesthetes' N's in HSV Space



Hue

Letter O


2D View of Synesthetes' O's in HSV Space


Hue

Letter P


3D Scatterplot of Letter P in RGB Space

๓


2D View of Synesthetes' P's in HSV Space


Hue

Letter Q

Categorized Synesthetes' Colors for the Letter $\mathbf{Q}$


3D Scatterplot of Letter Q in RGB Space
$\infty$


R

Color Label from UH Participants
2D View of Synesthetes' Q's in HSV Space


Hue

Categorized Synesthetes' Colors for the Letter R


3D Scatterplot of Letter R in RGB Space
$\infty$


2D View of Synesthetes' R's in HSV Space


Hue

Categorized Synesthetes' Colors for the Letter S


3D Scatterplot of Letter S in RGB Space

ゅ


2D View of Synesthetes' S's in HSV Space


Hue


2D View of Synesthetes' Q's in HSV Space


Hue

Letter U

Categorized Synesthetes' Colors for the Letter U


3D Scatterplot of Letter U in RGB Space

๓


R

2D View of Synesthetes' U's in HSV Space


Hue

Letter V

Categorized Synesthetes' Colors for the Letter V

$\oplus$


2D View of Synesthetes' V's in HSV Space


Hue



Color Label from UH Participants

## 2D View of Synesthetes' W's in HSV Space



Hue

Letter X

Categorized Synesthetes' Colors for the Letter X


ゅ


R

Color Label from UH Participants
2D View of Synesthetes' X's in HSV Space


Hue

Letter Y


2D View of Synesthetes' Y's in HSV Space


Hue

Letter Z

Categorized Synesthetes' Colors for the Letter Z


Color Label from UH Participants

3D Scatterplot of Letter $\mathbf{Z}$ in RGB Space
$\infty$


R

## 2D View of Synesthetes' Z's in HSV Space



## Exit Questionnaire

Thank you for participating in this study! Before you leave if you could please give us a few comments about your experience we would greatly appreciate it.

1. How difficult was it for you to decide if the colors matched the words?
Easy Medium Difficult
2. Do you believe you have any experiences or conditions that might have had a significant impact on your responses?
3. Is there anything else the researchers could have done to make the experience more comfortable or enjoyable for you?
4. Do you have any other comments that might be useful to the researcher?

If you think of any other feedback after leaving the study, please feel free to contact us at mvwarner@uh.edu

This project has been reviewed by the University of Houston Committee for the Protection of Human Subjects (713) 743-9204

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